

# DATA MINING 2

## Sequential Pattern Mining

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*Revisited slides from Lecture Notes for Chapter 5 “Introduction to Data Mining”, 2nd Edition by Tan, Steinbach, Karpatne, Kumar*



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# Examples of Sequence

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- Sequence of different transactions by a customer at an online store:  
< {Digital Camera,iPad} {memory card} {headphone,iPad cover} >
- Sequence of events causing the nuclear accident at 3-mile Island:  
([http://stellar-one.com/nuclear/staff\\_reports/summary\\_SOE\\_the\\_initiating\\_event.htm](http://stellar-one.com/nuclear/staff_reports/summary_SOE_the_initiating_event.htm))  
< {clogged resin} {outlet valve closure} {loss of feedwater}  
{condenser polisher outlet valve shut} {booster pumps trip}  
{main waterpump trips} {main turbine trips} {reactor pressure increases}>
- Sequence of books checked out at a library:  
<{Fellowship of the Ring} {The Two Towers} {Return of the King}>

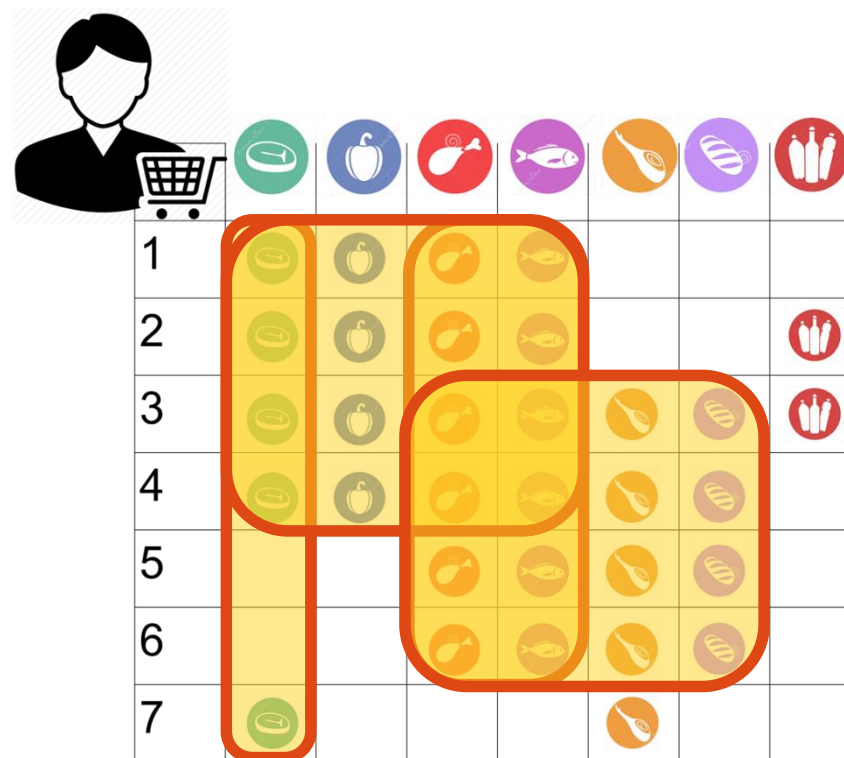
# From Itemsets to Sequences

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- Frequent itemsets and association rules focus on transactions and the items that appear there
- Databases of transactions usually have a temporal information
  - Sequential patterns exploit it
- Example data:
  - Market basket transactions
  - Web server logs
  - Tweets
  - Workflow production logs

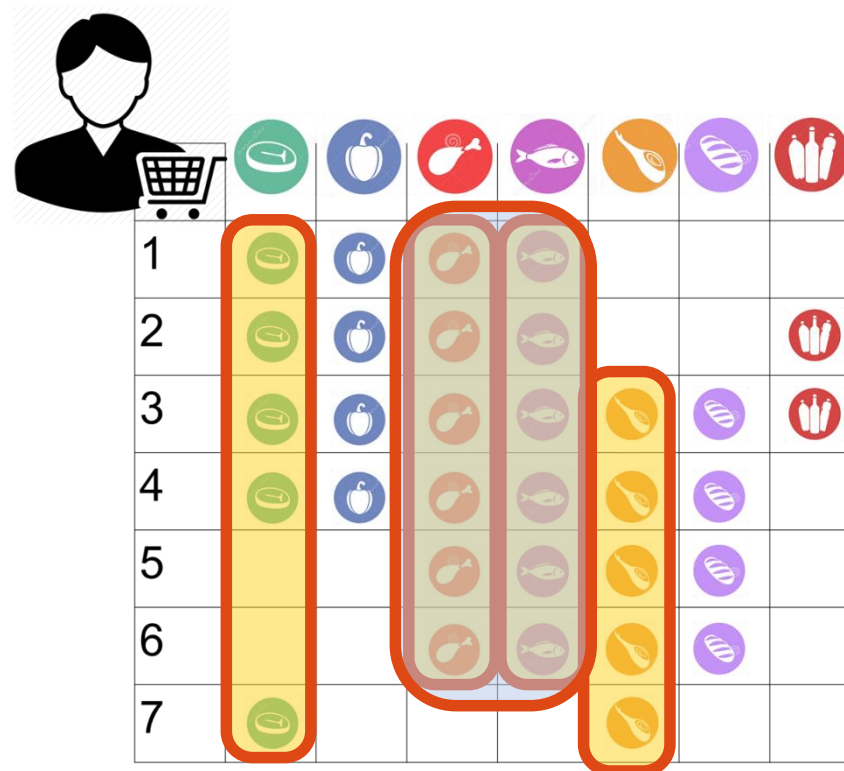
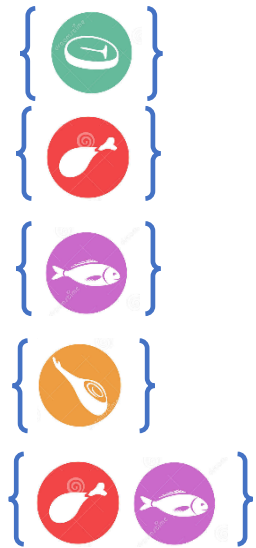
# Frequent Patterns

- Events or combinations of events that appear frequently in the data
- E.g. items bought by customers of a supermarket



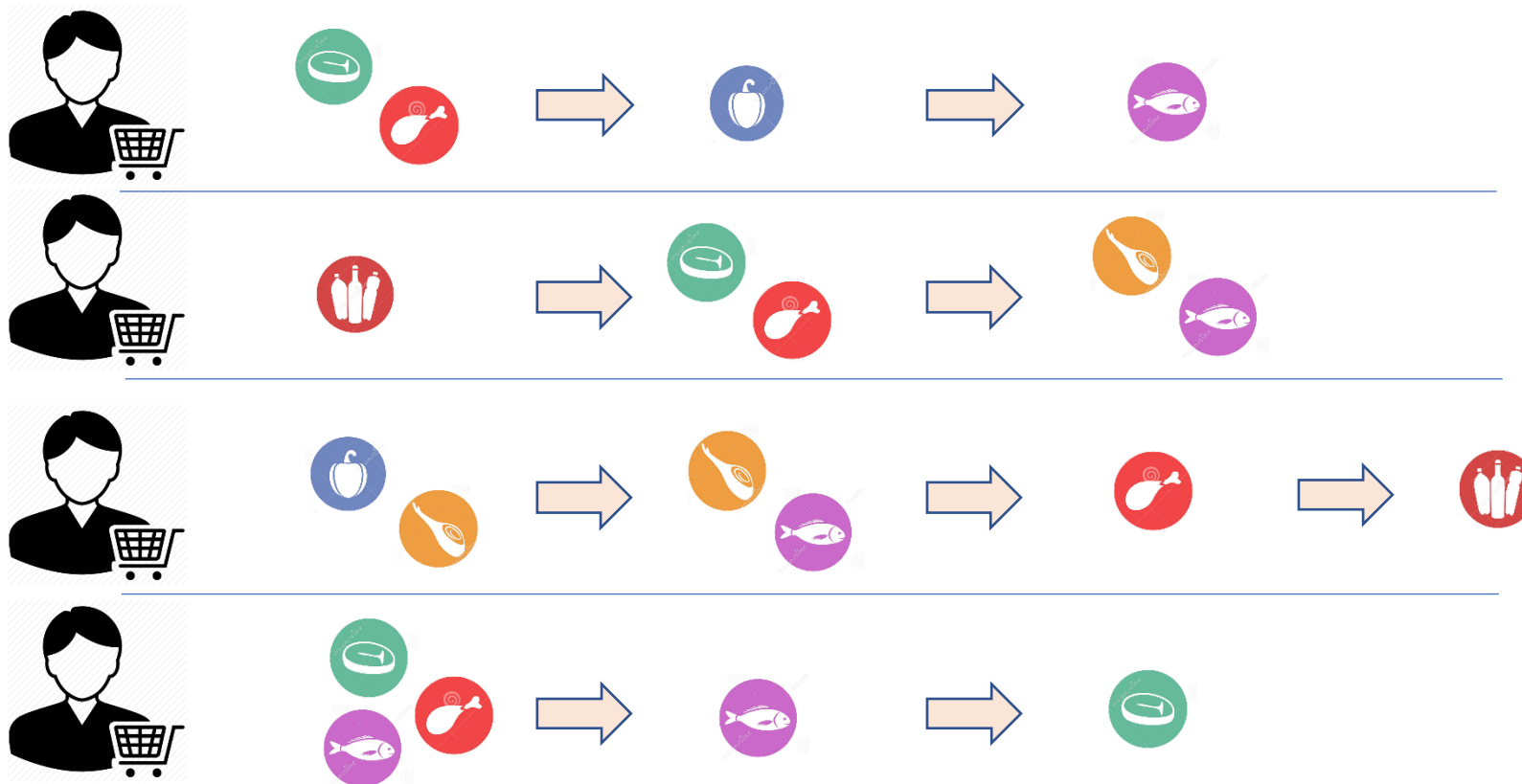
# Frequent Patterns

- **Frequent itemsets** w.r.t. minimum threshold
- E.g. with  $\text{Min\_freq} = 5$



