

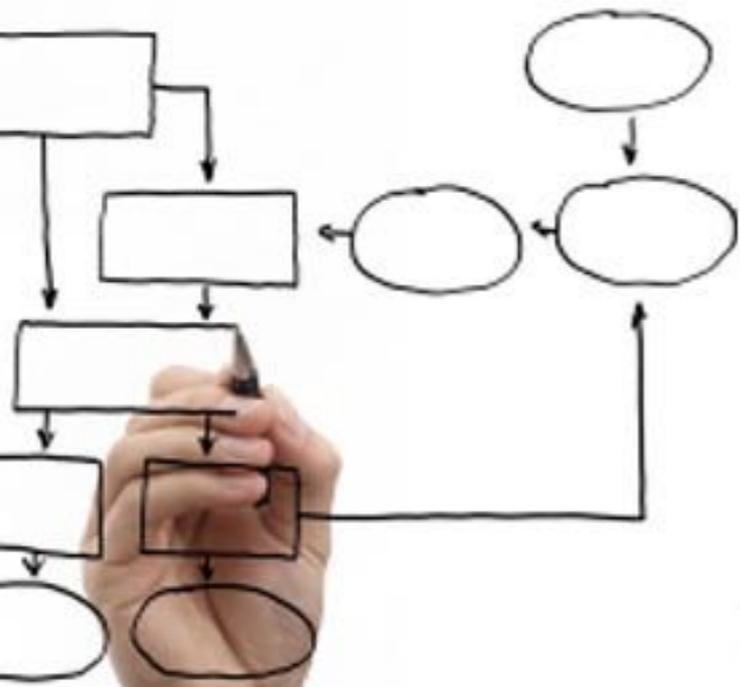
Business Processes Modelling

MPB (6 cfu, 295AA)

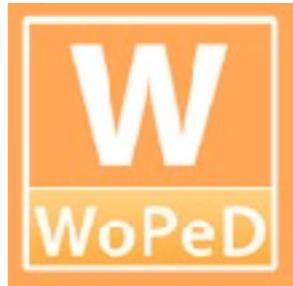
Roberto Bruni

<http://www.di.unipi.it/~bruni>

19 - Diagnosis for WF nets



Object

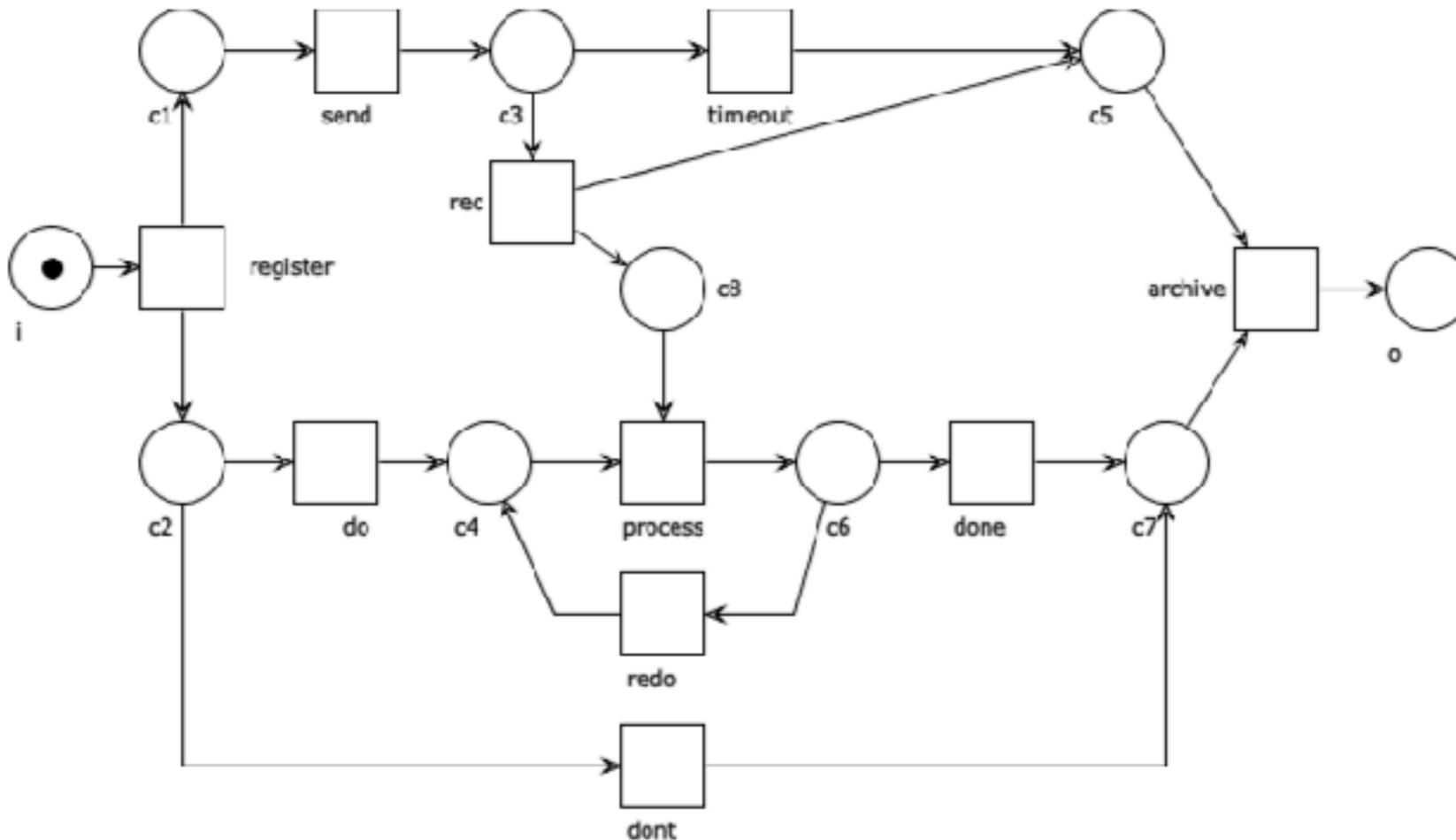


We study suitable diagnosis techniques
for unsound Workflow nets

Diagnosing workflow processes using Woflan (article, optional reading)
<http://wwwis.win.tue.nl/~wvdaalst/publications/p135.pdf>

Woped

what are S-components?
and why are they relevant?



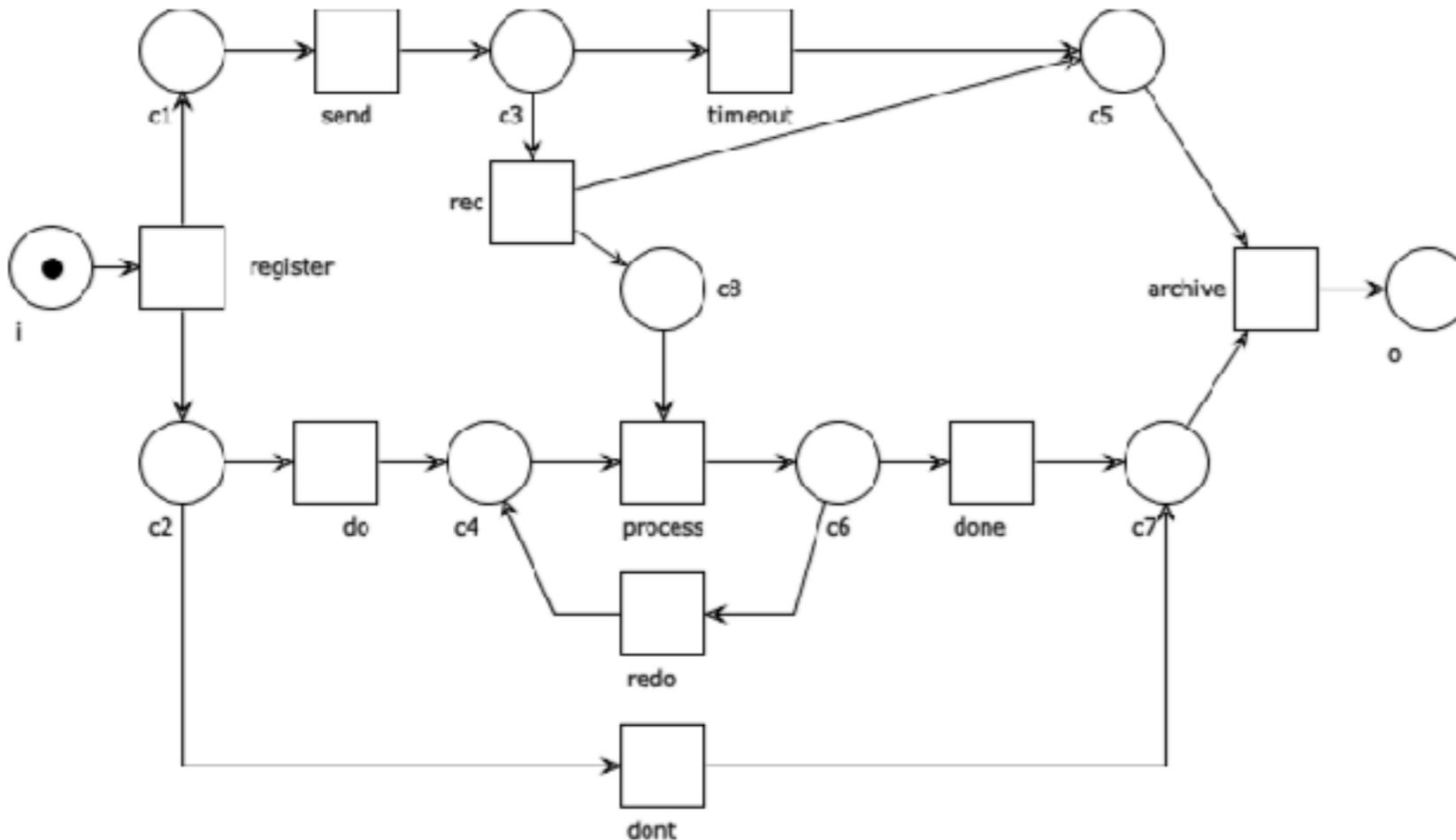
Semantical analysis

Wizard Expert

- Qualitative analysis
 - Structural analysis
 - Net statistics
 - Places: 10
 - Transitions: 10
 - Operators: 0
 - Subprocesses: 0
 - Arcs: 24
 - Wrongly used operators: 0
 - Free-choice violations: 0
 - S-Components
 - S-Components: 2
 - Places not covered by S-Component: 1
 - c8
 - Wellstructuredness
 - PT-Handles: 4
 - TP-Handles: 5
 - Soundness
 - Workflow net property
 - Initial marking
 - Boundedness
 - Liveness

Woped

what are PT/TP-handles?
and why are they relevant?



Semantical analysis

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S-Coverability

Rank Theorem

(main result, proof omitted)

Theorem:

A free-choice system (P, T, F, M_0) is live and bounded
iff

1. it has at least one place and one transition
2. it is connected
3. M_0 marks every proper siphon
- 4. it has a positive S-invariant**
5. it has a positive T-invariant
6. $\text{rank}(N) = |C_N| - 1$

(where C_N is the set of clusters)

A technique to find a positive S -invariant

A case is often composed by parallel threads of control
(each thread imposing some order over its tasks)

Decompose the net N in suitable S -nets
so that any place of N belongs to some S -net
(the same place can appear in more S -nets)

Each S -net induces a uniform S -invariant

A positive S -invariant is obtained
as the sum of the S -invariants of each subnet

S-component

take a set of nodes

Definition: Let $N = (P, T, F)$ and $\emptyset \subset X \subseteq P \cup T$

Let $N' = (P \cap X, T \cap X, F \cap (X \times X))$ be a subnet of N .

N' is an **S-component** if

forget the arcs to other nodes

1. it is a strongly connected S-net

2. for every place $p \in X \cap P$, we have $\bullet p \cup p \bullet \subseteq X$

if a place p is taken

then all transitions attached to p must be selected

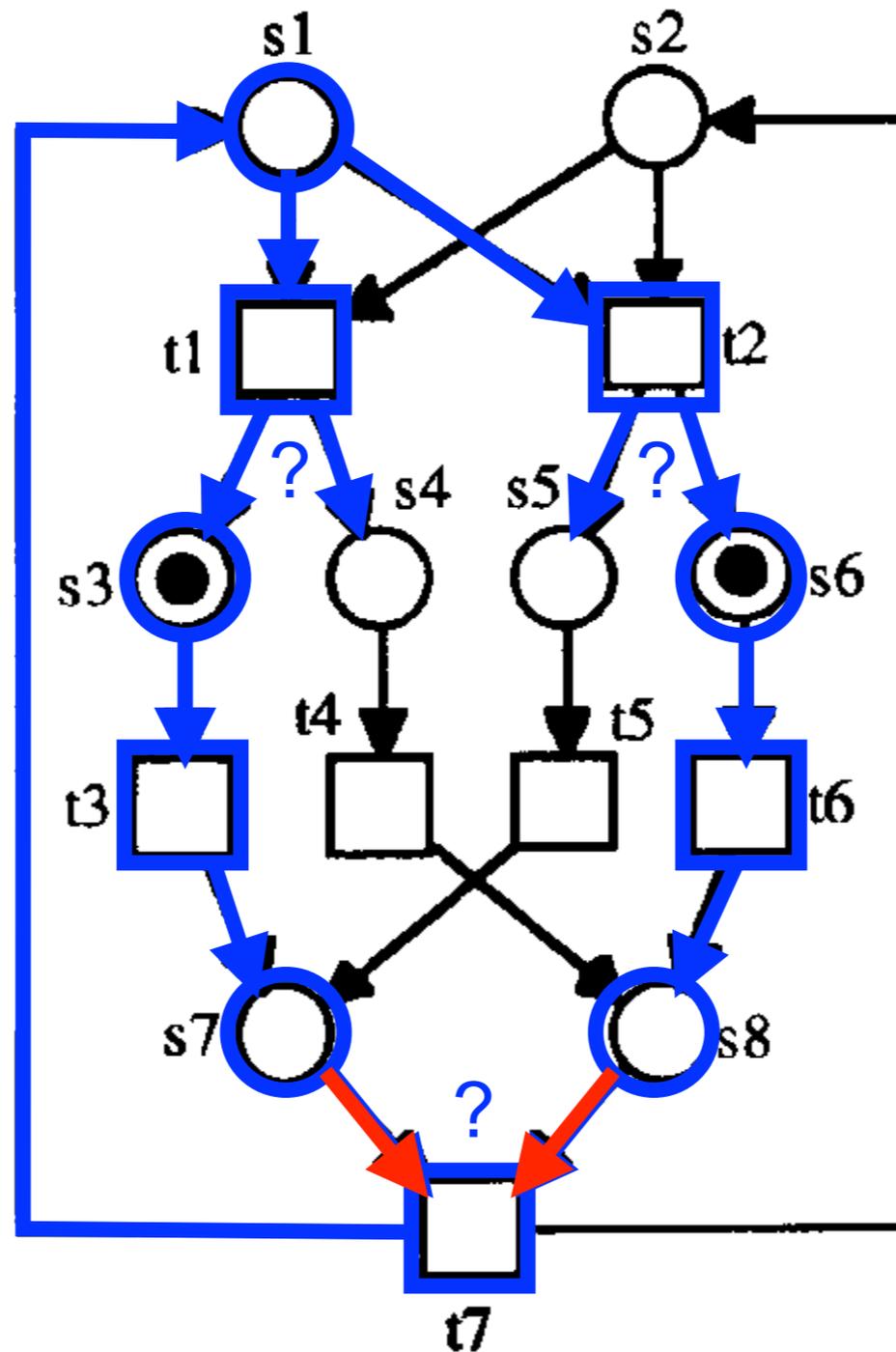
S-cover

Definition: an **S-cover** of a net N
is a set C of S-components of N such that
every place p of N belongs to one or more S-components in C

N is **S-coverable** if it has an S-cover

if a place p is taken
then all transitions attached to p must be selected

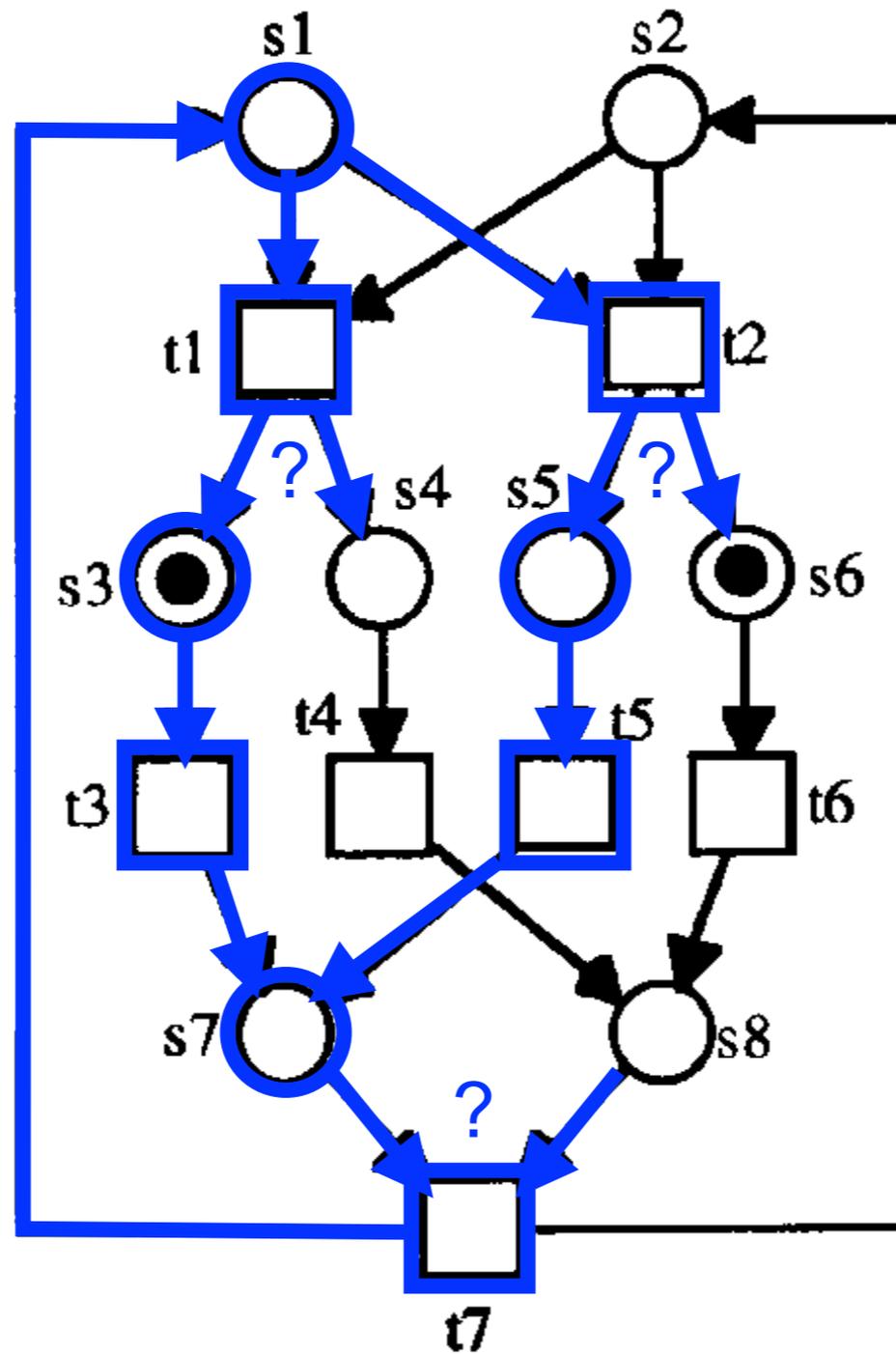
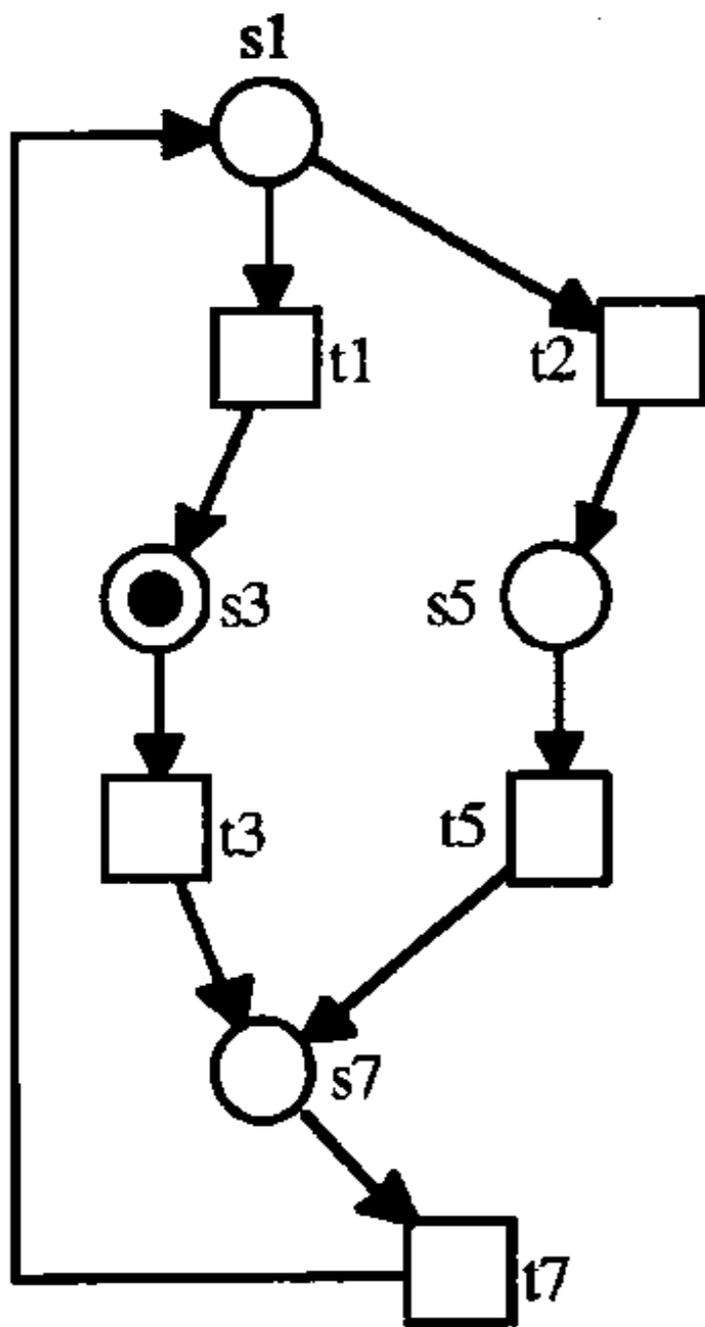
S-cover: example



not an S-net

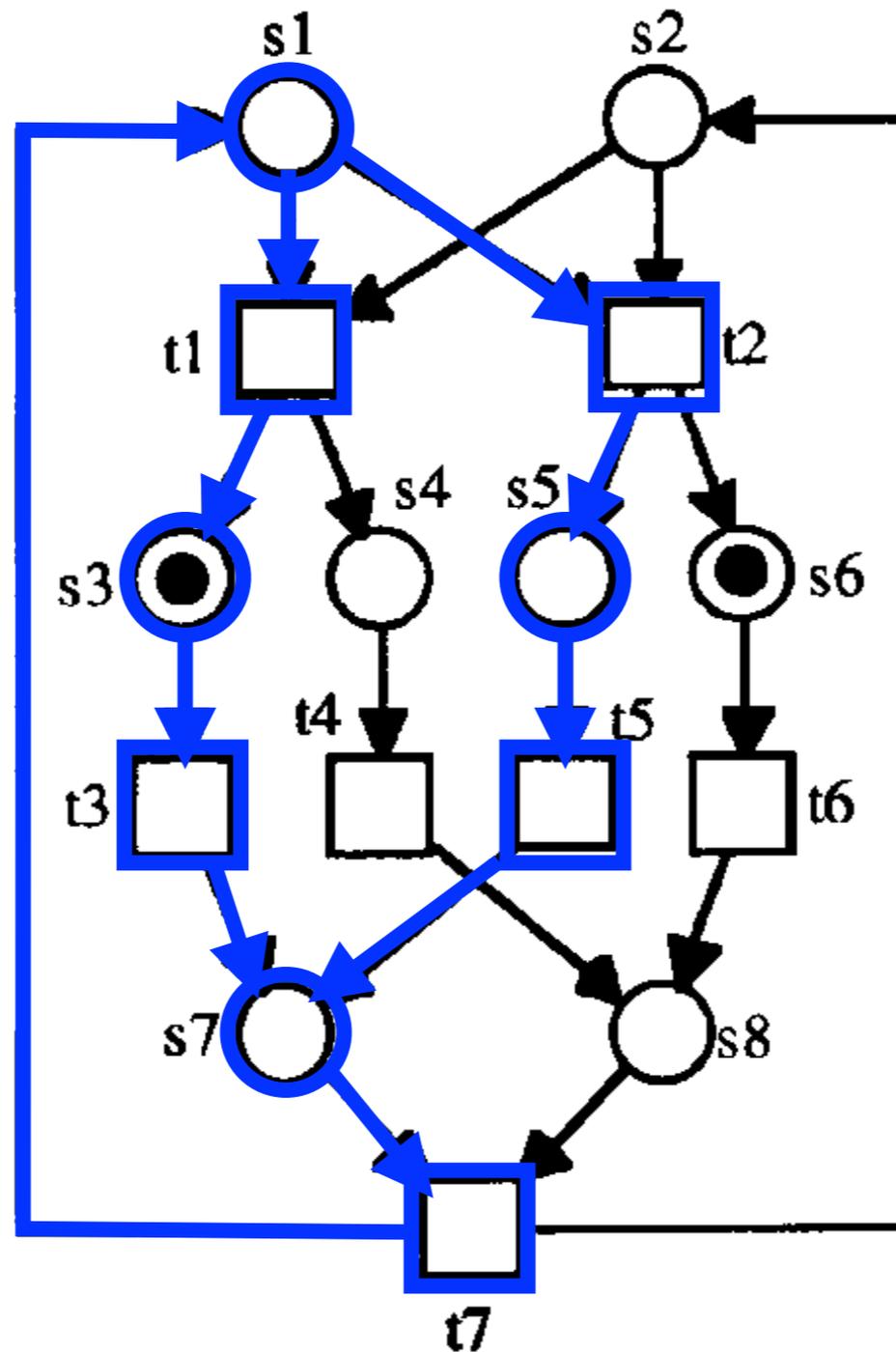
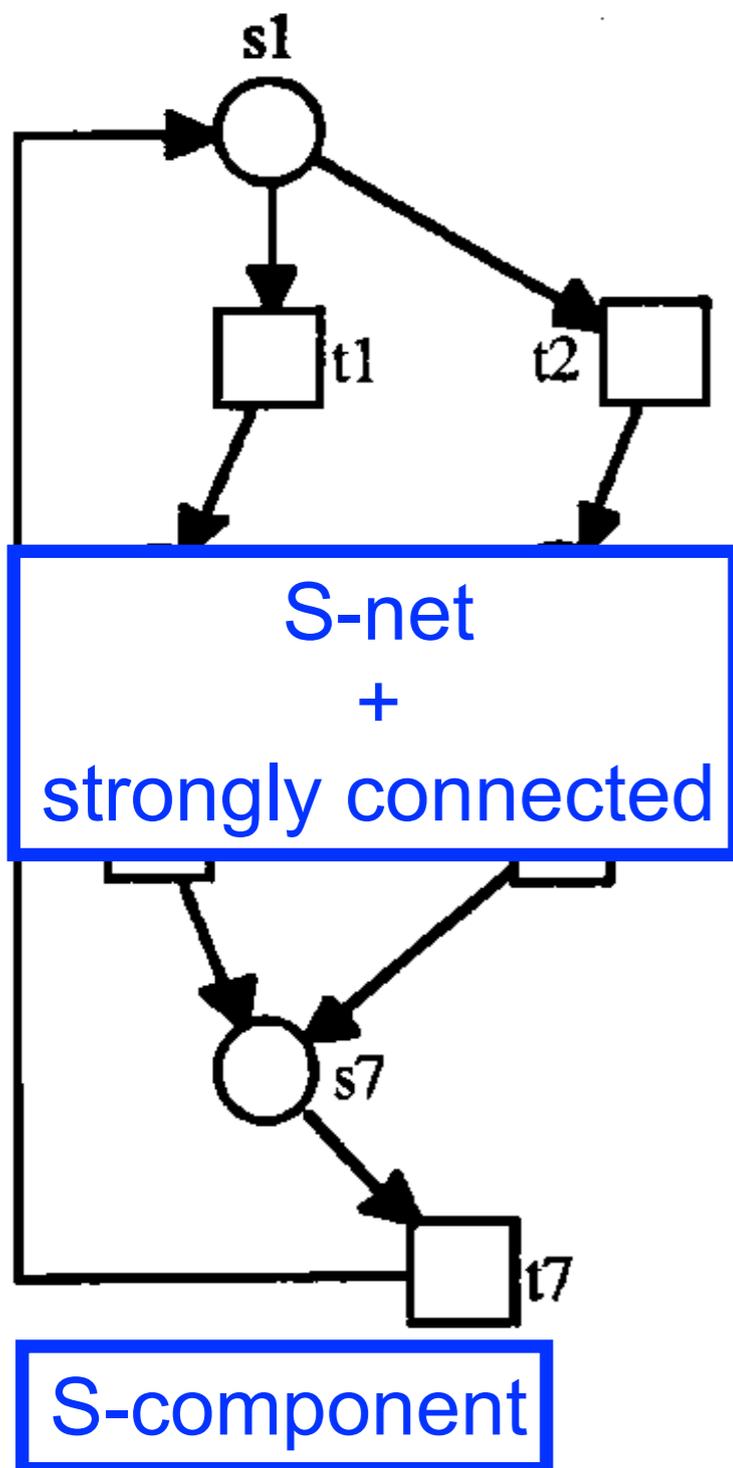
if a place p is taken
then all transitions attached to p must be selected

S-cover: example



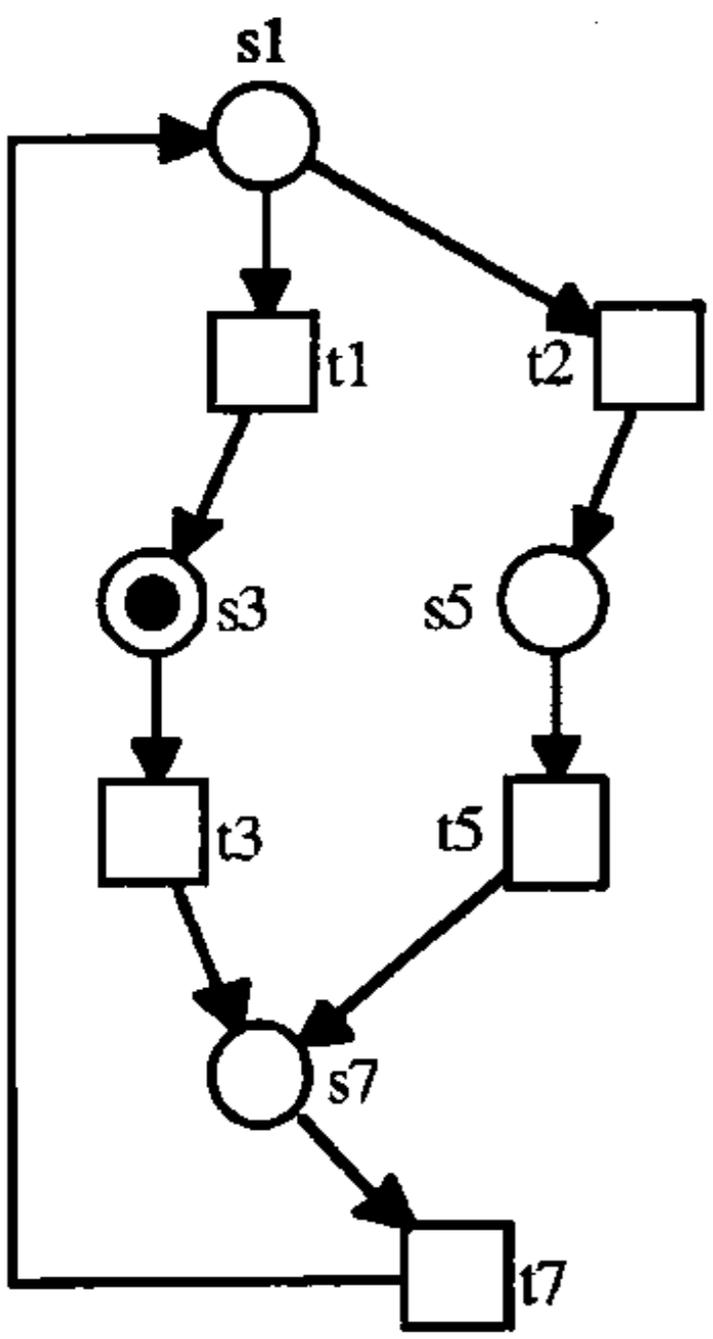
if a place p is taken
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S-cover: example