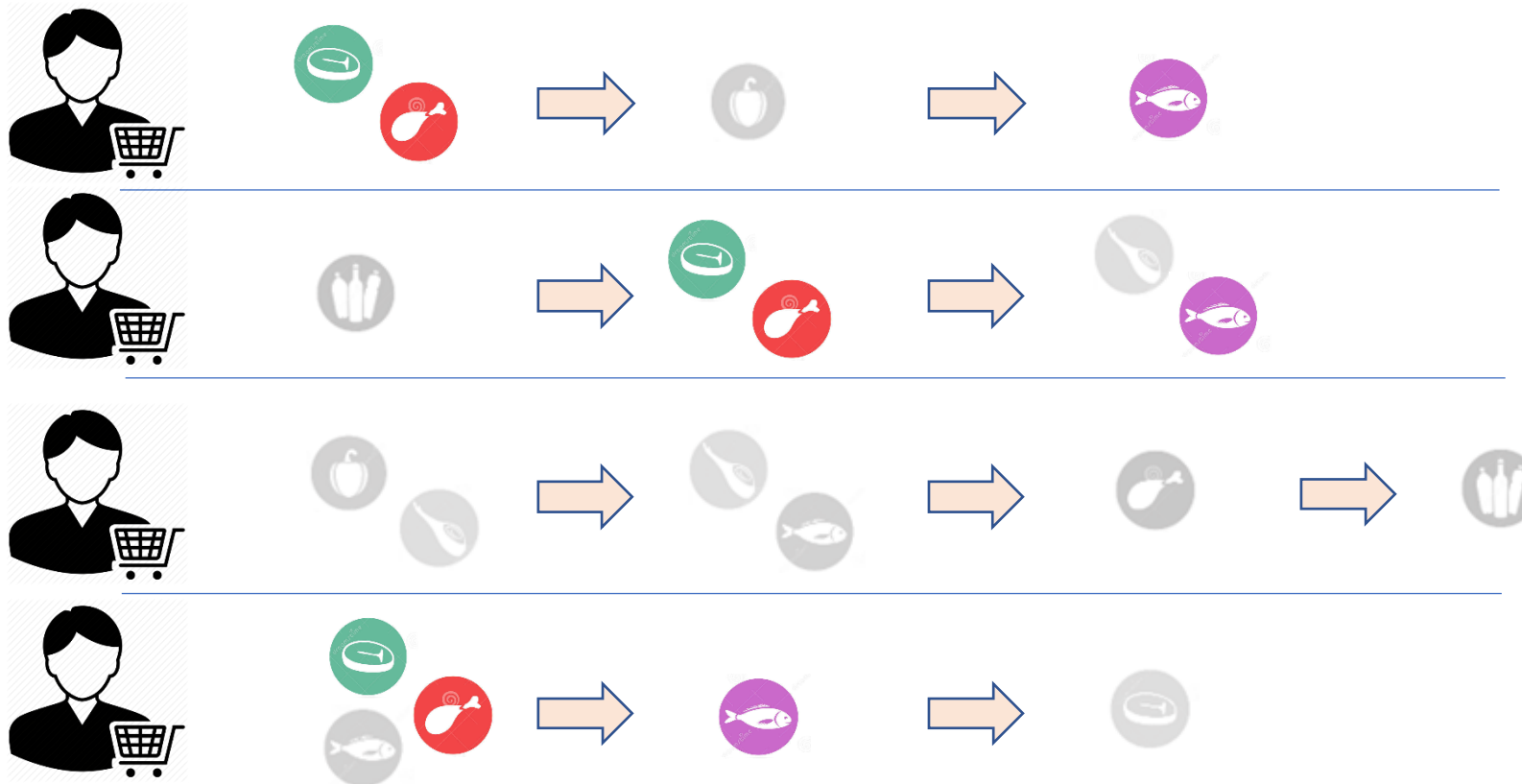
# Frequent Patterns in Complex Domains

- Frequent sequences (a.k.a. Sequential patterns)
- Input: sequences of events (or of groups)



# Frequent Patterns in Complex Domains

- Objective: identify sequences that occur frequently
  - Sequential pattern: {   }  $\Rightarrow$  



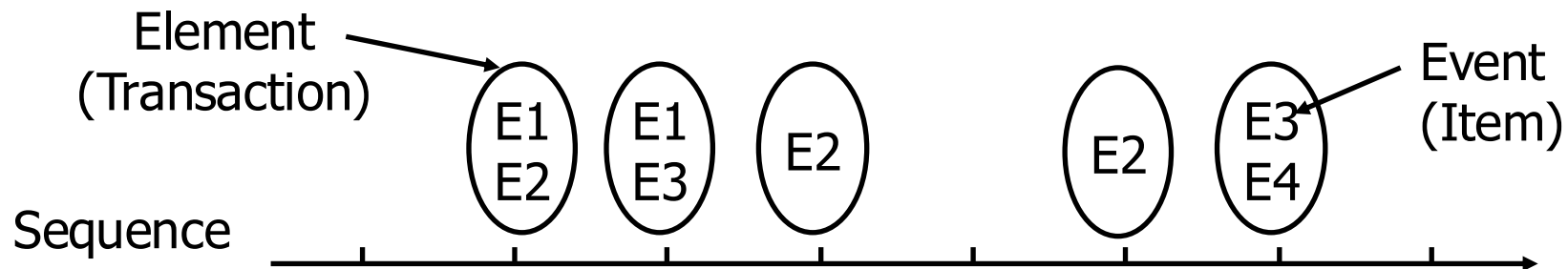
# Sequential Pattern Discovery: Examples

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- In telecommunications alarm logs,
  - Inverter\_Problem:  
(Excessive\_Line\_Current) (Rectifier\_Alarm) --> (Fire\_Alarm)
- In point-of-sale transaction sequences,
  - Computer Bookstore:  
(Intro\_To\_Visual\_C) (C++\_Primer) --> (Perl\_for\_dummies,Tcl\_Tk)
  - Athletic Apparel Store:  
(Shoes) (Racket, Racketball) --> (Sports\_Jacket)

# Sequence Data and Terminology

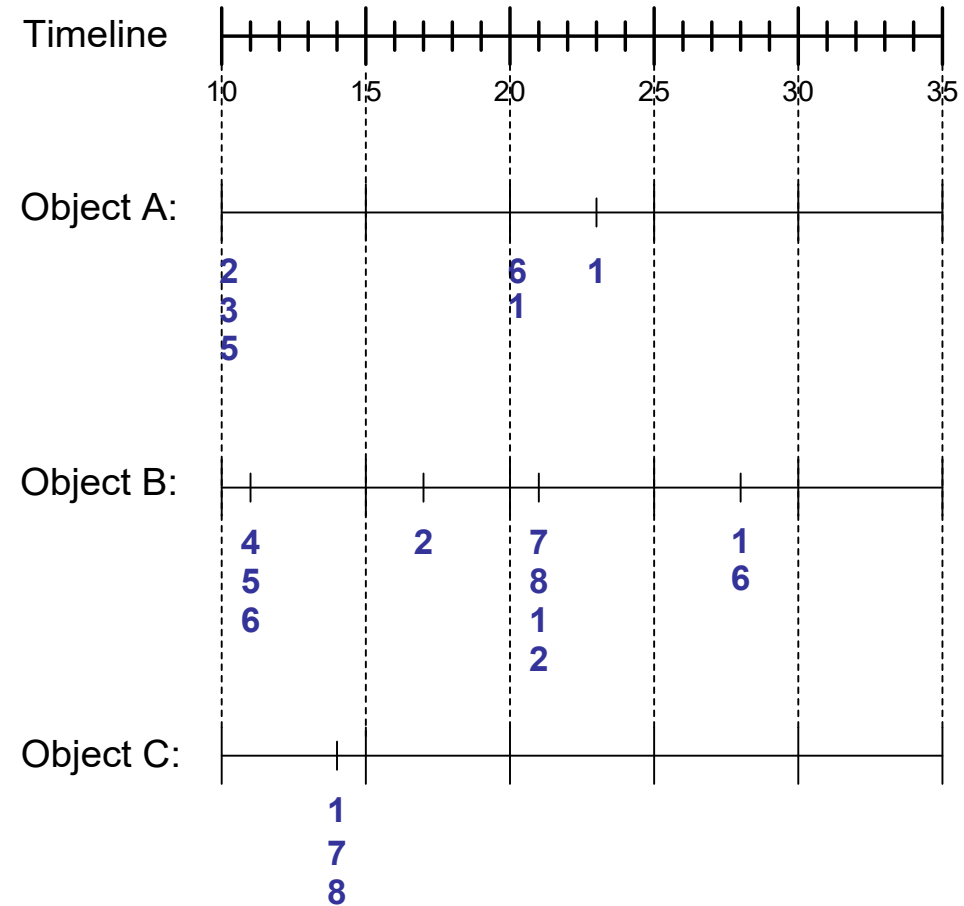
Sequence Database	<u>Sequence</u>	Element ( <u>Transaction</u> )	Event ( <u>Item</u> )
Customer	Purchase history of a given customer	A set of items bought by a customer at time t	Books, diary products, CDs, etc
Web Data	Browsing activity of a particular Web visitor	A collection of files viewed by a Web visitor after a single mouse click	Home page, index page, contact info, etc
Event data	History of events generated by a given sensor	Events triggered by a sensor at time t	Types of alarms generated by sensors
Genome sequences	DNA sequence of a particular species	An element of the DNA sequence	Bases A,T,G,C



# Sequence Data

Sequence Database:

Object	Timestamp	Events
A	10	2, 3, 5
A	20	6, 1
A	23	1
B	11	4, 5, 6
B	17	2
B	21	7, 8, 1, 2
B	28	1, 6
C	14	1, 8, 7



# Formal Definition of a Sequence

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- A sequence is an ordered list of transactions

$$s = \langle e_1 e_2 e_3 \dots \rangle$$

- Each transaction is attributed to a specific time or location
- Each transaction contains a collection of items

$$e_i = \{i_1, i_2, \dots, i_k\}$$

- We “measure” sequences with two different notions:
  - Cardinality of a sequence:  $|s|$  is given by the number of transactions of the sequence
  - Size of a sequence: a  $k$ -sequence is a sequence that contains  $k$  items

# Formal Definition of a Sequence

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- Example

$$S = \langle \{A,B\}, \{B,E,F\}, \{A\}, \{E,F,H\} \rangle$$

- Cardinality of  $s$ :  $|s| = 4$  transactions
- $s$  is a 9-sequence as it contains  $k=9$  items
- Times associated to elements:
  - $\{A,B\} \rightarrow \text{time}=0$
  - $\{B,E,F\} \rightarrow \text{time} = 120$
  - $\{A\} \rightarrow \text{time} = 130$
  - $\{E,F,H\} \rightarrow \text{time} = 200$

# Sequences without Explicit Time Info

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- Default: time of element = position in the sequence
- Example

$S = \langle \{A,C\}, \{E\}, \{A,F\}, \{E,G,H\} \rangle$

- Default times associated to transactions:
  - $\{A,C\} \rightarrow \text{time}=0$
  - $\{E\} \rightarrow \text{time} = 1$
  - $\{A,F\} \rightarrow \text{time} = 2$
  - $\{E,G,H\} \rightarrow \text{time} = 3$

# Examples of Sequence

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- Web sequence:

< {Homepage} {Electronics} {Digital Cameras} {Canon Digital Camera} {Shopping Cart} {Order Confirmation} {Return to Shopping} >

Singleton elements



- Sequence of events causing the nuclear accident at 3-mile Island:

([http://stellar-one.com/nuclear/staff\\_reports/summary\\_SOE\\_the\\_initiating\\_event.htm](http://stellar-one.com/nuclear/staff_reports/summary_SOE_the_initiating_event.htm))