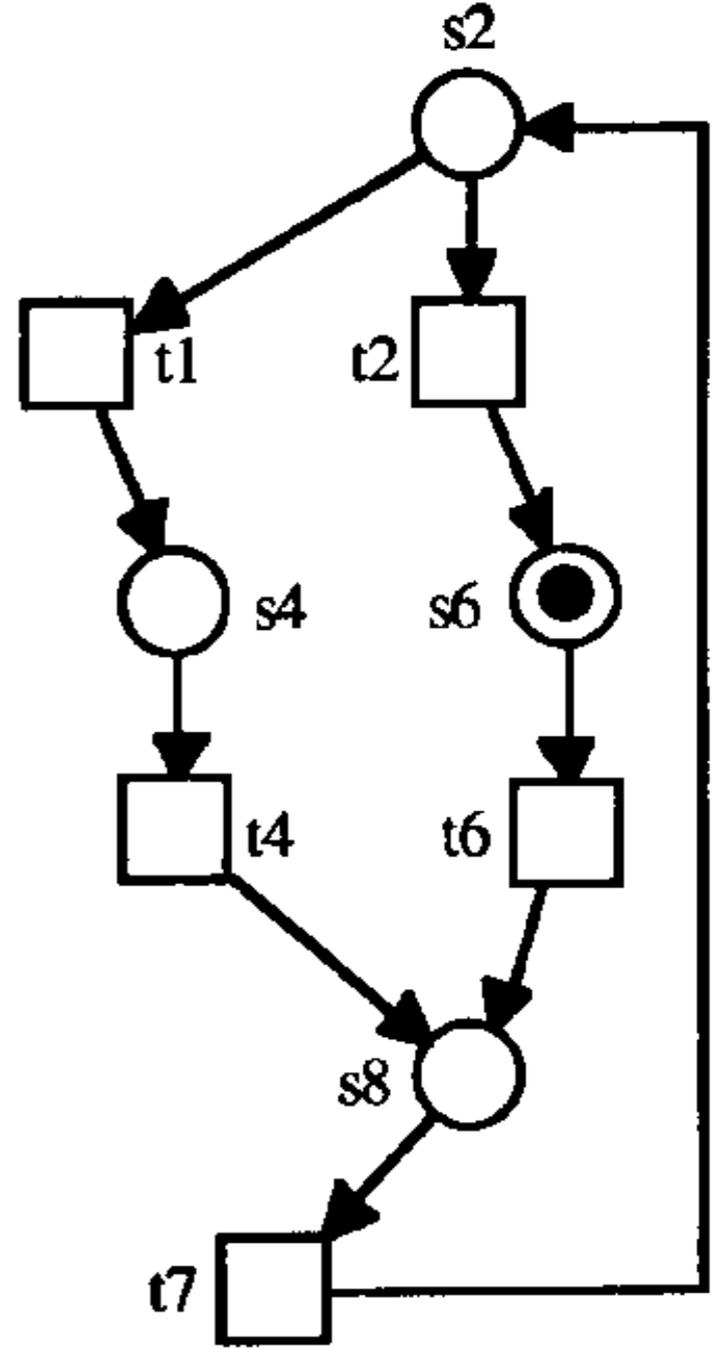
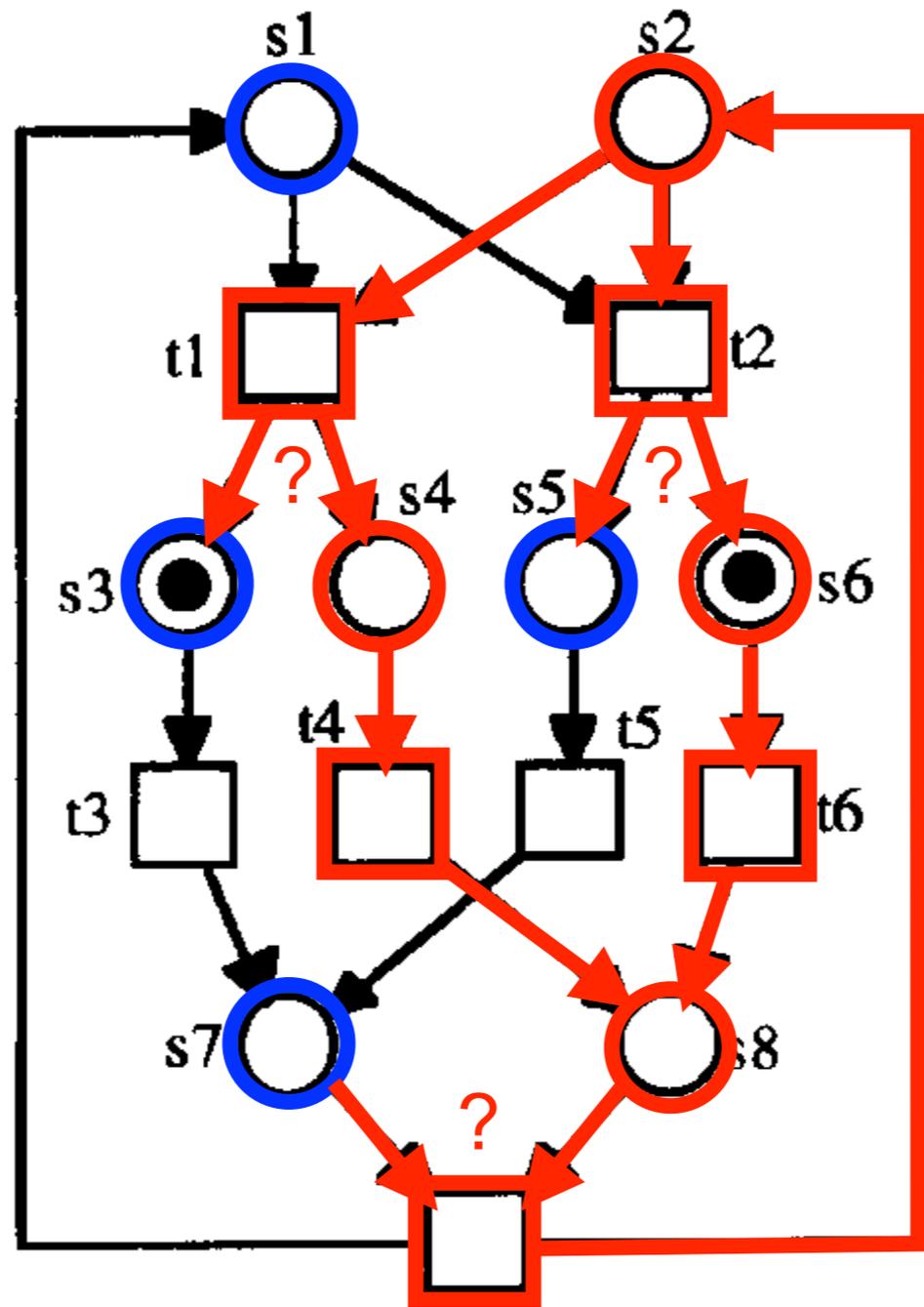


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S-cover: example

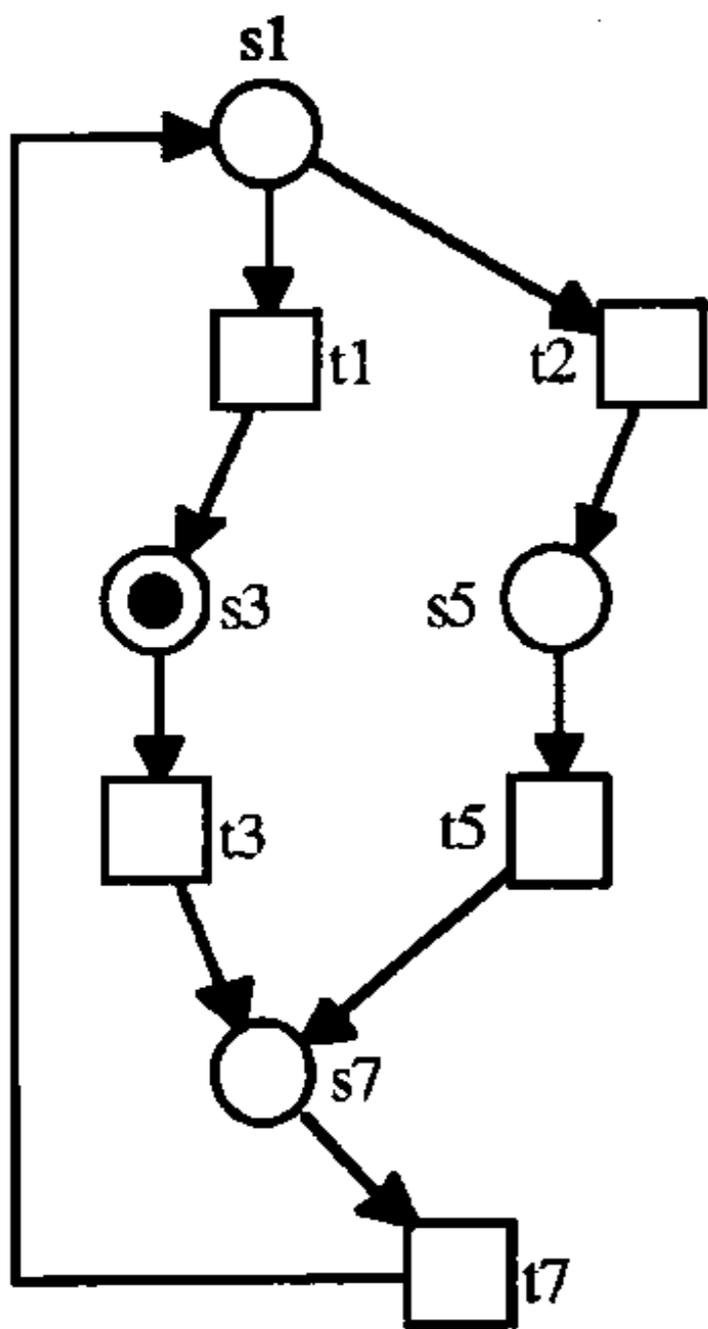


S-component

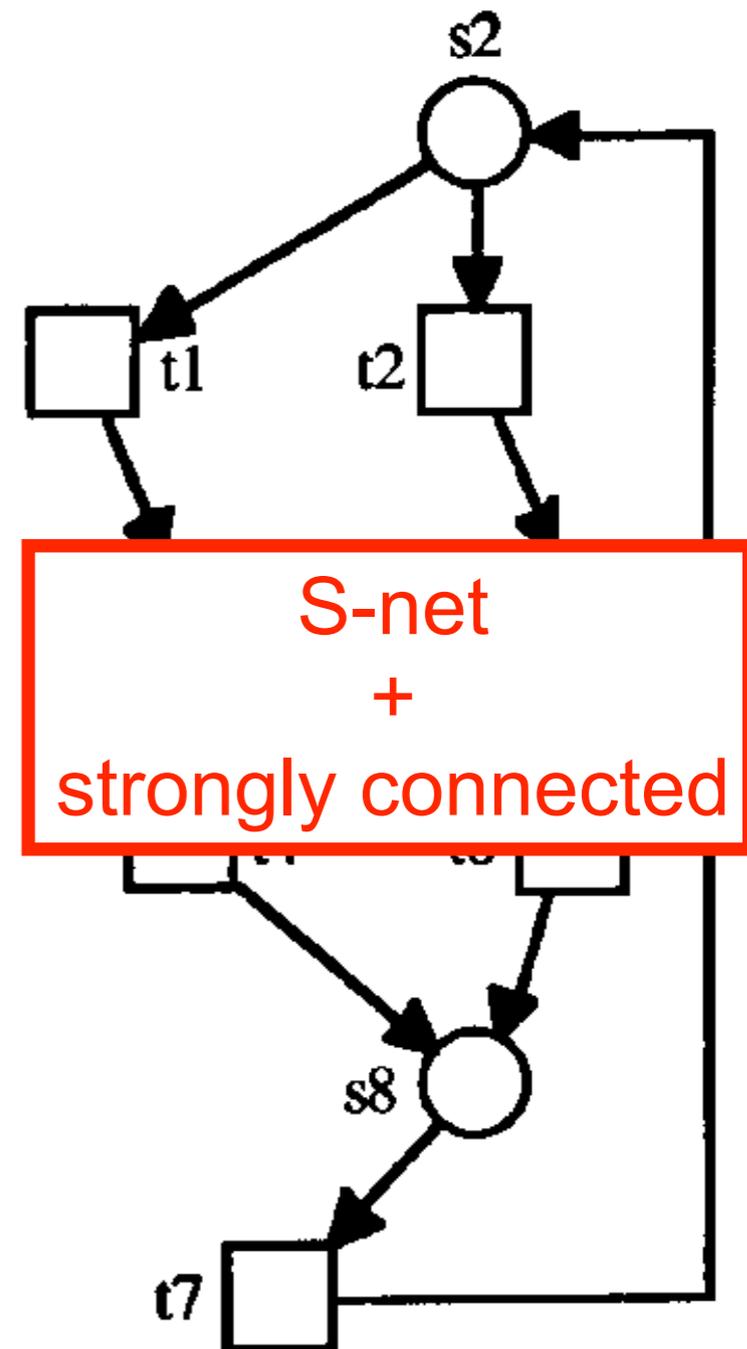
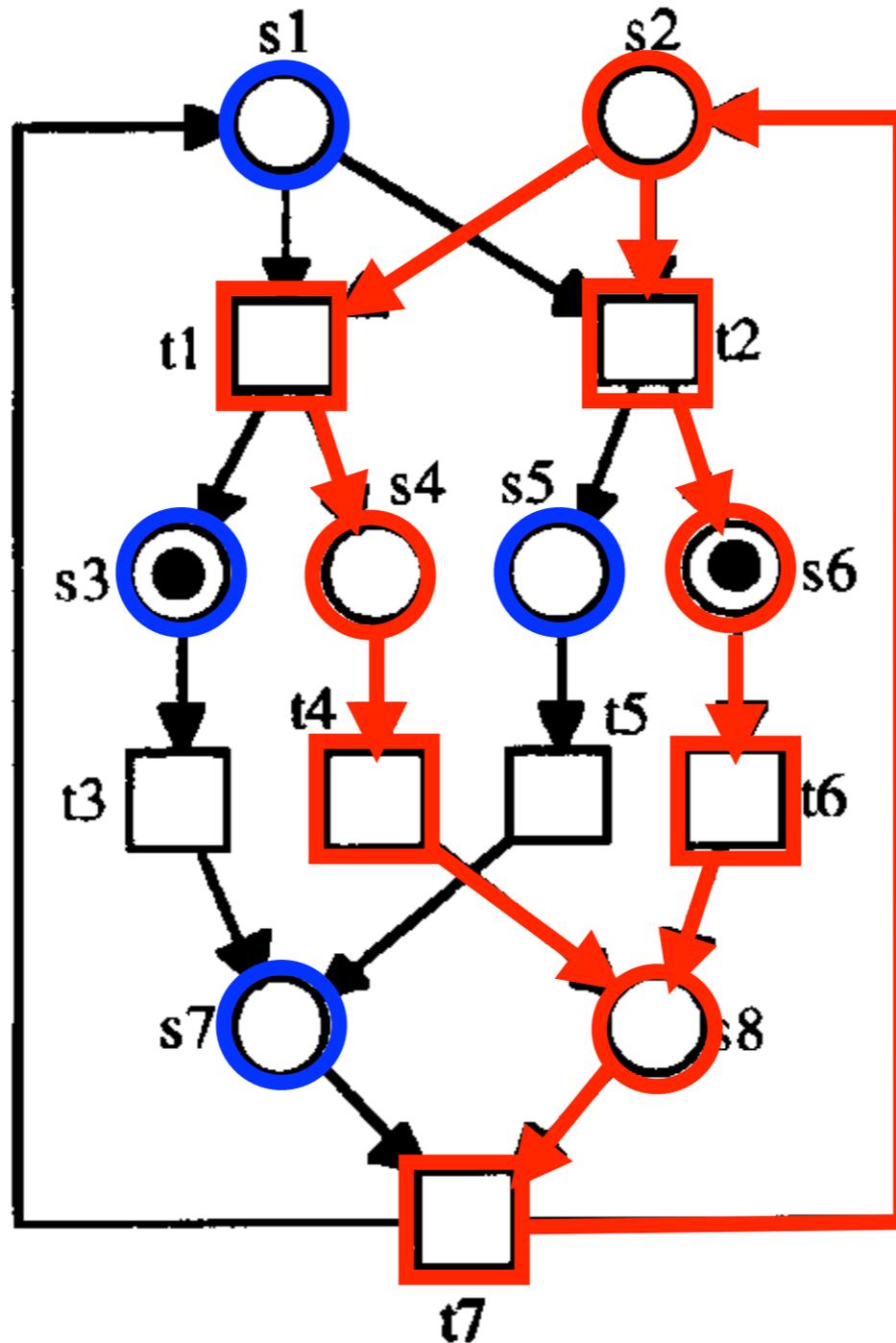


if a place p is taken
 then all transitions attached to p must be selected

S-cover: example



S-component

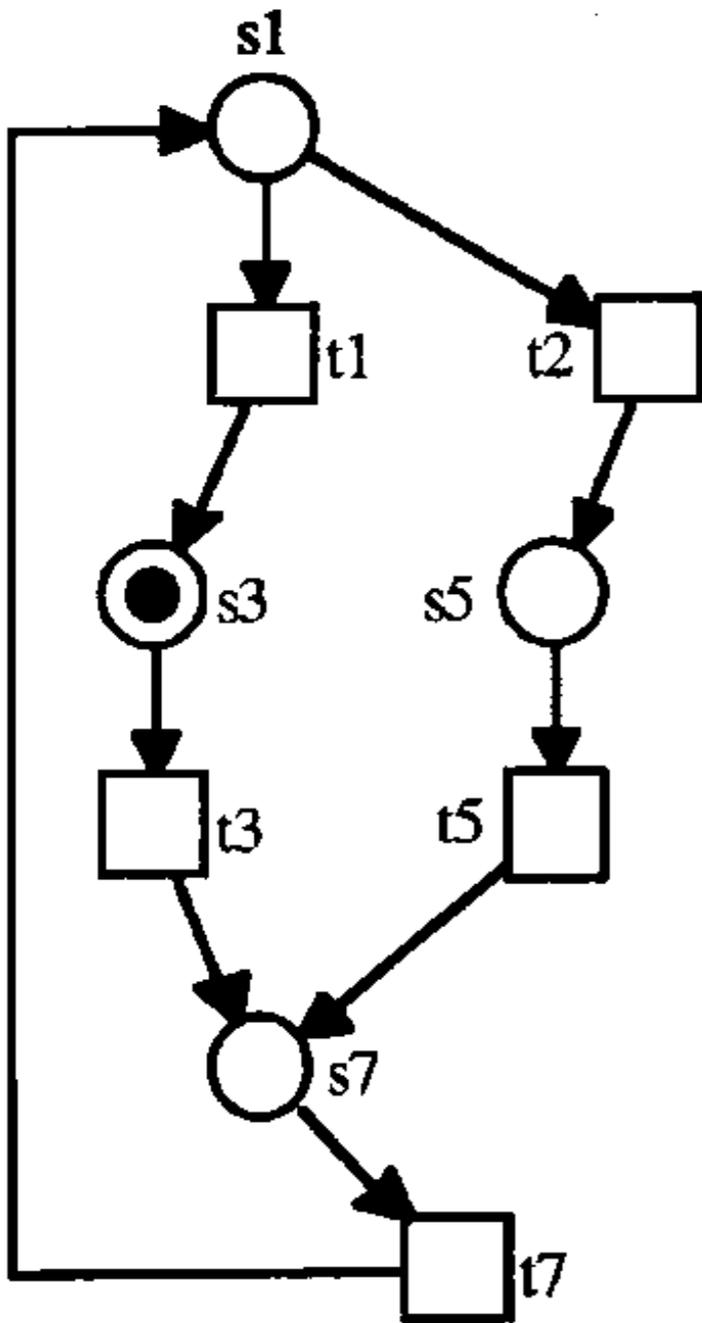


S-component

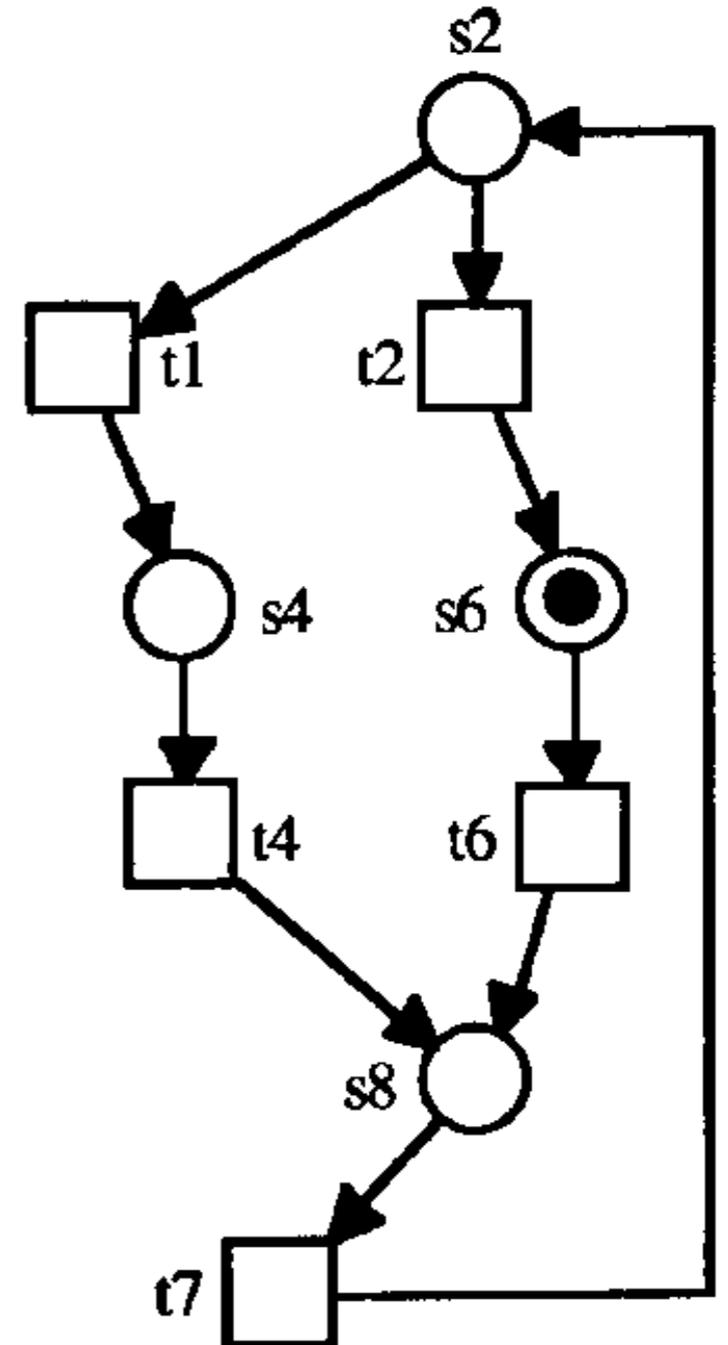
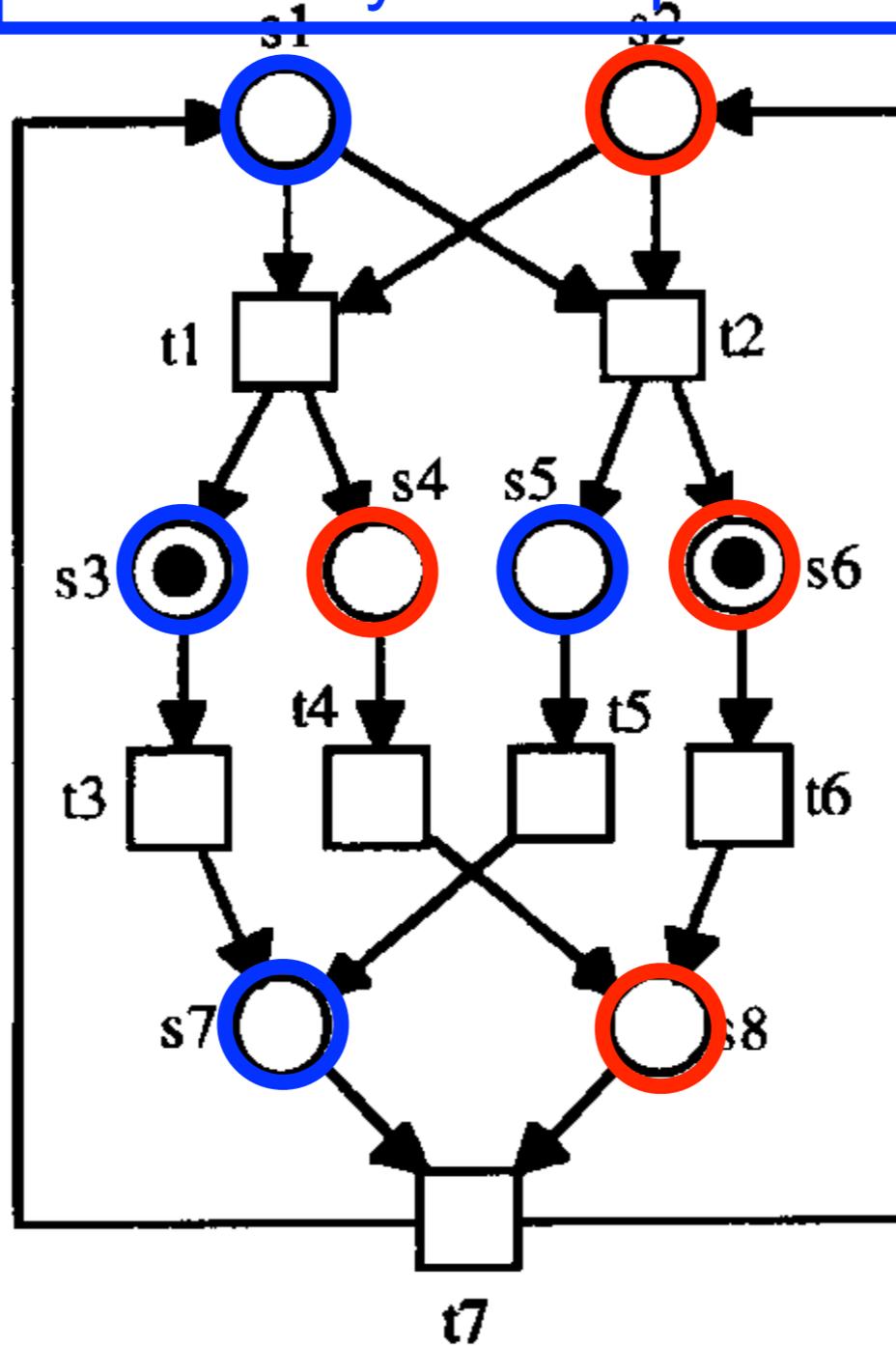
S-net
 +
 strongly connected