< {clogged resin & outlet valve closure} {loss of feedwater}  
{condenser polisher outlet valve shut} {booster pumps trip}  
{main waterpump trips & main turbine trips & reactor pressure increases}>

Complex elements



- Sequence of books checked out at a library:

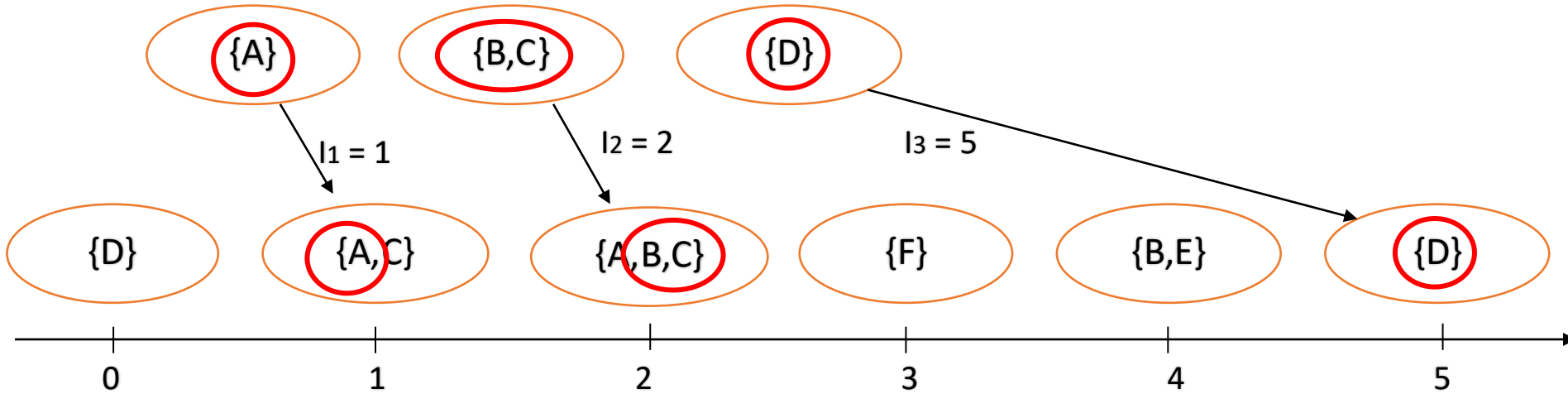
<{Fellowship of the Ring} {The Two Towers} {Return of the King}>

Singleton elements



# Formal Definition of a Subsequence

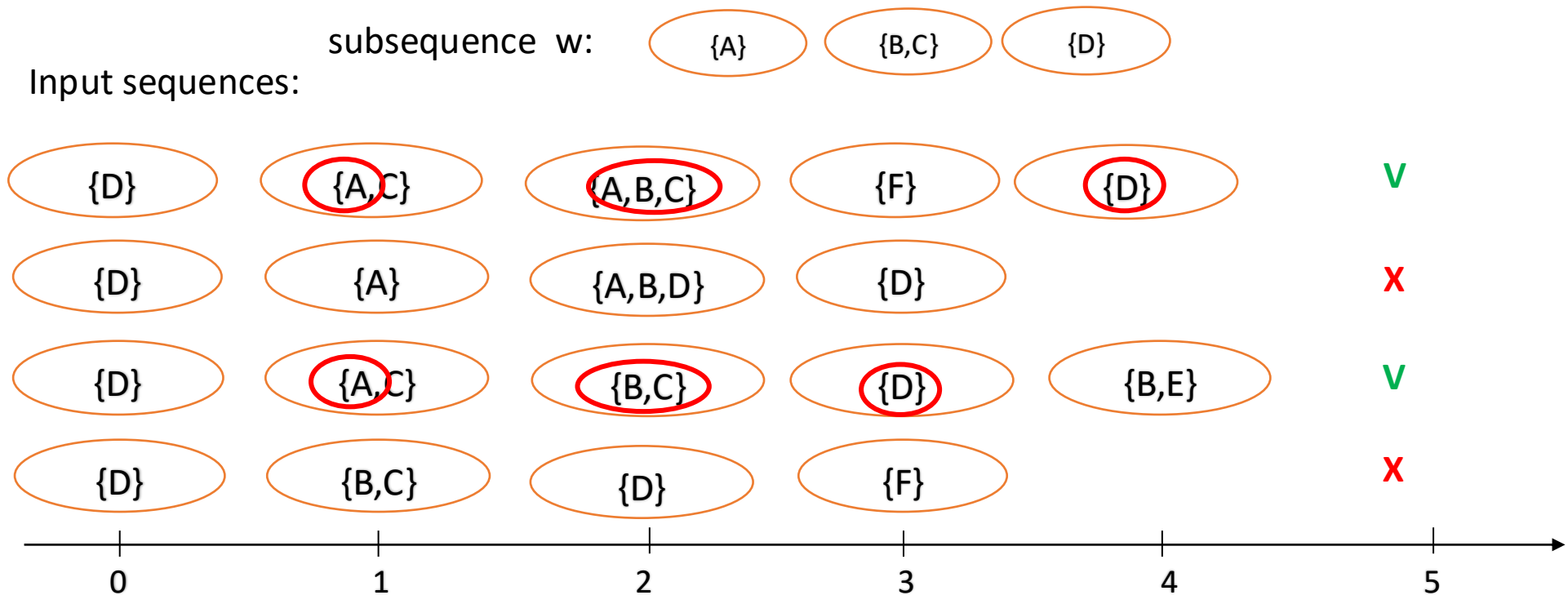
- A sequence  $\langle a_1 a_2 \dots a_n \rangle$  is contained in another sequence  $\langle b_1 b_2 \dots b_m \rangle$  ( $m \geq n$ ) if there exist integers  $i_1 < i_2 < \dots < i_n$  such that  $a_1 \subseteq b_{i_1}, a_2 \subseteq b_{i_2}, \dots, a_n \subseteq b_{i_n}$



Data sequence	Subsequence	Contain?
$\langle \{2,4\} \{3,5,6\} \{8\} \rangle$	$\langle \{2\} \{3,5\} \rangle$	
$\langle \{1,2\} \{3,4\} \rangle$	$\langle \{1\} \{2\} \rangle$	
$\langle \{2,4\} \{2,4\} \{2,5\} \rangle$	$\langle \{2\} \{4\} \rangle$	

# Formal Definition of Sequential Pattern

- The **support** of a subsequence  $w$  is the fraction of data sequences that contain  $w$



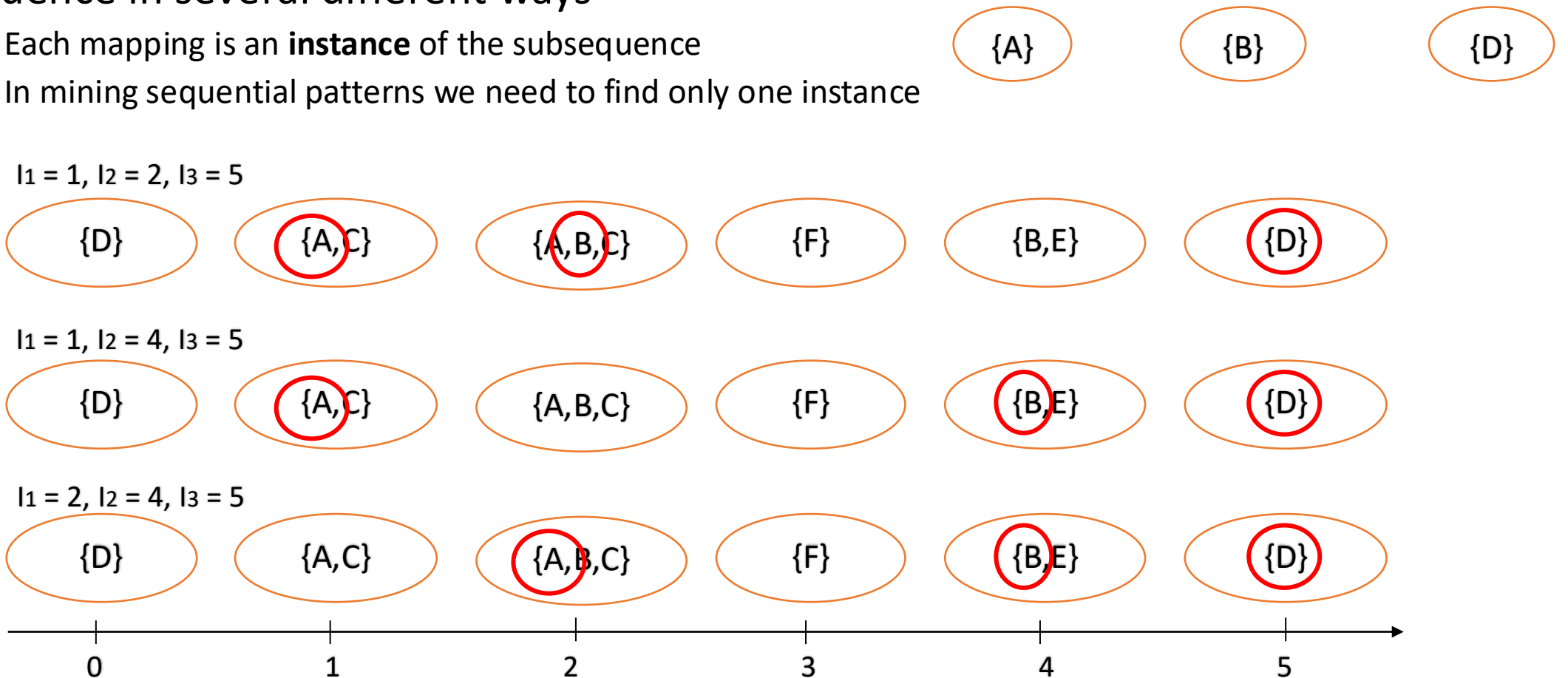
- A **sequential pattern**

- is a **frequent** subsequence
- i.e., a subsequence whose support is  $\geq$  **minsup**

support of  $w$ :  $2/4 = 0.50$  (50%)

# Formal Definition of Sequential Pattern

- Remark: a subsequence (i.e. a candidate pattern) might be mapped into a sequence in several different ways
  - Each mapping is an **instance** of the subsequence
  - In mining sequential patterns we need to find only one instance



# Exercise 1

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- find instances/occurrence of the following patterns

$\langle \{C\} \{H\} \{C\} \rangle$

$\langle \{A\} \{F\} \rangle$

$\langle \{A\} \{A\} \{D\} \rangle$

$\langle \{A\} \{A,B\} \{F\} \rangle$

- in the input sequence below

$\langle \begin{array}{cccccccc} \{A,C\} & \{C,D\} & \{F,H\} & \{A,B\} & \{B,C,D\} & \{E\} & \{A,B,D\} & \{F\} \\ t=0 & t=1 & t=2 & t=3 & t=4 & t=5 & t=6 & t=7 \end{array} \rangle$

# Exercise 2

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- find instances/occurrence of the following patterns

$\langle \{C\} \{H\} \{C\} \rangle$

$\langle \{A\} \{B\} \rangle$

$\langle \{C\} \{C\} \{E\} \rangle$

$\langle \{A\} \{E\} \rangle$

- in the input sequence below

$\langle \begin{array}{ccccccc} \{A,C\} & \{C,D,E\} & \{F\} & \{A,H\} & \{B,C,D\} & \{E\} & \{A,B,D\} \\ t=0 & t=1 & t=2 & t=3 & t=4 & t=5 & t=6 \end{array} \rangle$

# Sequential Pattern Mining: Definition

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- Given:
  - a database of sequences
  - a user-specified minimum support threshold, *minsup*
- Task:
  - Find all subsequences with support  $\geq \textit{minsup}$

# Sequential Pattern Mining: Example

Object	Timestamp	Events
A	1	1,2,4
A	2	2,3
A	3	5
B	1	1,2
B	2	2,3,4
C	1	1, 2
C	2	2,3,4
C	3	2,4,5
D	1	2
D	2	3, 4
D	3	4, 5
E	1	1, 3
E	2	2, 4, 5

*Minsup* = 50%

## Examples of Frequent Subsequences:

< {1,2} > s=60%

< {2,3} > s=60%

< {2,4} > s=80%

< {3} {5} > s=80%

< {1} {2} > s=80%

< {2} {2} > s=60%

< {1} {2,3} > s=60%

< {2} {2,3} > s=60%

< {1,2} {2,3} > s=60%

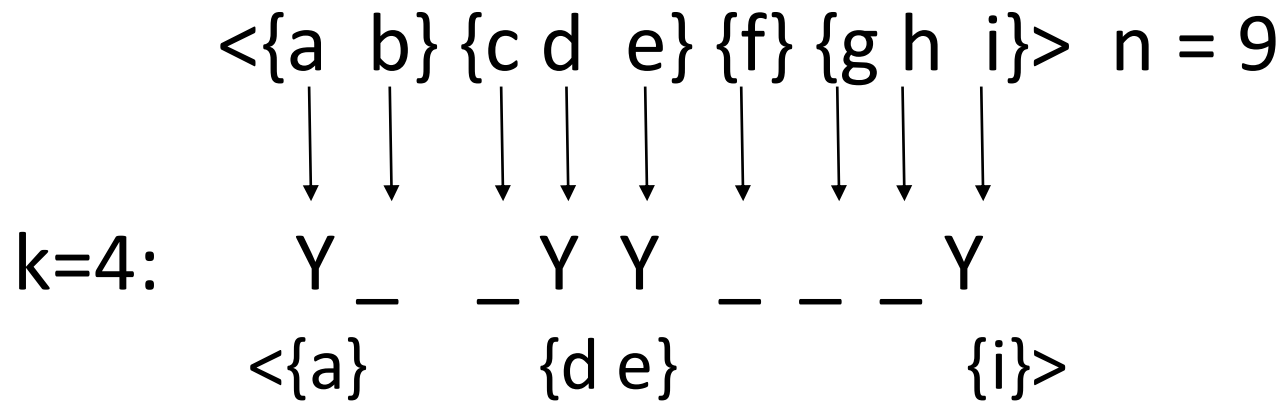
# Sequential Pattern Mining: Challenge

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- Trivial approach: generate all possible k-subsequences, for  $k=1,2,3,\dots$  and compute support
- Combinatorial explosion!
  - With frequent itemsets mining we had:
    - N. of k-subsets =  $\binom{n}{k}$   $n = n.$  of distinct items in the data
  - With sequential patterns:
    - N. of k-subsequences =  $n^k$
    - The same item can be repeated:
      - $\langle \{A\} \{A\} \{B\} \{A\} \dots \rangle$

# Sequential Pattern Mining: Challenge

- Even if we generate them from input sequences
  - E.g.: Given a n-sequence:  $\langle \{a\} \{b\} \{c\} \{d\} \{e\} \{f\} \{g\} \{h\} \{i\} \rangle$ 
    - Examples of subsequences:  
 $\langle \{a\} \{c\} \{d\} \{f\} \{g\} \rangle$ ,  $\langle \{c\} \{d\} \{e\} \rangle$ ,  $\langle \{b\} \{g\} \rangle$ , etc.
  - Number of k-subsequences can be extracted from it



Answer :

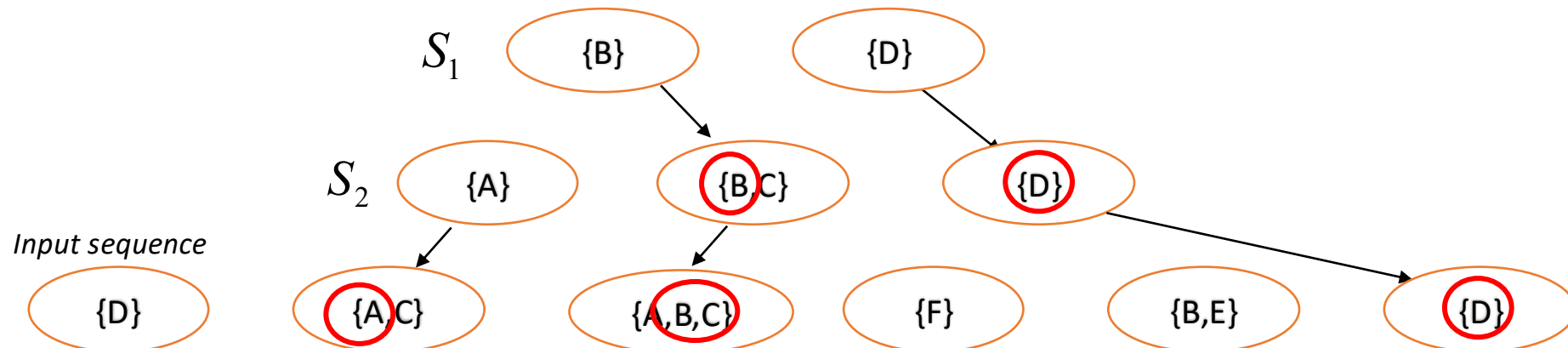
$$\binom{n}{k} = \binom{9}{4} = 126$$

# Generalized Sequential Pattern

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# Generalized Sequential Pattern (GSP)

- **Follows the same structure of Apriori**
  - Start from short patterns and find longer ones at each iteration
- **Based on “Apriori principle” or “anti-monotonicity of support”**
  - If one sequence  $S_1$  is contained in sequence  $S_2$ , then the support of  $S_2$  cannot be larger than that of  $S_1$ :  
$$S_1 \subseteq S_2 \Rightarrow \text{sup}(S_1) \geq \text{sup}(S_2)$$
- **Intuitive proof**
  - Any input sequence that contains  $S_2$  will also contain  $S_1$



# Generalized Sequential Pattern (GSP)

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- **Follows the same structure of Apriori:** Start from short patterns and find longer ones at each iteration
- **Step 1:** Make the first pass over the sequence database  $D$  to yield all the 1-transaction frequent sequences
- **Step 2:** Repeat until no new frequent sequences are found:
  - **Candidate Generation:** Merge pairs of frequent subsequences found in the  $(k-1)th$  pass to generate candidate sequences that contain  $k$  items
  - **Candidate Pruning:** Prune candidate  $k$ -sequences that contain infrequent  $(k-1)$ -subsequences
  - **Support Counting:** Make a new pass over the sequence database  $D$  to find the support for these candidate sequences
  - **Candidate Elimination:** Eliminate candidate  $k$ -sequences whose actual support is less than *minsup*

# Extracting Sequential Patterns

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- Given  $n$  items:  $i_1, i_2, i_3, \dots, i_n$ 
  - Candidate 1-subsequences:  
 $\langle \{i_1\} \rangle, \langle \{i_2\} \rangle, \langle \{i_3\} \rangle, \dots, \langle \{i_n\} \rangle$
  - Candidate 2-subsequences:  
 $\langle \{i_1, i_2\} \rangle, \langle \{i_1, i_3\} \rangle, \dots, \langle \{i_1\} \{i_1\} \rangle, \langle \{i_1\} \{i_2\} \rangle, \dots, \langle \{i_{n-1}\} \{i_n\} \rangle$
  - Candidate 3-subsequences:  
 $\langle \{i_1, i_2, i_3\} \rangle, \langle \{i_1, i_2, i_4\} \rangle, \dots, \langle \{i_1, i_2\} \{i_1\} \rangle, \langle \{i_1, i_2\} \{i_2\} \rangle, \dots,$   
 $\langle \{i_1\} \{i_1, i_2\} \rangle, \langle \{i_1\} \{i_1, i_3\} \rangle, \dots, \langle \{i_1\} \{i_1\} \{i_1\} \rangle, \langle \{i_1\} \{i_1\} \{i_2\} \rangle, \dots$
- Remark: items within a transaction are ordered
  - YES:  $\langle \{i_1, i_2, i_3\} \rangle$       NO:  $\langle \{i_3, i_1, i_2\} \rangle$

# Candidate Generation

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- Base case ( $k=2$ ):
  - Merging two frequent 1-sequences  $\langle\{i_1\}\rangle$  and  $\langle\{i_2\}\rangle$  will produce two candidate 2-sequences:  $\langle\{i_1\} \{i_2\}\rangle$  and  $\langle\{i_1 i_2\}\rangle$
  - Special case:  $i_i$  can be merged with itself:  $\langle\{i_i\} \{i_i\}\rangle$

# Candidate Generation

- General case ( $k > 2$ ):
  - A frequent  $(k-1)$ -sequence  $w_1$  is merged with another frequent  $(k-1)$ -sequence  $w_2$  to produce a candidate  $k$ -sequence if the subsequence obtained by removing the **first item in  $w_1$**  is the same as the one obtained by removing the **last item in  $w_2$** 
    - The resulting candidate after merging is given by the sequence  $w_1$  extended with the last item of  $w_2$ .
      - If last two items in  $w_2$  belong to the same transaction  $\Rightarrow$  last item in  $w_2$  becomes part of the last transaction in  $w_1$ :  $\langle \{d\}\{a\}\{b\} \rangle + \langle \{a\}\{b,c\} \rangle = \langle \{d\}\{a\}\{b,c\} \rangle$
      - Otherwise, the last item in  $w_2$  becomes a separate transaction appended to the end of  $w_1$ :  $\langle \{d\}\{a\}\{b\} \rangle + \langle \{a\}\{b\}\{c\} \rangle = \langle \{d\}\{a\}\{b\}\{c\} \rangle$  or  $\langle \{a,d\}\{b\} \rangle + \langle \{d\}\{b\}\{c\} \rangle = \langle \{a,d\}\{b\}\{c\} \rangle$
  - Special case: check if  $w_1$  can be merged with itself
    - Works when it contains only one item type:  $\langle \{a\}\{a\} \rangle + \langle \{a\}\{a\} \rangle = \langle \{a\}\{a\}\{a\} \rangle$