S-cover: example

covered by S-components



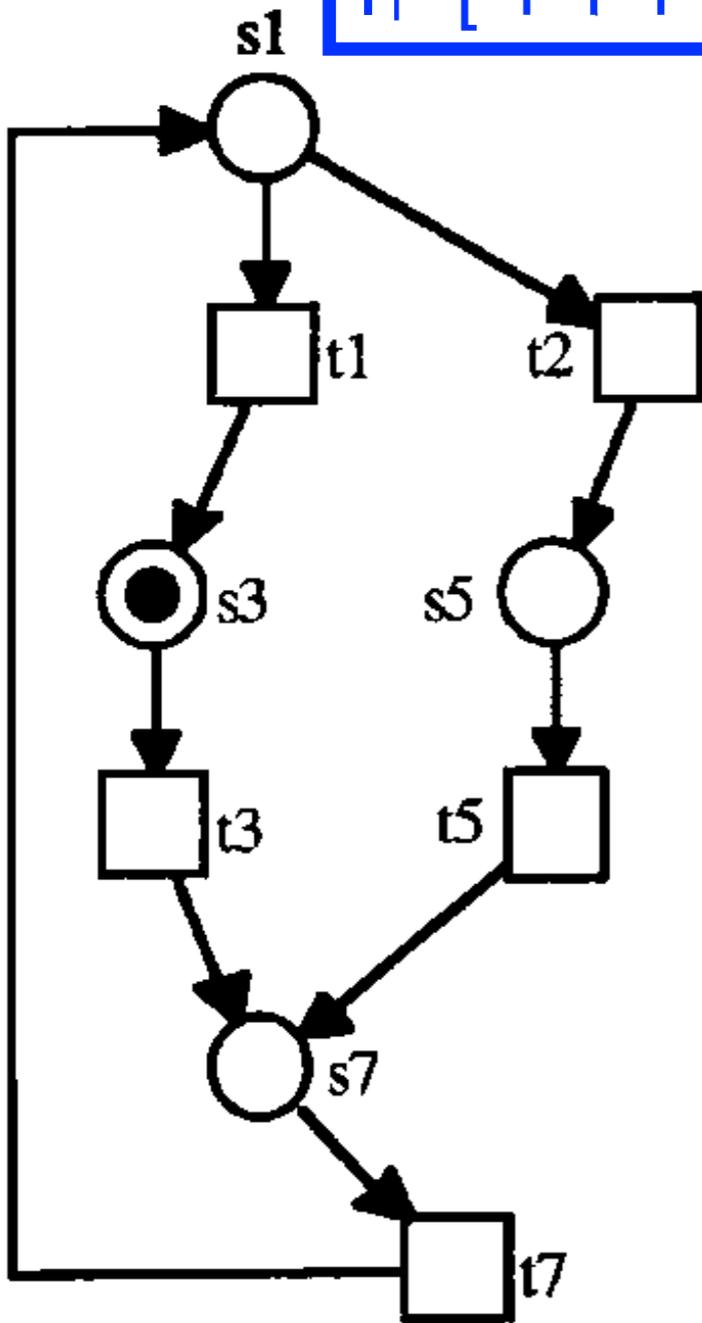
S-component



S-component

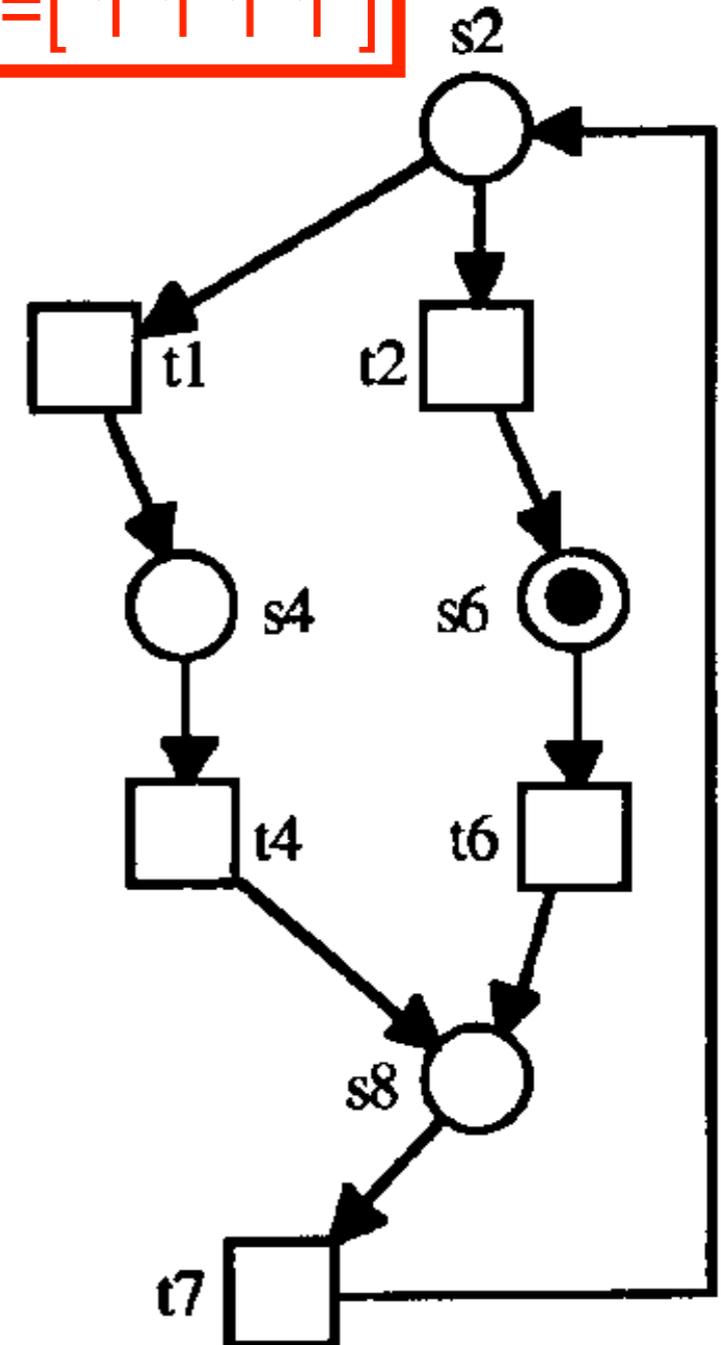
S-cover: example

$$l_1 = [1 \ 1 \ 1 \ 1]$$



S-component

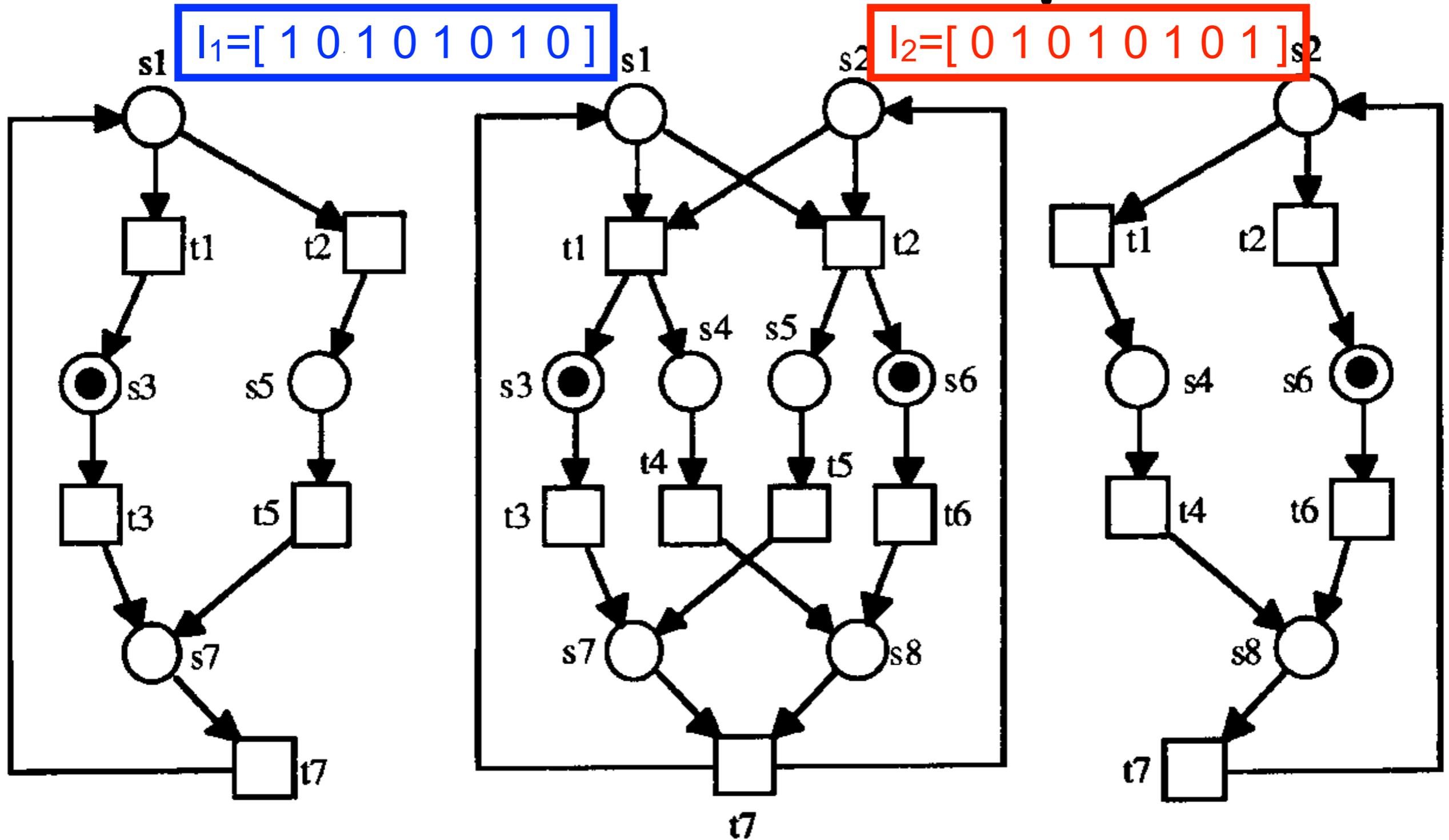
$$l_2 = [1 \ 1 \ 1 \ 1]$$



S-component

S-cover

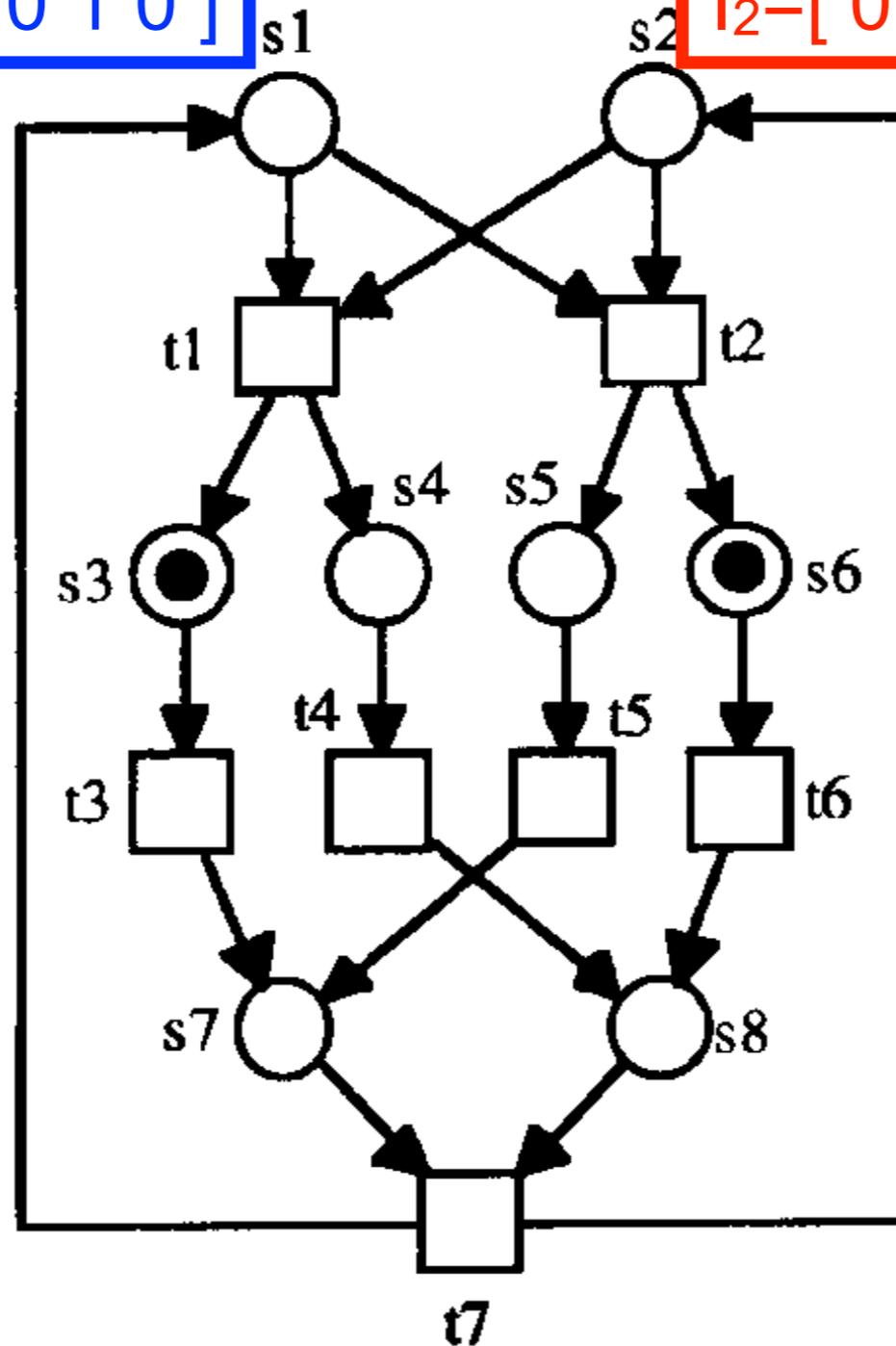
S-cover: example



S-cover: example

$$I_1 = [1\ 0\ 1\ 0\ 1\ 0\ 1\ 0]$$

$$I_2 = [0\ 1\ 0\ 1\ 0\ 1\ 0\ 1]$$



$$I_1 + I_2 = [1\ 1\ 1\ 1\ 1\ 1\ 1\ 1]$$

positive S-invariant

S-coverability theorem

Theorem: If a free-choice system is live and bounded then it is S-coverable

(proof omitted)

Consequence:

free-choice + not S-coverable \Rightarrow not (live and bounded)

S-Coverability diagnosis

N is sound iff N^* is live and bounded (Main Theorem)

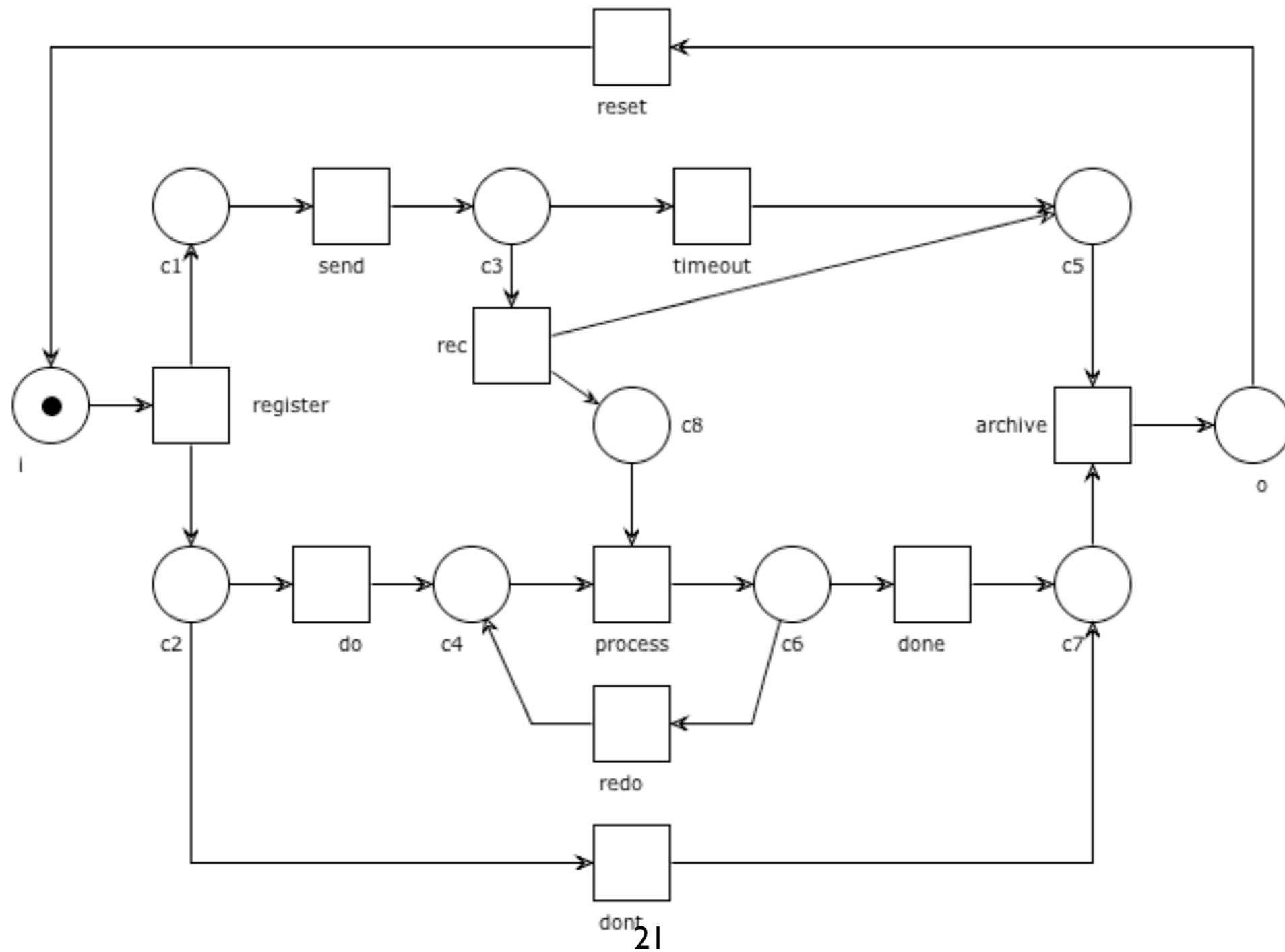
N is free-choice iff N^* is free-choice

If N^* is free-choice, live and bounded
it must be S-coverable (S-coverability theorem)

Corollary: If N is sound and free-choice,
then N^* must be S-coverable

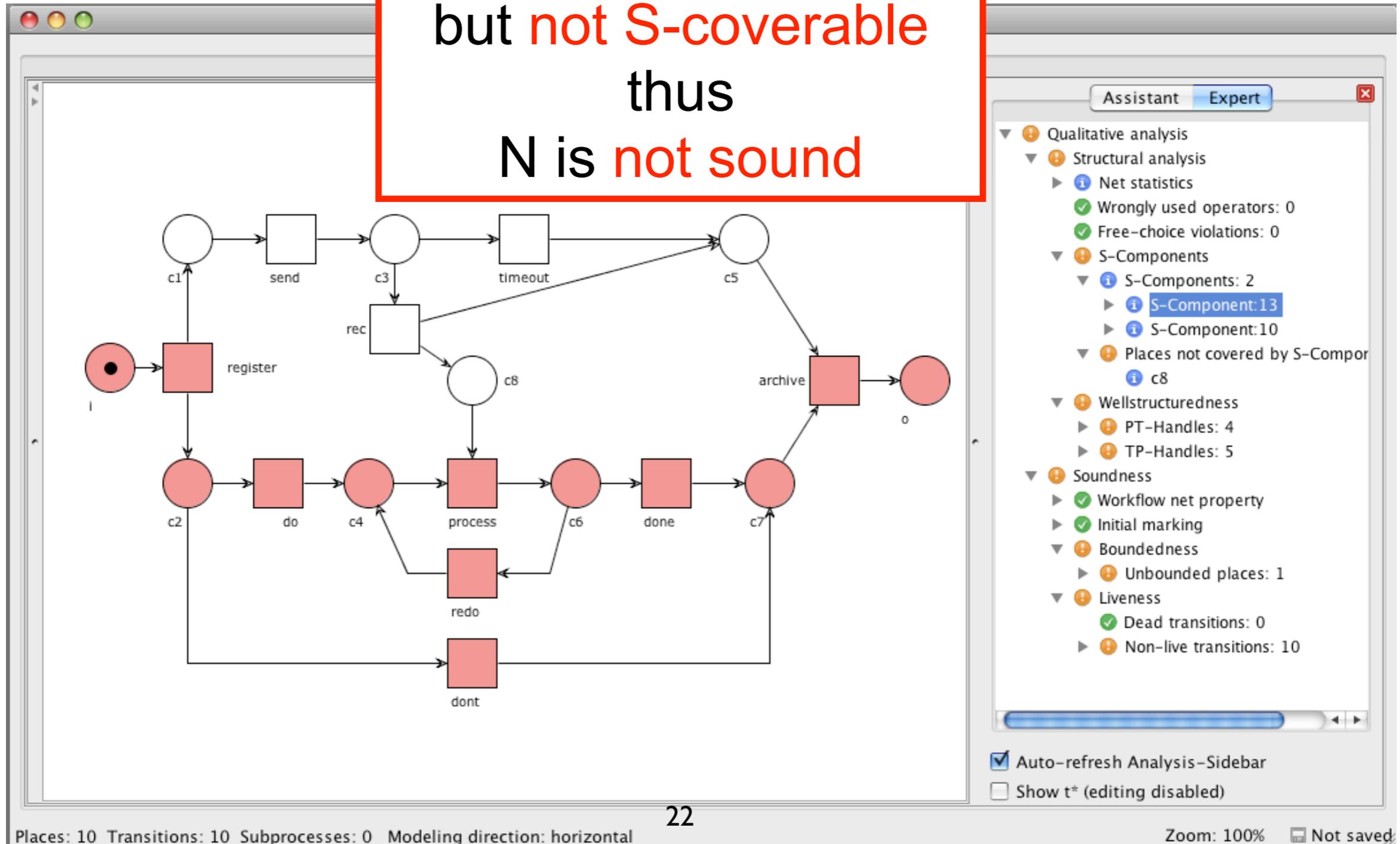
N free-choice + N^* not S-coverable \Rightarrow N not sound

S-cover for N^* ?



WoPeD Diagnosis

N^* is free-choice
but not S-coverable
thus
 N is not sound



Be careful

reset transition is implicit in WoPeD

WoPeD shows S-components for N^*
(not for N)

T-Coverability

Rank Theorem

(main result, proof omitted)

Theorem:

A free-choice system (P, T, F, M_0) is live and bounded
iff

1. it has at least one place and one transition
2. it is connected
3. M_0 marks every proper siphon
4. it has a positive S-invariant
- 5. it has a positive T-invariant**
6. $\text{rank}(N) = |C_N| - 1$

(where C_N is the set of clusters)

A technique to find a positive T-invariant

Decompose the net N in suitable T-nets
so that any transition of N belongs to some T-net
(the same transition can appear in more T-nets)

Each T-net induces a uniform T-invariant

A positive T-invariant is obtained
as the sum of the T-invariants of each subnet

T-component

take a set of nodes

Definition: Let $N = (P, T, F)$ and $\emptyset \subset X \subseteq P \cup T$

Let $N' = (P \cap X, T \cap X, F \cap (X \times X))$ be a subnet of N .