# Candidate Generation Examples

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- Merging the sequences  $w_1 = \langle \{1\} \{2\ 3\} \{4\} \rangle$  and  $w_2 = \langle \{2\ 3\} \{4\ 5\} \rangle$
- will produce the candidate sequence  $\langle \{1\} \{2\ 3\} \{4\ 5\} \rangle$  because the last two items in  $w_2$  (4 and 5) belong to the same transaction
  
- Merging the sequences  $w_1 = \langle \{1\} \{2\ 3\} \{4\} \rangle$  and  $w_2 = \langle \{2\ 3\} \{4\} \{5\} \rangle$
- will produce the candidate sequence  $\langle \{1\} \{2\ 3\} \{4\} \{5\} \rangle$  because the last two items in  $w_2$  (4 and 5) do not belong to the same transaction
  
- Can we merge  $w_1 = \langle \{1\} \{2\ 6\} \{4\} \rangle$  and  $w_2 = \langle \{1\} \{2\} \{4\ 5\} \rangle$  ?
- We **do not have** to merge the sequences  $w_1 = \langle \{1\} \{2\ 6\} \{4\} \rangle$  and  $w_2 = \langle \{1\} \{2\} \{4\ 5\} \rangle$  to produce the candidate  $\langle \{1\} \{2\ 6\} \{4\ 5\} \rangle$
- Notice that if the latter is a viable candidate, it will be obtained by merging  $w_1$  with  $\langle \{2\ 6\} \{4\ 5\} \rangle$

# Candidate Pruning

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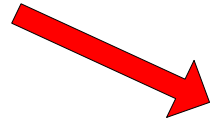
- Based on Apriori principle:
  - If a  $k$ -sequence  $W$  contains a  $(k-1)$ -subsequence that is not frequent, then  $W$  is not frequent and can be pruned
- Method:
  - Enumerate all  $(k-1)$ -subsequence:
    - $\{a,b\}\{c\}\{d\} \rightarrow \{b\}\{c\}\{d\}, \{a\}\{c\}\{d\}, \{a,b\}\{d\}, \{a,b\}\{c\}$
  - Each subsequence generated by cancelling 1 item in  $W$ 
    - Number of  $(k-1)$ -subsequences =  $k$
  - Remark: candidates are generated by merging two “mother”  $(k-1)$ -subsequences that we know to be frequent
    - Correspond to remove the first event or the last one
    - Number of significant  $(k-1)$ -subsequences to test =  $k - 2$
    - Special cases: at step  $k=2$  the pruning has no utility, since the only  $(k-1)$ -subsequences are the “mother” ones

# GSP Example

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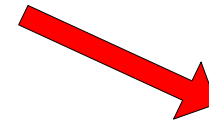
Frequent  
3-sequences

< {1} {2} {3} >  
< {1} {2 5} >  
< {1} {5} {3} >  
< {2} {3} {4} >  
< {2 5} {3} >  
< {3} {4} {5} >  
< {5} {3 4} >



Candidate  
Generation

< {1} {2} {3} {4} >  
< {1} {2 5} {3} >  
< {1} {5} {3 4} >  
< {2} {3} {4} {5} >  
< {2 5} {3 4} >



Candidate  
Pruning

< {1} {2 5} {3} >

# GSP Exercise

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- Given the following dataset of sequences

ID	Sequence
1	a b → a → b
2	b → a → c d
3	a → b
4	a → a → b d

- Generate sequential patterns if  $\text{min\_sup} = 35\%$

# GSP Exercise - Solution

ID	Sequence
1	a b → a → b
2	b → a → c d
3	a → b
4	a → a → b d

Sequential pattern	Support
a	100 %
b	100 %
d	50 %
a → a	50 %
a → b	75 %
a → d	50 %
b → a	50 %
a → a → b	50 %

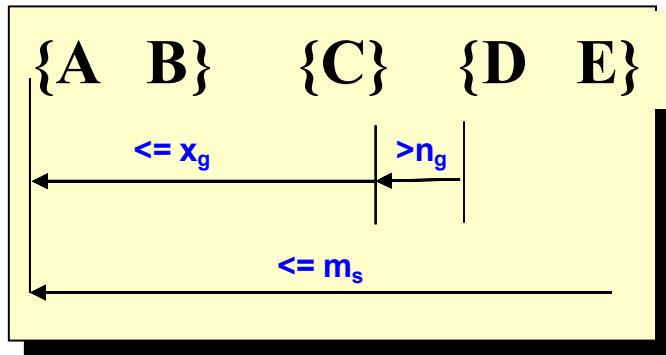
# Timing Constraints

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- Motivation by examples:
- Sequential Pattern {milk} → {cookies}
  - It might suggest that cookies are bought to better enjoy milk
  - Yet, we might obtain it even if all customers buy milk and **after 6 months** buy cookies, in which case our interpretation is wrong
- {cheese A} → {cheese B}
  - Does it mean that buying and eating cheese A induces the customer to try also cheese B (e.g. by the same brand)?
  - Maybe, yet if they are bought within 20 minutes it is like that they were to be bought together (and the customer forgot it)
- {buy PC} → {buy printer} → {ask for repair}
  - Is it a good or bad sign?
  - It depends on how much time the whole process took:
    - Short time => issues, Long time => OK, normal life cycle

# Timing Constraints

- Define 3 types of constraint on the instances to consider
  - E.g. ask that the pattern instances last no more than 30 days



$x_g$ : max-gap



Each transaction of the pattern instance must be **at most**  $x_g$  time after the previous one

$n_g$ : min-gap



Each transaction of the pattern instance must be **at least**  $n_g$  time after the previous one

$m_s$ : maximum span



The overall duration of the pattern instance must be at most  $m_s$

$x_g = 2, n_g = 0, m_s = 4$



consecutive transactions at most distance 2 & overall duration at most 4 time units

Data sequence	Subsequence	Contain?
$\langle \{2,4\} \{3,5,6\} \{4,7\} \{4,5\} \{8\} \rangle$	$\langle \{6\} \{5\} \rangle$	
$\langle \{1\} \{2\} \{3\} \{4\} \{5\} \rangle$	$\langle \{1\} \{4\} \rangle$	
$\langle \{1\} \{2,3\} \{3,4\} \{4,5\} \rangle$	$\langle \{2\} \{3\} \{5\} \rangle$	
$\langle \{1,2\} \{3\} \{2,3\} \{3,4\} \{2,4\} \{4,5\} \rangle$	$\langle \{1,2\} \{5\} \rangle$	

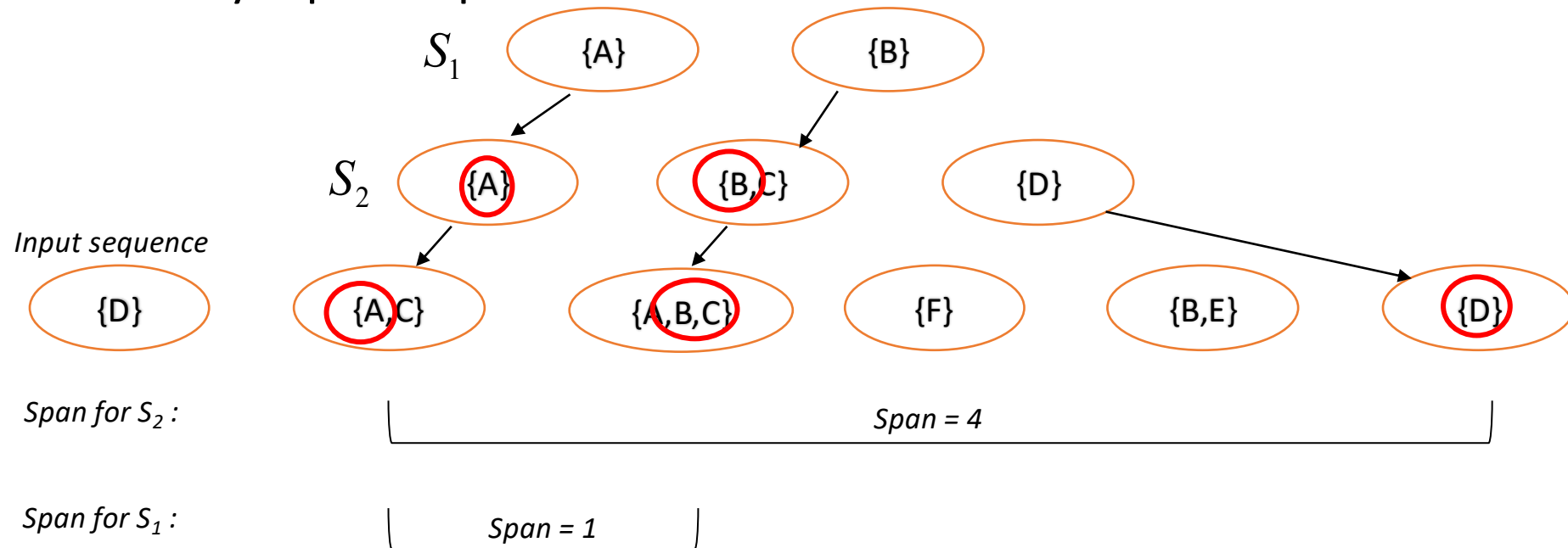
# Mining Sequential Patterns with Timing Constraints

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- Approach 1:
  - Mine sequential patterns without timing constraints
  - Postprocess the discovered patterns
  - Dangerous: might generate billions of sequential patterns to obtain only a few time-constrained ones
- Approach 2:
  - Modify GSP to directly prune candidates that violate timing constraints
  - Question: Does Apriori principle still hold?