N' is a **T-component** if

forget the arcs to other nodes

1. it is a strongly connected T-net

2. for every transition $t \in X \cap T$, we have $\bullet t \cup t \bullet \subseteq X$

if a transition is selected
then all the attached places must be selected

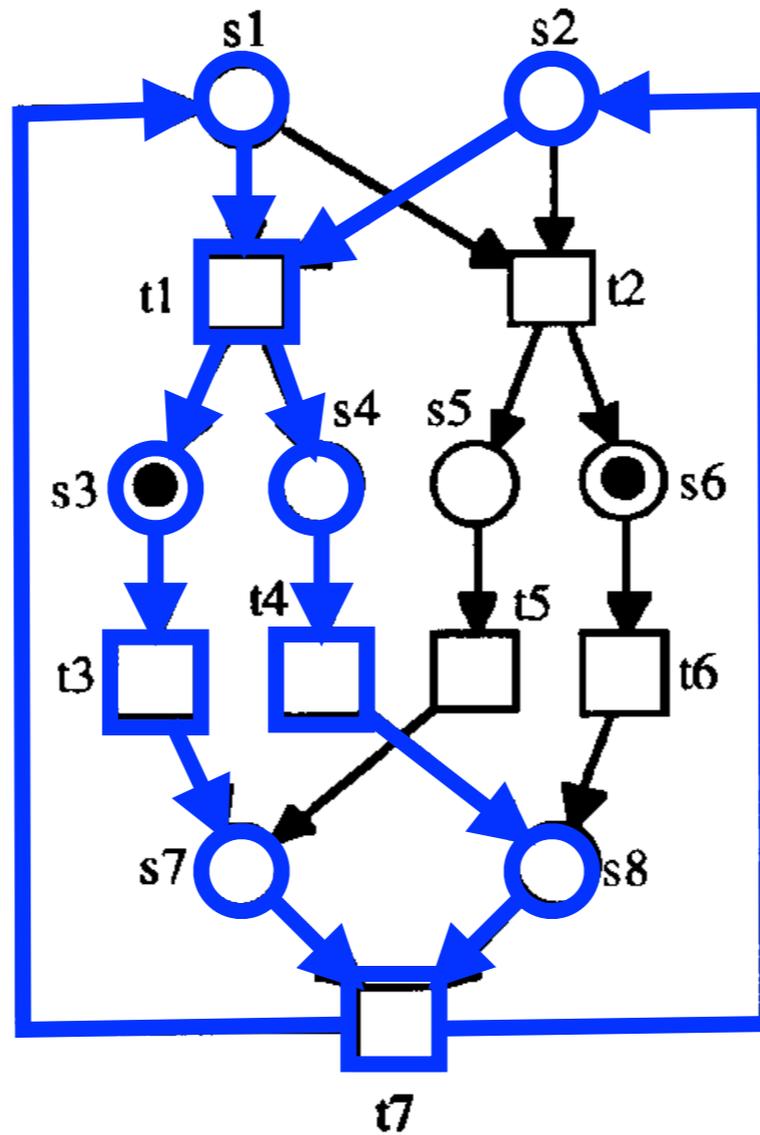
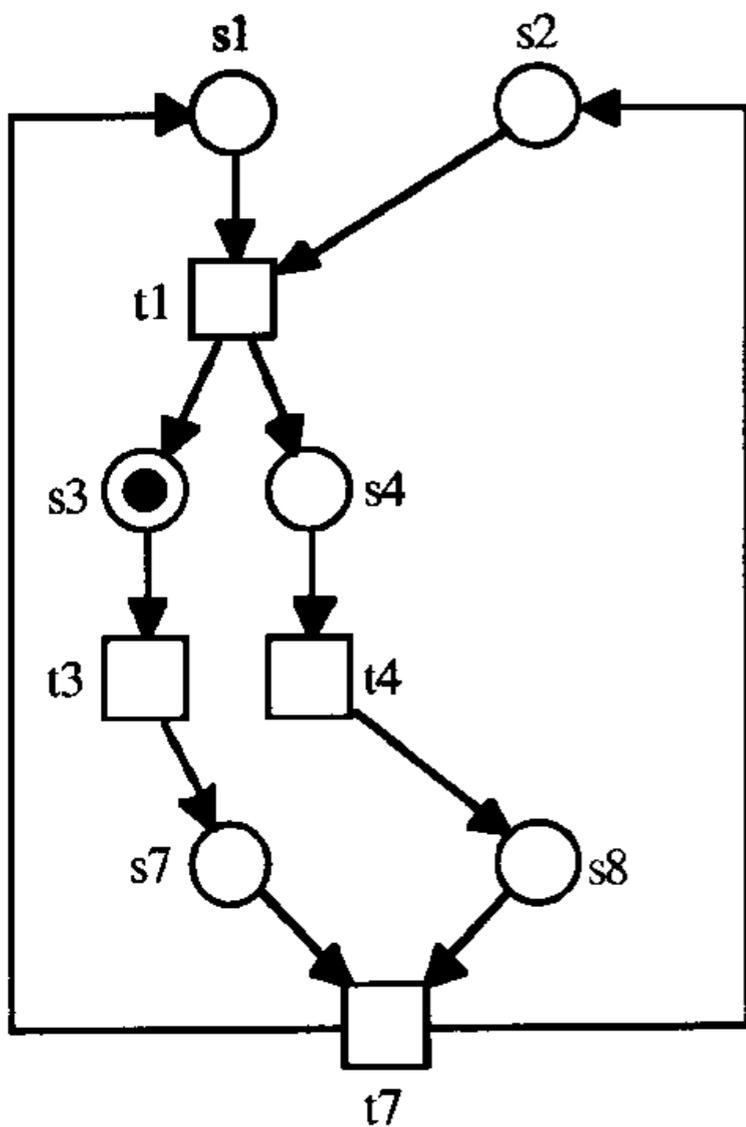
T-cover

Definition: a **T-cover** of a net N is a set C of T-components of N such that every transition t of N is in one or more T-components in C

N is **T-coverable** if it has a T-cover

if a transition is selected
then all the attached places must be selected

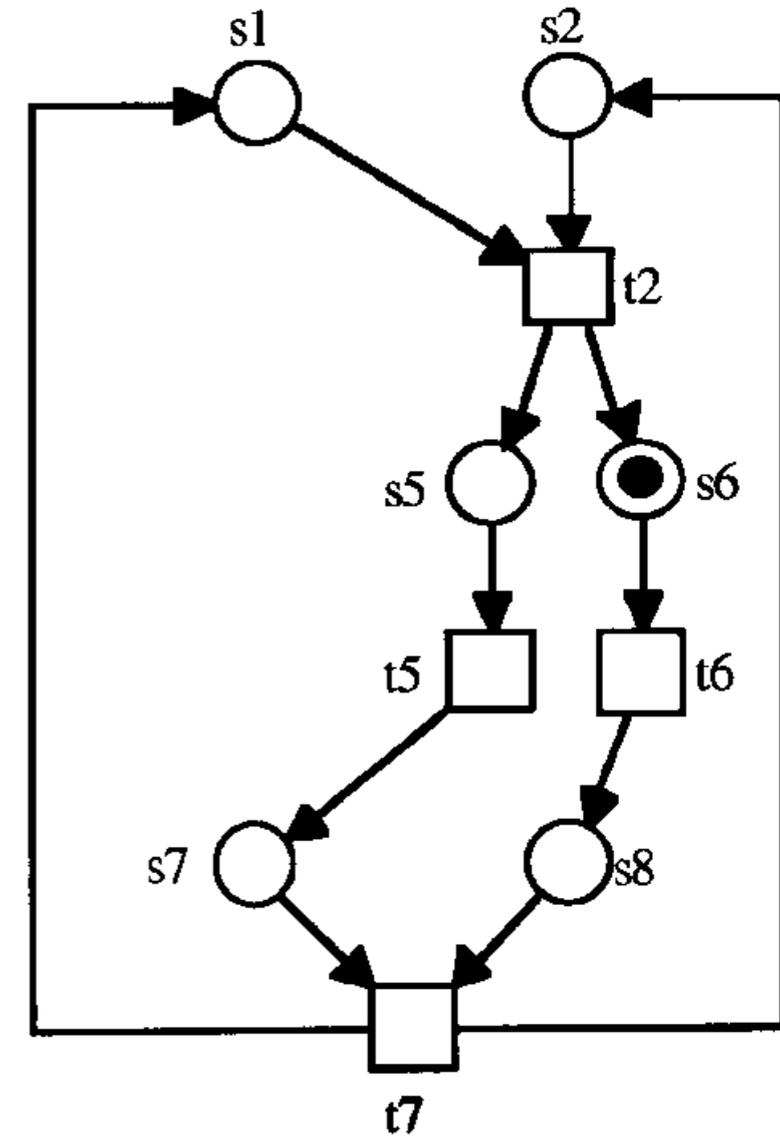
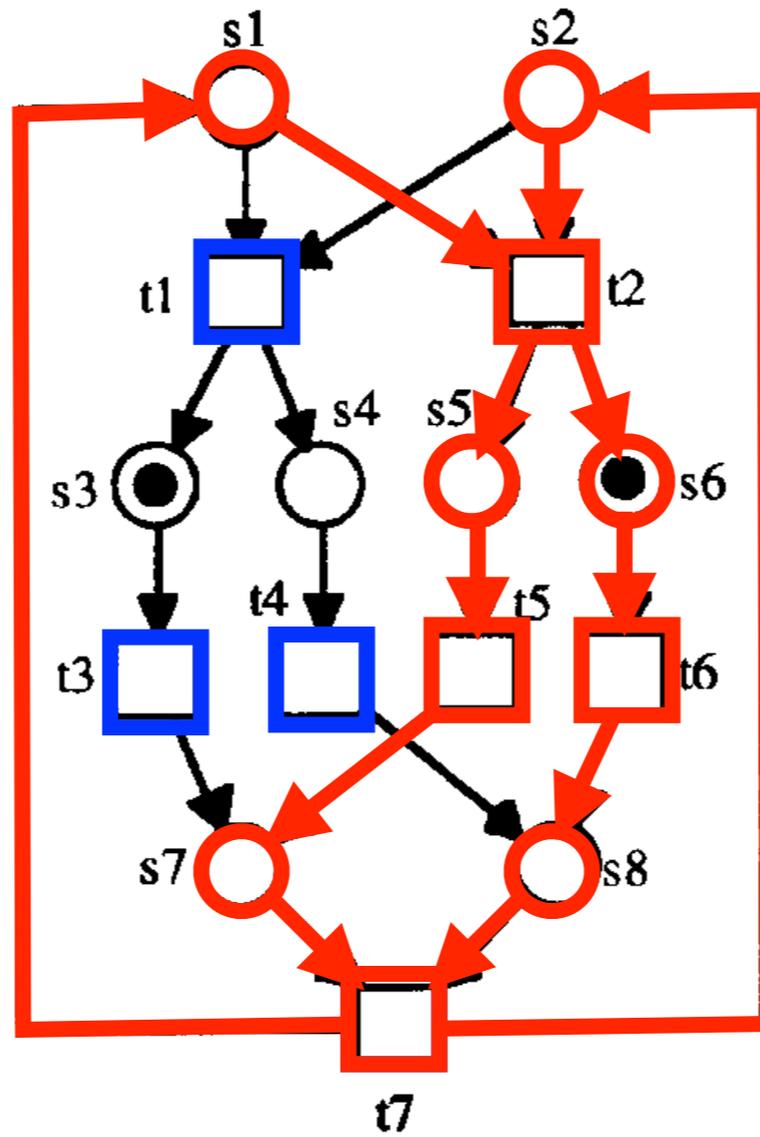
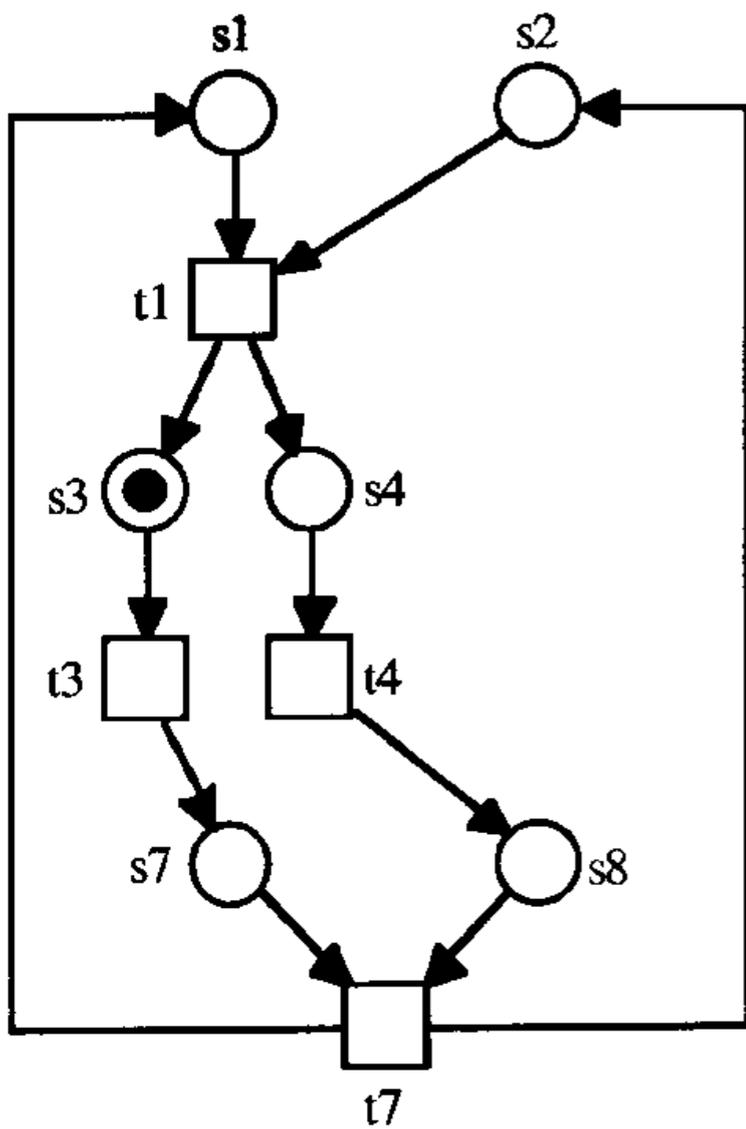
T-cover: example