# Apriori Principle with Time Constraints

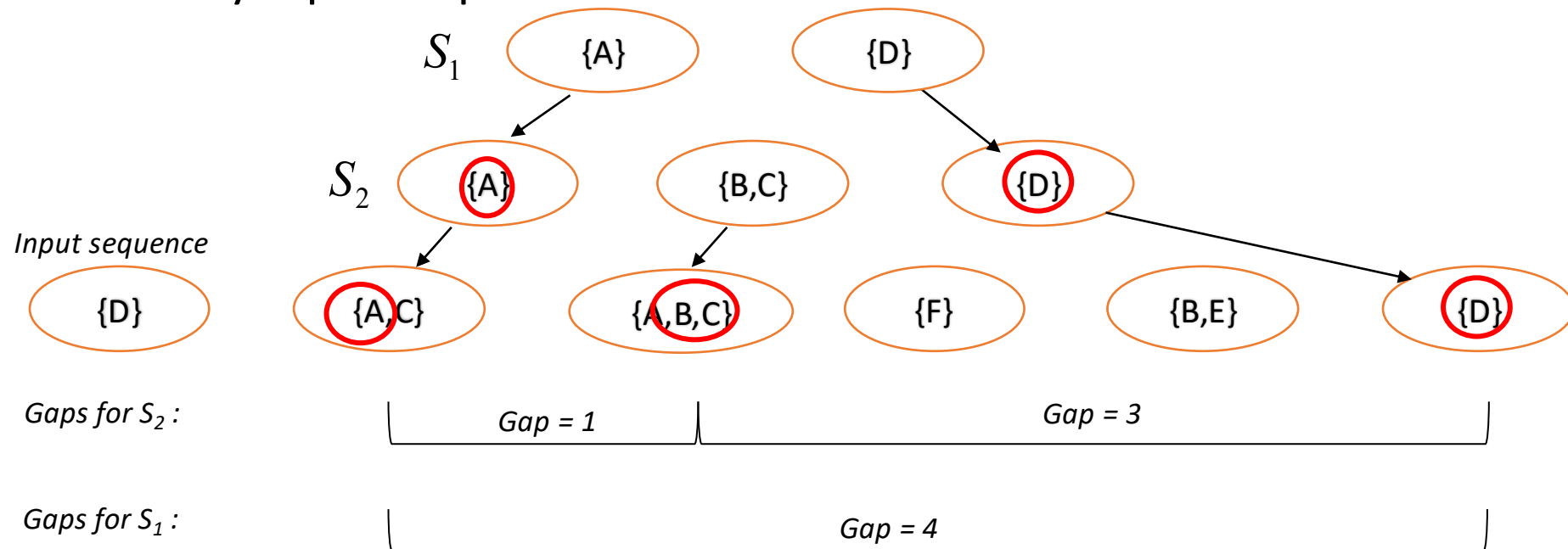
- **Case 1: max-span**
- **Intuitive check**
  - Does any input sequence that contains S2 will also contain S1 ?



- **When S1 has less transactions, S1 span can (only) decrease** ✓
  - If S2 span is OK, then also S1 span is OK

# Apriori Principle with Time Constraints

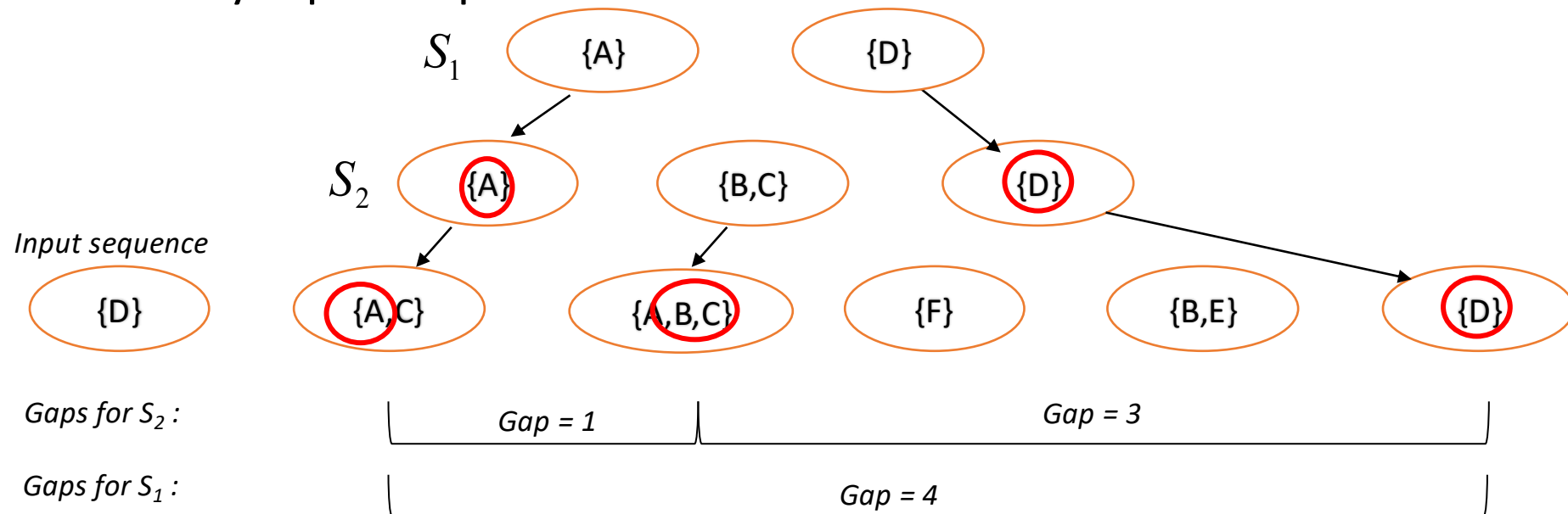
- **Case 2: min-gap**
- **Intuitive check**
  - Does any input sequence that contains S2 will also contain S1 ?



- **When S1 has less transactions, gaps for S1 can (only) increase** ✓
  - If S2 gaps are OK, they are OK also for S1

# Apriori Principle with Time Constraints

- **Case 3: max-gap**
- **Intuitive check**
  - Does any input sequence that contains S2 will also contain S1 ?



- **When S1 has less transactions, gaps for S1 can (only) increase **x****
  - *Happens when S1 has lost an internal element w.r.t. S2*
  - Even if S2 gaps are OK, S1 gaps might grow too large w.r.t. max-gap

# Apriori Principle for Sequence Data

Object	Timestamp	Events
A	1	1,2,4
A	2	2,3
A	3	5
B	1	1,2
B	2	2,3,4
C	1	1, 2
C	2	2,3,4
C	3	2,4,5
D	1	2
D	2	3, 4
D	3	4, 5
E	1	1, 3
E	2	2, 4, 5

Suppose:

$x_g = 1$  (max-gap)

$n_g = 0$  (min-gap)

$m_s = 5$  (maximum span)

$minsup = 60\%$

$\langle \{2\} \{5\} \rangle$  support = 40%

but

$\langle \{2\} \{3\} \{5\} \rangle$  support = 60%

**Problem exists because of max-gap constraint**

**No such problem if max-gap is infinite**

# Contiguous Subsequences

- $s$  is a contiguous subsequence of

$$w = \langle e_1 \rangle \langle e_2 \rangle \dots \langle e_k \rangle$$

if any of the following conditions hold:

1.  $s$  is obtained from  $w$  by deleting an item from either  $e_1$  or  $e_k$
2.  $s$  is obtained from  $w$  by deleting an item from any element  $e_i$  that contains more than 2 items
3.  $s$  is a contiguous subsequence of  $s'$  and  $s'$  is a contiguous subsequence of  $w$  (recursive definition)

*Key point: avoids  
internal "jumps"*

*Not interesting  
for our usage*

- Examples:  $s = \langle \{1\} \{2\} \rangle$

- is a contiguous subsequence of  
 $\langle \{1\} \{2\ 3\} \rangle$ ,  $\langle \{1\ 2\} \{2\} \{3\} \rangle$ , and  $\langle \{3\ 4\} \{1\ 2\} \{2\ 3\} \{4\} \rangle$
- is not a contiguous subsequence of  
 $\langle \{1\} \{3\} \{2\} \rangle$  and  $\langle \{2\} \{1\} \{3\} \{2\} \rangle$

# Modified Candidate Pruning Step

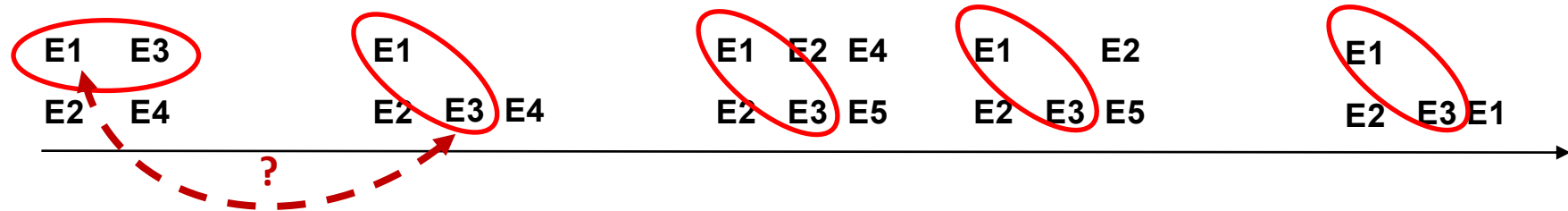
---

- Without maxgap constraint:
  - A candidate  $k$ -sequence is pruned if at least one of its  $(k-1)$ -subsequences is infrequent
- With maxgap constraint:
  - A candidate  $k$ -sequence is pruned if at least one of its **contiguous**  $(k-1)$ -subsequences is infrequent
  - Remark: the “pruning power” is now reduced
    - Less subsequences to test for “killing” the candidate

# Other kinds of patterns for sequences

- In some domains, we may have only one very long time series
  - Example:
    - monitoring network traffic events for attacks
    - monitoring telecommunication alarm signals
- Goal is to find frequent sequences of events in the time series
  - Now we have to count “instances”, but which ones?
  - This problem is also known as frequent episode mining

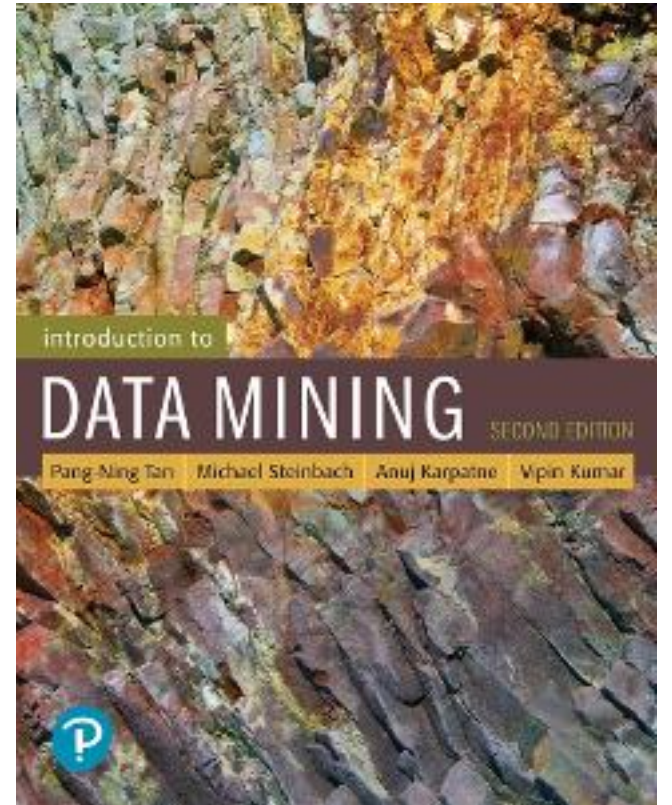
Pattern: <E1> <E3>



# References

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- Sequential Pattern Mining. Chapter 7.  
Introduction to Data Mining.



# Exercises SPM

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# Sequential Pattern – Exercise 1

a) (3 points) Given the following input sequence

$\langle \{A\} \quad \{B,F\} \quad \{E\} \quad \{A,B\} \quad \{A,C,D\} \quad \{F\} \quad \{B,E\} \quad \{C,D\} \rangle$   
 $t=0 \quad t=1 \quad t=2 \quad t=3 \quad t=4 \quad t=5 \quad t=6 \quad t=7$

show all the occurrences (there can be more than one or none, in general) of each of the following subsequences in the input sequence above. Repeat the exercise twice: the first time considering no temporal constraints (left column): the second time considering  $\text{min-gap} = 1$  (i.e.  $\text{gap} > 1$ , right column). Each occurrence should be represented by its corresponding list of time stamps, e.g.:  $\langle 0,2,3 \rangle = \langle t=0, t=2, t=3 \rangle$ .

	<i>Occurrences</i>	<i>Occurrences with min-gap = 1</i>
<b>ex.: <math>\langle \{B\}\{E\} \rangle</math></b>	$\langle 1,2 \rangle \langle 1,6 \rangle \langle 3,6 \rangle$	$\langle 1,6 \rangle \langle 3,6 \rangle$
$w_1 = \langle \{A\} \{B\} \{E\} \rangle$		
$w_2 = \langle \{B\}\{D\} \rangle$		
$w_3 = \langle \{F\}\{E\}\{C,D\} \rangle$		

# Sequential Pattern – Exercise 1

a) (3 points) Given the following input sequence

$\langle \{A\} \quad \{B,F\} \quad \{E\} \quad \{A,B\} \quad \{A,C,D\} \quad \{F\} \quad \{B,E\} \quad \{C,D\} \rangle$   
 $t=0 \quad t=1 \quad t=2 \quad t=3 \quad t=4 \quad t=5 \quad t=6 \quad t=7$

show all the occurrences (there can be more than one or none, in general) of each of the following subsequences in the input sequence above. Repeat the exercise twice: the first time considering no temporal constraints (left column): the second time considering  $\text{min-gap} = 1$  (i.e.  $\text{gap} > 1$ , right column). Each occurrence should be represented by its corresponding list of time stamps, e.g.:  $\langle 0,2,3 \rangle = \langle t=0, t=2, t=3 \rangle$ .

**Answer:**

	Occurrences	Occurrences with $\text{min-gap} = 1$
ex.: $\langle \{B\}\{E\} \rangle$	$\langle 1,2 \rangle \langle 1,6 \rangle \langle 3,6 \rangle$	$\langle 1,6 \rangle \langle 3,6 \rangle$
$w_1 = \langle \{A\} \{B\} \{E\} \rangle$		
$w_2 = \langle \{B\}\{D\} \rangle$		
$w_3 = \langle \{F\}\{E\}\{C,D\} \rangle$		

# Sequential Pattern – Exercise 1 – Solution

a) (3 points) Given the following input sequence

$\langle \{A\} \quad \{B,F\} \quad \{E\} \quad \{A,B\} \quad \{A,C,D\} \quad \{F\} \quad \{B,E\} \quad \{C,D\} \rangle$   
 $t=0 \quad t=1 \quad t=2 \quad t=3 \quad t=4 \quad t=5 \quad t=6 \quad t=7$

show all the occurrences (there can be more than one or none, in general) of each of the following subsequences in the input sequence above. Repeat the exercise twice: the first time considering no temporal constraints (left column): the second time considering  $\text{min-gap} = 1$  (i.e.  $\text{gap} > 1$ , right column). Each occurrence should be represented by its corresponding list of time stamps, e.g.:  $\langle 0,2,3 \rangle = \langle t=0, t=2, t=3 \rangle$ .

**Answer:**

	<i>Occurrences</i>	<i>Occurrences with min-gap = 1</i>
<b>ex.:</b> $\langle \{B\}\{E\} \rangle$	$\langle 1,2 \rangle \langle 1,6 \rangle \langle 3,6 \rangle$	$\langle 1,6 \rangle \langle 3,6 \rangle$
$w_1 = \langle \{A\} \{B\} \{E\} \rangle$	$\langle 0,1,2 \rangle \langle 0,1,6 \rangle \langle 0,3,6 \rangle$	$\langle 0,3,6 \rangle$
$w_2 = \langle \{B\}\{D\} \rangle$	$\langle 1,4 \rangle \langle 1,7 \rangle \langle 3,4 \rangle \langle 3,7 \rangle \langle 6,7 \rangle$	$\langle 1,4 \rangle \langle 1,7 \rangle \langle 3,7 \rangle$
$w_3 = \langle \{F\}\{E\}\{C,D\} \rangle$	$\langle 1,2,4 \rangle \langle 1,2,7 \rangle \langle 1,6,7 \rangle \langle 5,6,7 \rangle$	<b>none</b>

# Sequential Pattern – Exercise 2

a) (3 points) Given the following input sequence

$\langle \{B,F\} \quad \{A\} \quad \{A,B\} \quad \{C,D,F\} \quad \{E\} \quad \{B,E\} \quad \{C,D\} \rangle$   
 $t=0 \qquad t=1 \qquad t=2 \qquad t=3 \qquad t=4 \qquad t=5 \qquad t=6$

show all the occurrences (there can be more than one or none, in general) of each of the following subsequences in the input sequence above. Repeat the exercise twice: the first time considering no temporal constraints (left column): the second time considering  $\text{max-gap} = 4$  (i.e.  $\text{gap} \leq 4$ , right column). Each occurrence should be represented by its corresponding list of time stamps, e.g.:  $\langle 0,2,3 \rangle = \langle t=0, t=2, t=3 \rangle$ .

	<i>Occurrences</i>	<i>Occurrences with max-gap = 4</i>
$w_1 = \langle \{B\} \{E\} \rangle$		
$w_2 = \langle \{B\} \{D\} \rangle$		
$w_3 = \langle \{F\} \{B\} \{C,D\} \rangle$		

# Sequential Pattern – Exercise 2 – Solution

a) (3 points) Given the following input sequence

$\langle \{B,F\} \quad \{A\} \quad \{A,B\} \quad \{C,D,F\} \quad \{E\} \quad \{B,E\} \quad \{C,D\} \rangle$   
 $t=0 \qquad t=1 \qquad t=2 \qquad t=3 \qquad t=4 \qquad t=5 \qquad t=6$

show all the occurrences (there can be more than one or none, in general) of each of the following subsequences in the input sequence above. Repeat the exercise twice: the first time considering no temporal constraints (left column): the second time considering  $\text{max-gap} = 4$  (i.e.  $\text{gap} \leq 4$ , right column). Each occurrence should be represented by its corresponding list of time stamps, e.g.:  $\langle 0,2,3 \rangle = \langle t=0, t=2, t=3 \rangle$ .

**Answer:**

	<i>Occurrences</i>	<i>Occurrences with max-gap = 4</i>
$w_1 = \langle \{B\} \{E\} \rangle$	$\langle 0,4 \rangle \langle 0,5 \rangle \langle 2,4 \rangle \langle 2,5 \rangle$	
$w_2 = \langle \{B\} \{D\} \rangle$	$\langle 0,3 \rangle \langle 0,6 \rangle$ $\langle 2,3 \rangle \langle 2,6 \rangle$ $\langle 5,6 \rangle$	
$w_3 = \langle \{F\} \{B\} \{C,D\} \rangle$	$\langle 0,2,3 \rangle \langle 0,2,6 \rangle \langle 0,5,6 \rangle$ $\langle 3,5,6 \rangle$	

# Sequential Pattern – Exercise 2 – Solution

a) (3 points) Given the following input sequence

$\langle \{B,F\} \quad \{A\} \quad \{A,B\} \quad \{C,D,F\} \quad \{E\} \quad \{B,E\} \quad \{C,D\} \rangle$   
 $t=0 \quad t=1 \quad t=2 \quad t=3 \quad t=4 \quad t=5 \quad t=6$

show all the occurrences (there can be more than one or none, in general) of each of the following subsequences in the input sequence above. Repeat the exercise twice: the first time considering no temporal constraints (left column): the second time considering  $\text{max-gap} = 4$  (i.e.  $\text{gap} \leq 4$ , right column). Each occurrence should be represented by its corresponding list of time stamps, e.g.:  $\langle 0,2,3 \rangle = \langle t=0, t=2, t=3 \rangle$ .

**Answer:**

	<i>Occurrences</i>	<i>Occurrences with max-gap = 4</i>
$w_1 = \langle \{B\} \{E\} \rangle$	$\langle 0,4 \rangle \langle 0,5 \rangle \langle 2,4 \rangle \langle 2,5 \rangle$	$\langle 0,4 \rangle \langle 2,4 \rangle \langle 2,5 \rangle$
$w_2 = \langle \{B\} \{D\} \rangle$	$\langle 0,3 \rangle \langle 0,6 \rangle$ $\langle 2,3 \rangle \langle 2,6 \rangle$ $\langle 5,6 \rangle$	$\langle 0,3 \rangle$ $\langle 2,3 \rangle \langle 2,6 \rangle$ $\langle 5,6 \rangle$
$w_3 = \langle \{F\} \{B\} \{C,D\} \rangle$	$\langle 0,2,3 \rangle \langle 0,2,6 \rangle \langle 0,5,6 \rangle$ $\langle 3,5,6 \rangle$	$\langle 0,2,3 \rangle \langle 0,2,6 \rangle$ $\langle 3,5,6 \rangle$

# Sequential Pattern – Exercise 3

Given the input sequences listed in the table below (column 1), show for each of them **all the occurrences** of subsequences  $\{A\} \rightarrow \{D\}$  and  $\{A\} \rightarrow \{C,D\}$ , and finally write its total support. Repeat the exercise twice: the first time **considering no temporal constraints** (columns 2 and 4); the second time **considering min-gap = 1** (i.e.  $\text{gap} > 1$ ) (columns 3 and 5). Each occurrence should be represented by its corresponding list of time stamps, e.g.:  $\langle 0,2,3 \rangle = \langle t=0, t=2, t=3 \rangle$ .

<i>column 1</i>	<i>column 2</i>		<i>column 3</i>	<i>column 4</i>	<i>column 5</i>
	$\{A\} \rightarrow \{D\}$			$\{B\} \rightarrow \{C,D\}$	
	<i>No constraints</i>		<i>min-gap = 1</i>	<i>No constraints</i>	<i>min-gap = 1</i>
$\langle \{A,B,F\} \{C\} \{C,D,F\} \{E\} \{C,D\} \rangle$ t=0 t=1 t=2 t=3 t=4					
$\langle \{A,B\} \{C\} \{A,B\} \{C,D\} \rangle$ t=0 t=1 t=2 t=3					
$\langle \{F\} \{A,B,F\} \{A,B,C,D\} \{D\} \{E\} \{C\} \rangle$ t=0 t=1 t=2 t=3 t=4 t=5					
$\langle \{A,F\} \{B,C\} \{A,B\} \{E\} \{D\} \rangle$ t=0 t=1 t=2 t=3 t=4					
$\langle \{A,B,F\} \{A,C\} \{A,B,D\} \{C\} \{C,D\} \rangle$ t=0 t=1 t=2 t=3 t=4					
Total support:					

# Sequential Pattern – Exercise 3 – Solution

Given the input sequences listed in the table below (column 1), show for each of them **all the occurrences** of subsequences  $\{A\} \rightarrow \{D\}$  and  $\{A\} \rightarrow \{C,D\}$ , and finally write its total support. Repeat the exercise twice: the first time **considering no temporal constraints** (columns 2 and 4); the second time **considering min-gap = 1** (i.e.  $\text{gap} > 1$ ) (columns 3 and 5). Each occurrence should be represented by its corresponding list of time stamps, e.g.:  $\langle 0,2,3 \rangle = \langle t=0, t=2, t=3 \rangle$ .