T-component

if a transition is selected
then all the attached places must be selected

T-cover: example

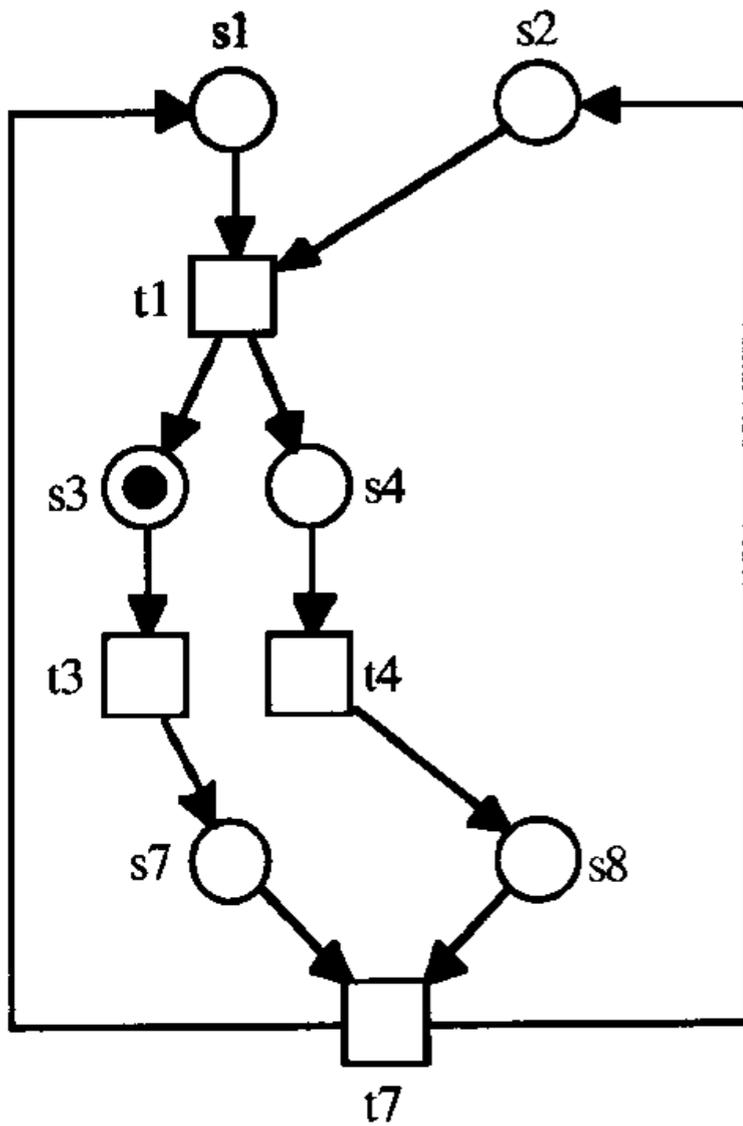


T-component

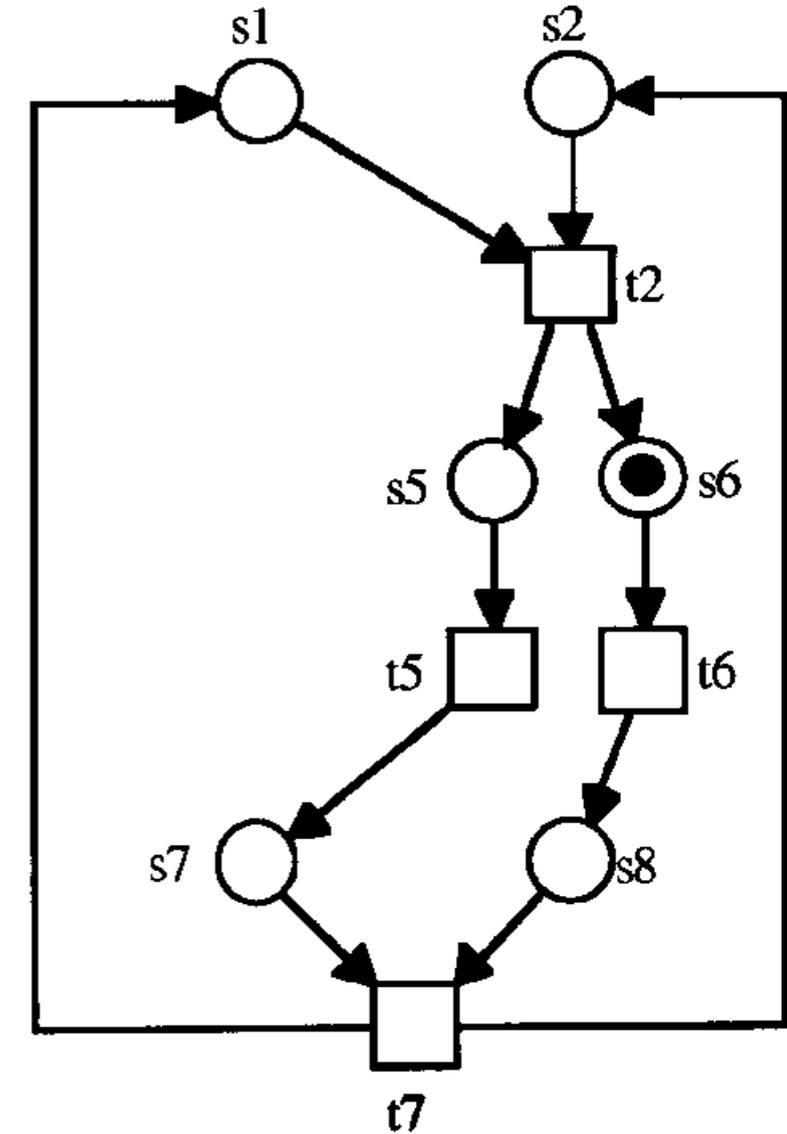
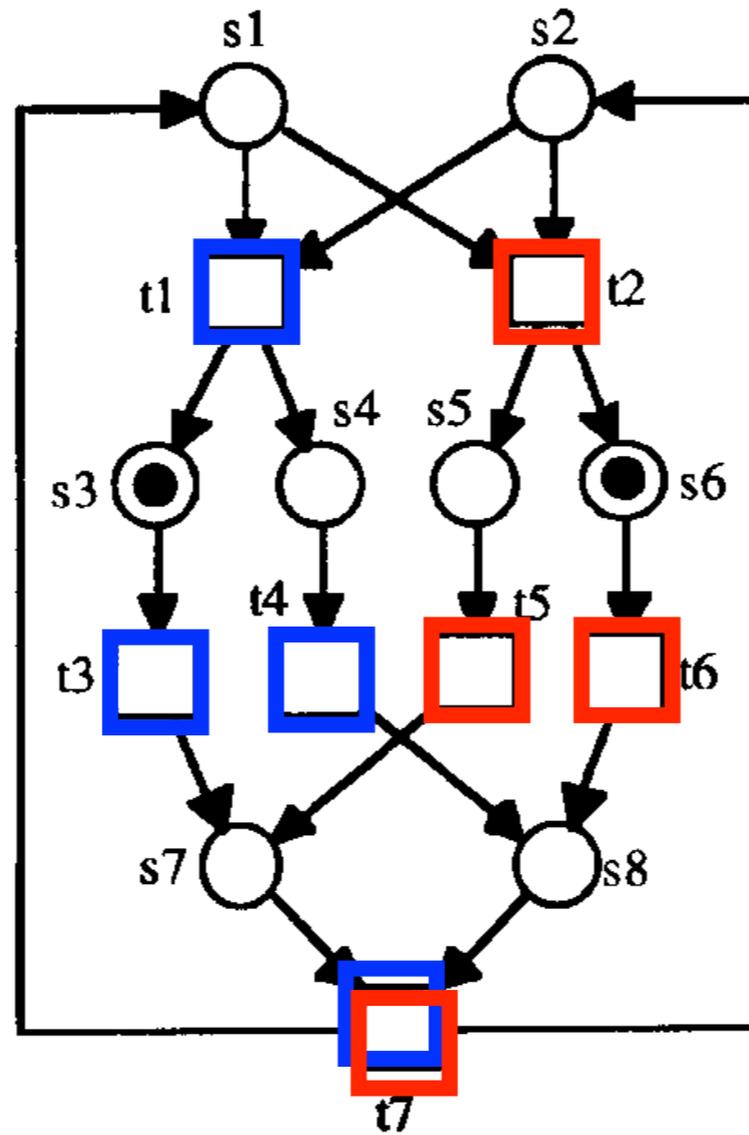
T-component

T-cover: example

covered by T-components



T-component

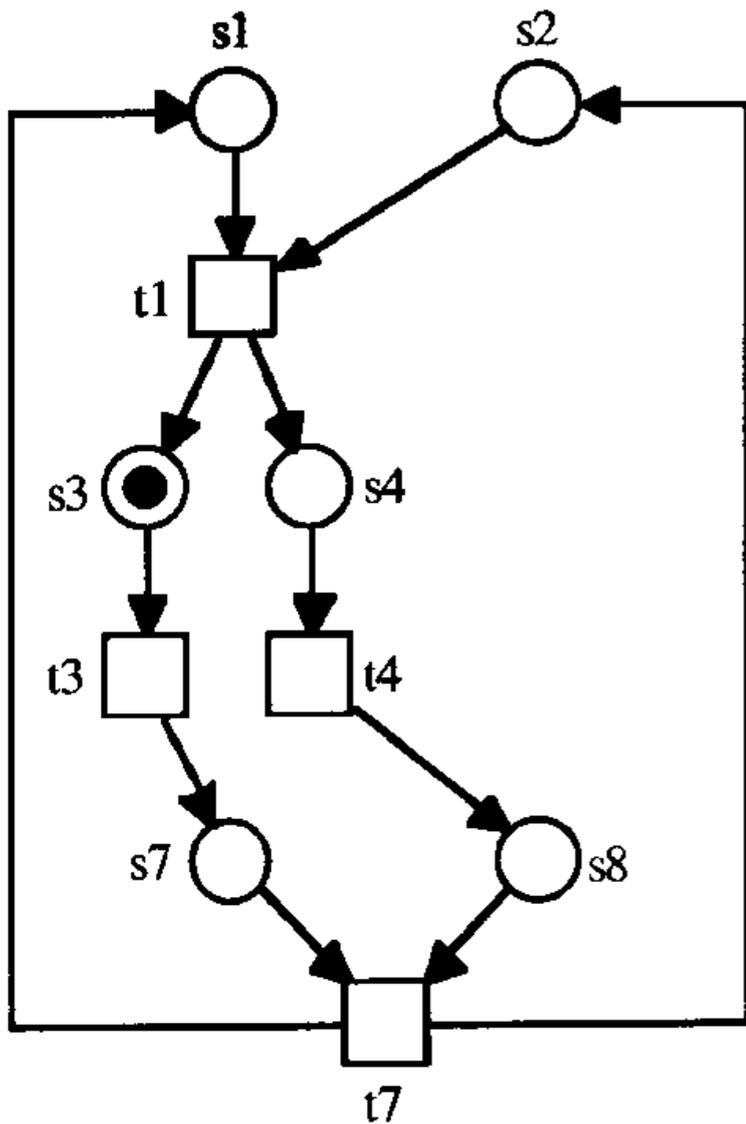


T-component

T-cover: example

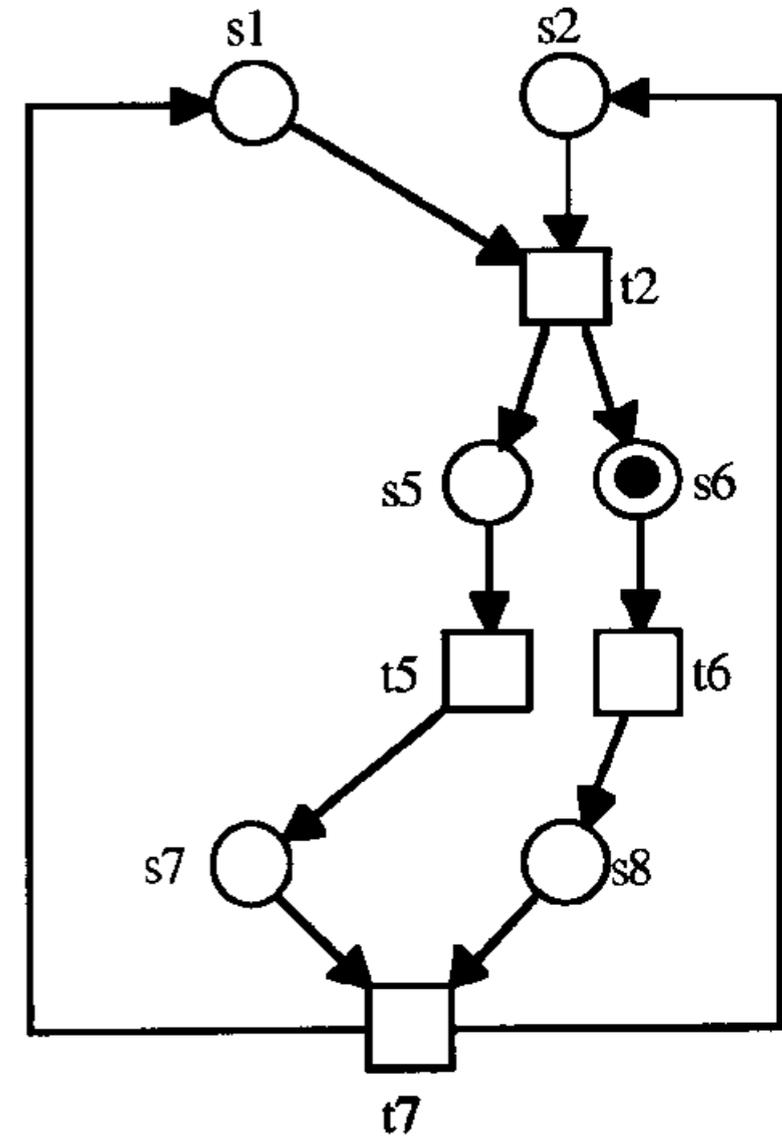
$$J_1 = [1 \ 1 \ 1 \ 1]$$

$$J_2 = [1 \ 1 \ 1 \ 1]$$



T-cover

T-component

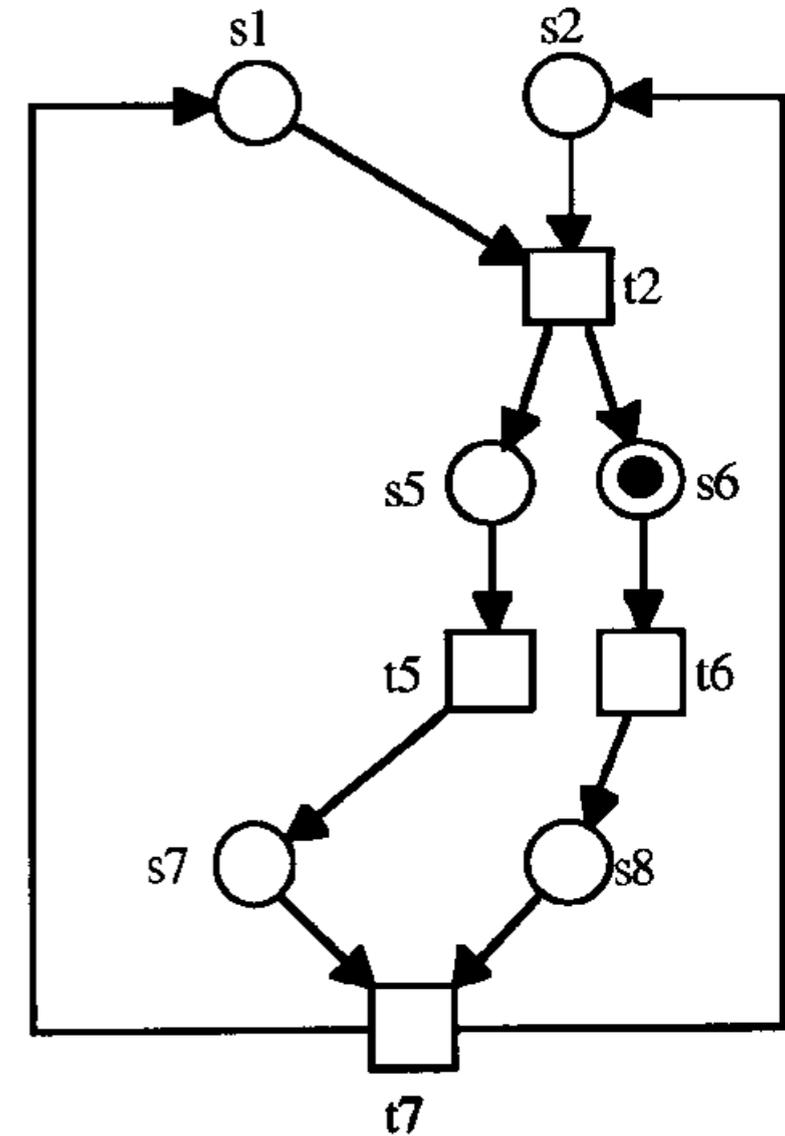
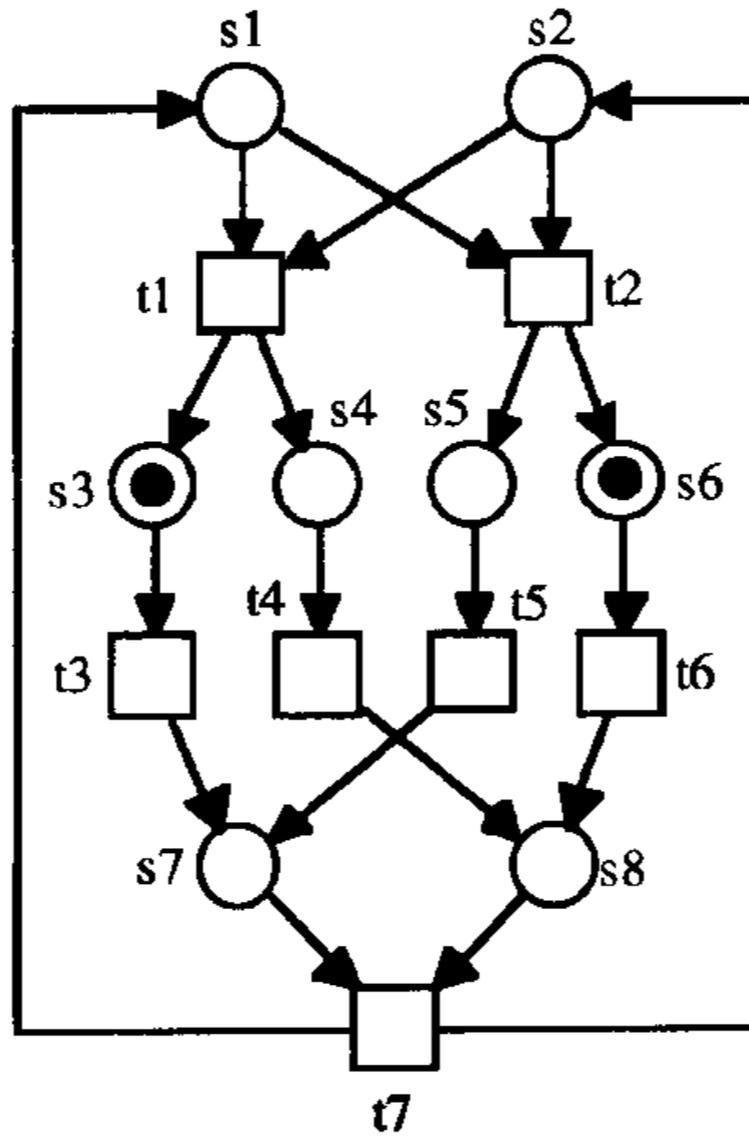
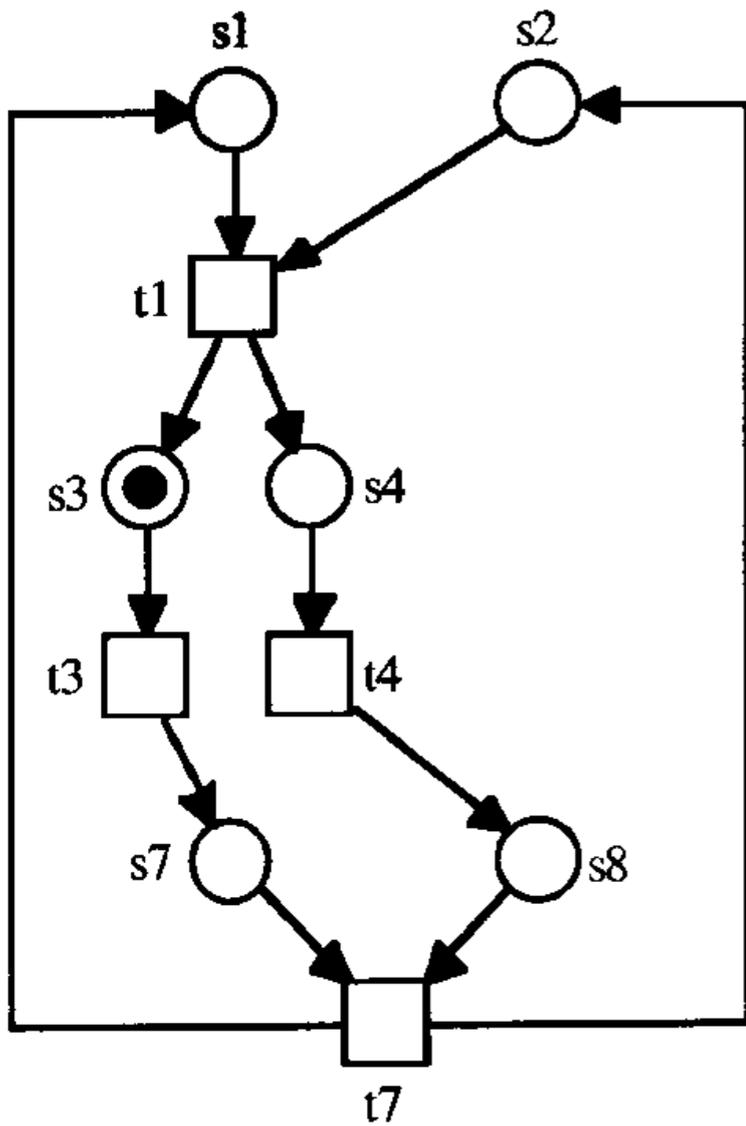