<i>column 1</i>	<i>column 2</i>	<i>column 3</i>	<i>column 4</i>	<i>column 5</i>
	$\{A\} \rightarrow \{D\}$		$\{B\} \rightarrow \{C,D\}$	
	<i>No constraints</i>	<i>min-gap = 1</i>	<i>No constraints</i>	<i>min-gap = 1</i>
$\langle \{A,B,F\} \{C\} \{C,D,F\} \{E\} \{C,D\} \rangle$ t=0 t=1 t=2 t=3 t=4	$\langle 0,2 \rangle, \langle 0,4 \rangle$	$\langle 0,2 \rangle \langle 0,4 \rangle$	$\langle 0,2 \rangle, \langle 0,4 \rangle$	$\langle 0,2 \rangle, \langle 0,4 \rangle$
$\langle \{A,B\} \{C\} \{A,B\} \{C,D\} \rangle$ t=0 t=1 t=2 t=3	$\langle 0,3 \rangle \langle 2,3 \rangle$	$\langle 0,3 \rangle$	$\langle 0,3 \rangle, \langle 2,3 \rangle$	$\langle 0,3 \rangle$
$\langle \{F\} \{A,B,F\} \{A,B,C,D\} \{D\} \{E\} \{C\} \rangle$ t=0 t=1 t=2 t=3 t=4 t=5	$\langle 1,2 \rangle \langle 1,3 \rangle$ $\langle 2,3 \rangle$	$\langle 1,3 \rangle$	$\langle 1,2 \rangle$	<b>none</b>
$\langle \{A,F\} \{B,C\} \{A,B\} \{E\} \{D\} \rangle$ t=0 t=1 t=2 t=3 t=4	$\langle 0,4 \rangle \langle 2,4 \rangle$	$\langle 0,4 \rangle \langle 2,4 \rangle$	<b>none</b>	<b>none</b>
$\langle \{A,B,F\} \{A,C\} \{A,B,D\} \{C\} \{C,D\} \rangle$ t=0 t=1 t=2 t=3 t=4	$\langle 0,2 \rangle \langle 0,4 \rangle \langle 1,2 \rangle,$ $\langle 1,4 \rangle \langle 2,4 \rangle$	$\langle 0,2 \rangle \langle 0,4 \rangle$ $\langle 1,4 \rangle \langle 2,4 \rangle$	$\langle 0,4 \rangle \langle 2,4 \rangle$	$\langle 0,4 \rangle \langle 2,4 \rangle$
Total support:	<b>5 (100%)</b>	<b>5 (100%)</b>	<b>4 (80%)</b>	<b>3 (60%)</b>

# Sequential Pattern – Exercise 4

Given the input sequences listed in the table below (column 1), show for each of them **all the occurrences** of subsequences  $\{A\} \rightarrow \{A\} \rightarrow \{D\}$  and  $\{B\} \rightarrow \{C,D\}$ , and finally write its total support. Repeat the exercise twice: the first time **considering no temporal constraints** (columns 2 and 4); the second time **considering max-gap = 2** (i.e. gap  $\leq 2$ ) (columns 3 and 5). Each occurrence should be represented by its corresponding list of time stamps, e.g.:  $\langle 0,2,3 \rangle = \langle t=0, t=2, t=3 \rangle$ .

<i>column 1</i>	<i>column 2</i>	<i>column 3</i>	<i>column 4</i>	<i>column 5</i>
	$\{A\} \rightarrow \{A\} \rightarrow \{D\}$		$\{B\} \rightarrow \{C,D\}$	
	<i>No constraints</i>	<i>max-gap = 2</i>	<i>No constraints</i>	<i>max-gap = 2</i>
$\langle \{A,B,F\} \{C\} \{A,C,D,F\} \{E\} \{C,D\} \rangle$ t=0 t=1 t=2 t=3 t=4				
$\langle \{A,B\} \{C\} \{A,B\} \{C,D\} \rangle$ t=0 t=1 t=2 t=3				
$\langle \{F\} \{A,F\} \{A,C\} \{D\} \{A,E\} \{C\} \rangle$ t=0 t=1 t=2 t=3 t=4 t=5				
$\langle \{A,F\} \{B,C,D\} \{A,B\} \{B,E\} \{D\} \rangle$ t=0 t=1 t=2 t=3 t=4				
$\langle \{A,B\} \{A\} \{A,D\} \{A\} \{C\} \{A\} \{C,D\} \rangle$ t=0 t=1 t=2 t=3 t=4 t=5 t=6	<b>NOT REQUESTED</b>			
Total support:				

# GSP – Exercise 1

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b) (3 points) Simulate the execution of the GSP algorithm on the following dataset of sequences, assuming a minimum support threshold of 60%.

{ A } -> { B C } -> { C } -> { D }  
{ A C } -> { B } -> { C } -> { C }  
{ D } -> { C } -> { B } -> { C D }  
{ A B } -> { D } -> { C } -> { C D } -> { E }

# GSP – Exercise 1 – Solution

---

b) (3 points) Simulate the execution of the GSP algorithm on the following dataset of sequences, assuming a minimum support threshold of 60%.

{ A } -> { B C } -> { C } -> { D }  
{ A C } -> { B } -> { C } -> { C }  
{ D } -> { C } -> { B } -> { C D }  
{ A B } -> { D } -> { C } -> { C D } -> { E }

# GSP – Exercise 1 – Solution

b) (3 points) Simulate the execution of the GSP algorithm on the following dataset of sequences, assuming a minimum support threshold of 60%.

{ A } -> { B C } -> { C } -> { D }  
 { A C } -> { B } -> { C } -> { C }  
 { D } -> { C } -> { B } -> { C D }  
 { A B } -> { D } -> { C } -> { C D } -> { E }

k=2-seq

{ A }		<del>{ A } -&gt; { B }</del>	{ A } -> { C } -> { C }
{ B }	k=1-seq	{ A } -> { C }	<del>{ A } -&gt; { C } -&gt; { D }</del> (pruning)
{ C }		<del>{ A } -&gt; { D }</del>	<del>{ B } -&gt; { C } -&gt; { D }</del>
{ D }		{ B } -> { C }	<del>{ B } -&gt; { C } -&gt; { C }</del>
		{ B } -> { D }	<del>{ C } -&gt; { C } -&gt; { C }</del>
		<del>{ C } -&gt; { B }</del>	
<del>{ BC }</del>	k=2-seq	{ C } -> { C }	
<del>{ AC }</del>		{ C } -> { D }	
<del>{ CD }</del>		<del>{ D } -&gt; { B }</del>	
<del>{ AB }</del>		<del>{ D } -&gt; { C }</del>	
		<del>{ D } -&gt; { D }</del>	

# GSP – Exercise 2

b) (3 points) Running the GSP algorithm on a dataset of sequences, at the end of the second iteration it found the frequent 3-sequences on the left, and at the next iteration it generated (among the others) the candidate 4-sequences on the right. Which of the candidates will be **pruned**, and why?

Frequent 3-sequences

$\{A B\} \rightarrow \{C\}$	$\{A\} \rightarrow \{D\} \rightarrow \{C\}$
$\{A B\} \rightarrow \{D\}$	$\{B\} \rightarrow \{C\} \rightarrow \{C\}$
$\{A\} \rightarrow \{C D\}$	$\{B\} \rightarrow \{C\} \rightarrow \{D\}$
$\{B\} \rightarrow \{C D\}$	$\{B\} \rightarrow \{D\} \rightarrow \{C\}$
$\{A\} \rightarrow \{C\} \rightarrow \{C\}$	$\{D\} \rightarrow \{C\} \rightarrow \{C\}$
$\{A\} \rightarrow \{C\} \rightarrow \{D\}$	$\{D\} \rightarrow \{C\} \rightarrow \{D\}$

Candidates

1.  $\{A B\} \rightarrow \{C D\}$
2.  $\{A\} \rightarrow \{D\} \rightarrow \{C\} \rightarrow \{D\}$
3.  $\{B\} \rightarrow \{D\} \rightarrow \{C\} \rightarrow \{D\}$
4.  $\{A B\} \rightarrow \{D\} \rightarrow \{C\}$
5.  $\{A B\} \rightarrow \{C\} \rightarrow \{D\}$

# GSP – Exercise 2 – Solution

b) (3 points) Running the GSP algorithm on a dataset of sequences, at the end of the second iteration it found the frequent 3-sequences on the left, and at the next iteration it generated (among the others) the candidate 4-sequences on the right. Which of the candidates will be **pruned**, and why?

Frequent 3-sequences

$\{A B\} \rightarrow \{C\}$	$\{A\} \rightarrow \{D\} \rightarrow \{C\}$
$\{A B\} \rightarrow \{D\}$	$\{B\} \rightarrow \{C\} \rightarrow \{C\}$
$\{A\} \rightarrow \{C D\}$	$\{B\} \rightarrow \{C\} \rightarrow \{D\}$
$\{B\} \rightarrow \{C D\}$	$\{B\} \rightarrow \{D\} \rightarrow \{C\}$
$\{A\} \rightarrow \{C\} \rightarrow \{C\}$	$\{D\} \rightarrow \{C\} \rightarrow \{C\}$
$\{A\} \rightarrow \{C\} \rightarrow \{D\}$	$\{D\} \rightarrow \{C\} \rightarrow \{D\}$

Candidates

1.  $\{A B\} \rightarrow \{C D\}$
2.  $\{A\} \rightarrow \{D\} \rightarrow \{C\} \rightarrow \{D\}$
3.  $\{B\} \rightarrow \{D\} \rightarrow \{C\} \rightarrow \{D\}$
4.  $\{A B\} \rightarrow \{D\} \rightarrow \{C\}$
5.  $\{A B\} \rightarrow \{C\} \rightarrow \{D\}$

**Answer:**

Candidates

1.  $\{A B\} \rightarrow \{C D\}$
2.  $\{A\} \rightarrow \{D\} \rightarrow \{C\} \rightarrow \{D\}$  ← **PRUNED**
3.  $\{B\} \rightarrow \{D\} \rightarrow \{C\} \rightarrow \{D\}$  ← **PRUNED**
4.  $\{A B\} \rightarrow \{D\} \rightarrow \{C\}$
5.  $\{A B\} \rightarrow \{C\} \rightarrow \{D\}$

Missing from frequent 3-sequences

- $A \rightarrow D \rightarrow D$
- $B \rightarrow D \rightarrow D$