T-component

T-cover: example

$$J_1 = [1 \ 0 \ 1 \ 1 \ 0 \ 0 \ 1]$$

$$J_2 = [0 \ 1 \ 0 \ 0 \ 1 \ 1 \ 1]$$



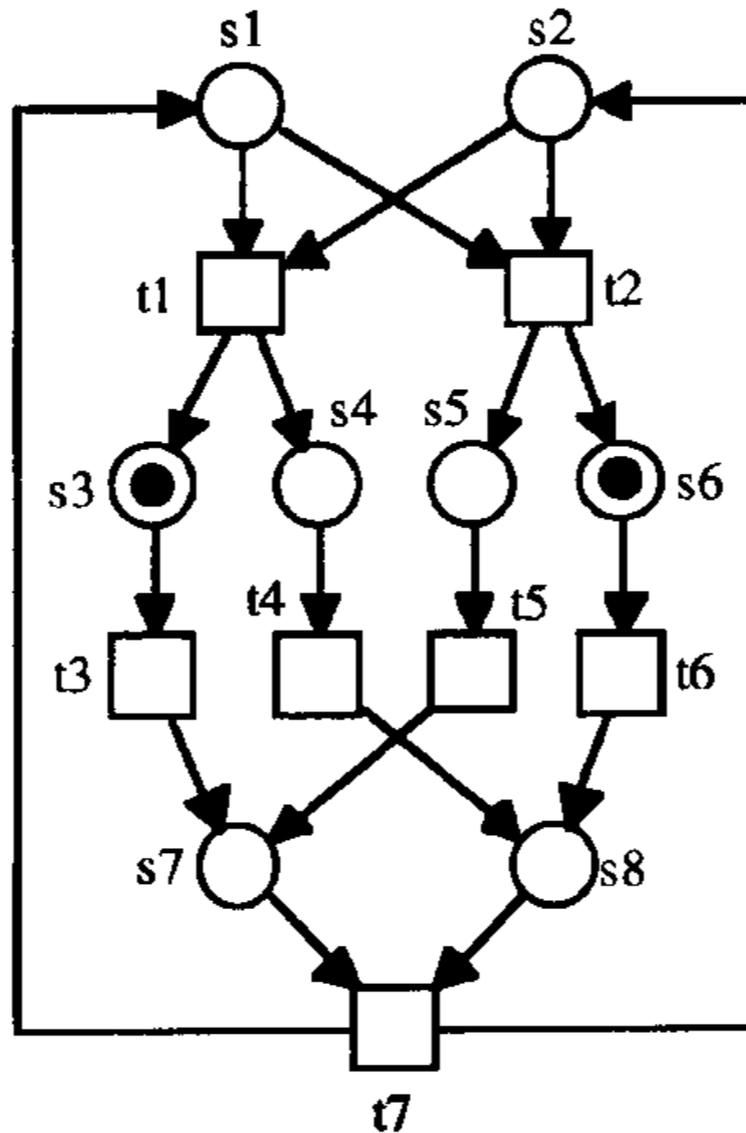
T-component

T-component

T-cover: example

$$J_1 = [1 \ 0 \ 1 \ 1 \ 0 \ 0 \ 1]$$

$$J_2 = [0 \ 1 \ 0 \ 0 \ 1 \ 1 \ 1]$$



$$J_1 + J_2 = [1 \ 1 \ 1 \ 1 \ 1 \ 1 \ 2]$$

positive T-invariant

T-coverability theorem

Theorem: If a free-choice system is live and bounded
then it is T-coverable
(proof omitted)

Consequence:

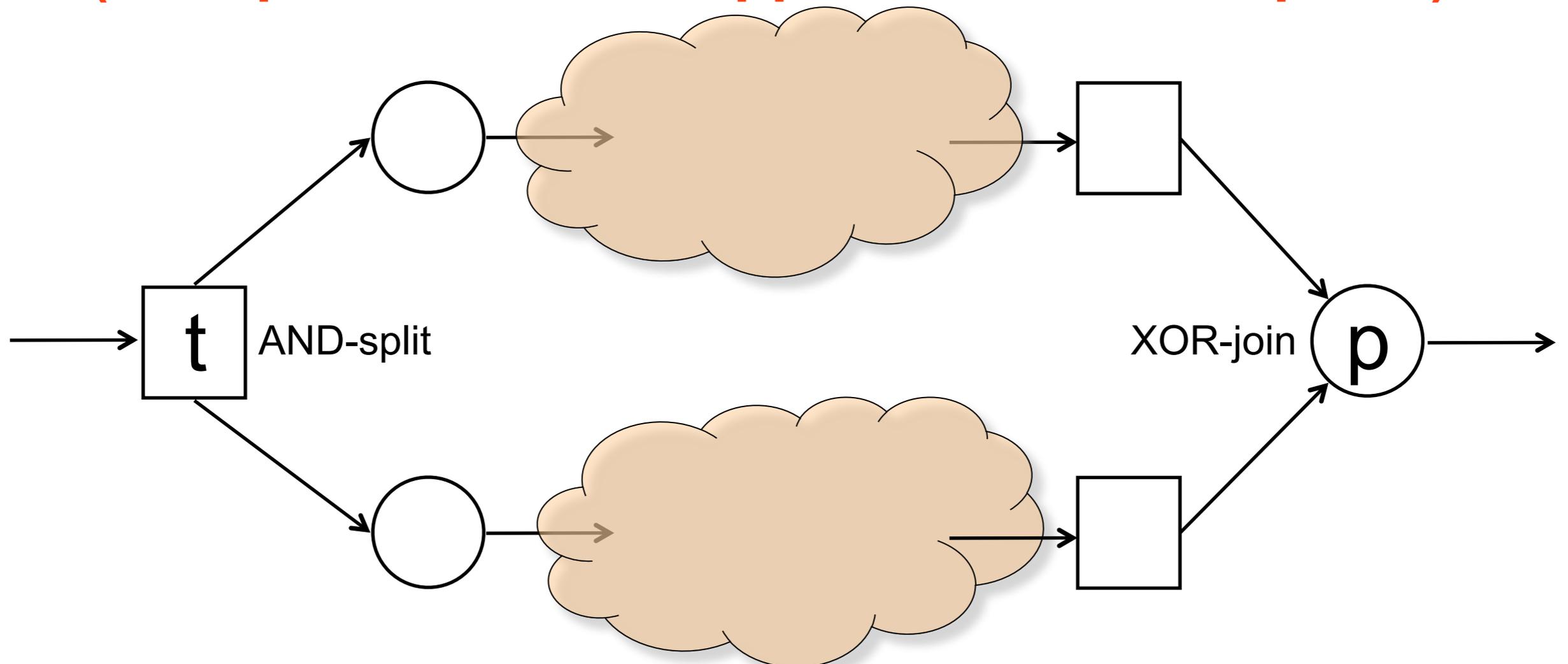
free-choice + not T-coverable \Rightarrow not (live and bounded)

Well-structuredness (PT/TP-handles)

TP-handles

Two parallel flows initiated by an AND-split should not be joined by a XOR-join

(multiple tokens can appear in the same place)



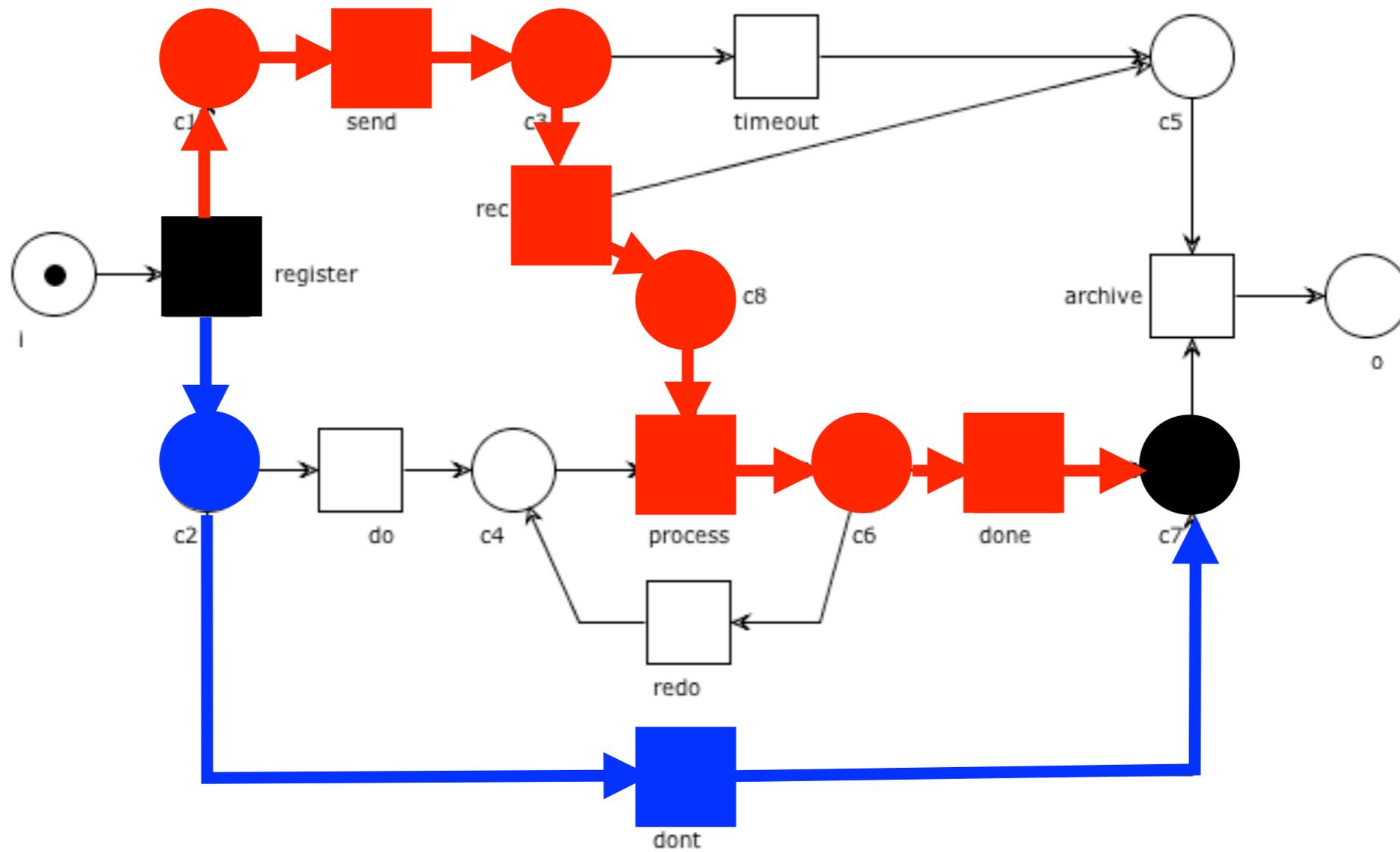
TP-handles

Definition:

A transition t and a place p form a **TP-handle** if there are

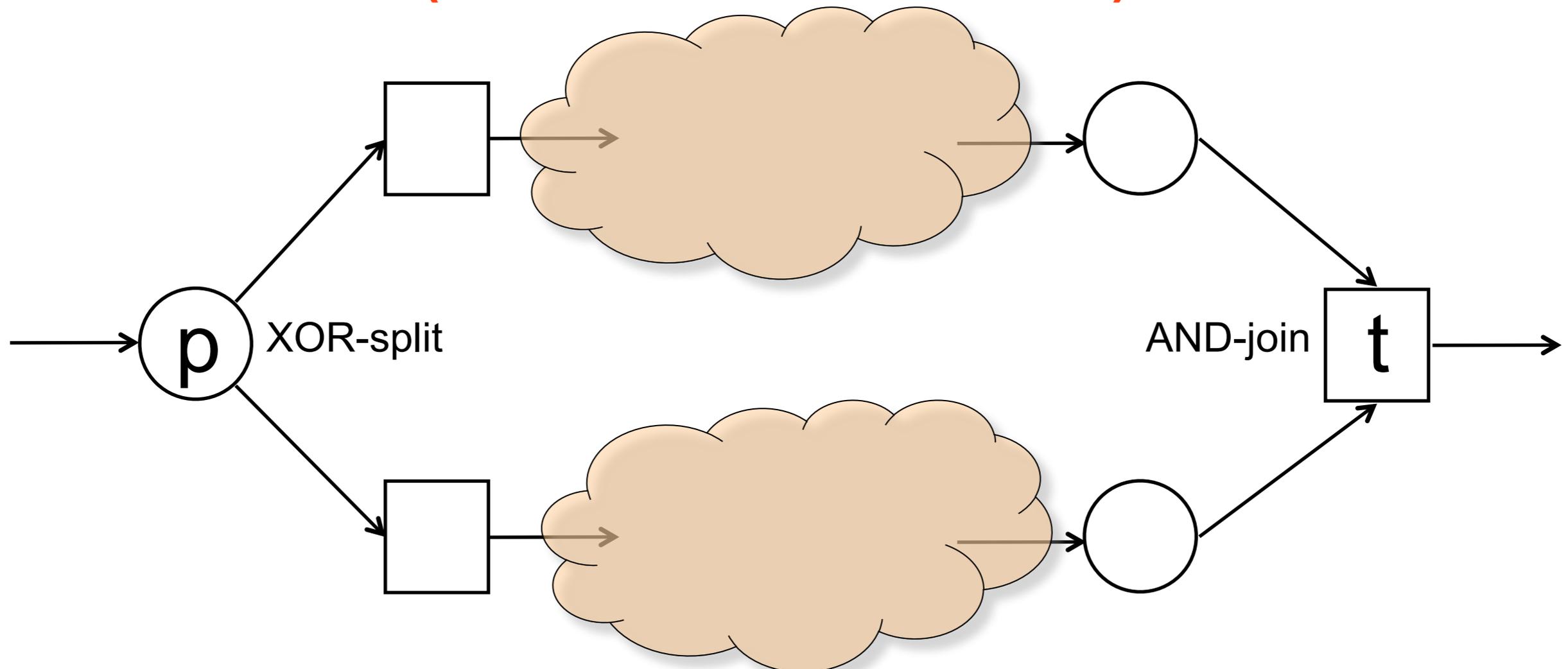
two distinct elementary paths c_1 and c_2 from t to p such that the only nodes they have in common are t, p

Example: TP-handle



PT-handles

Two alternative flows created via a XOR-split
should not be synchronized by an AND-join
(the net could deadlock)



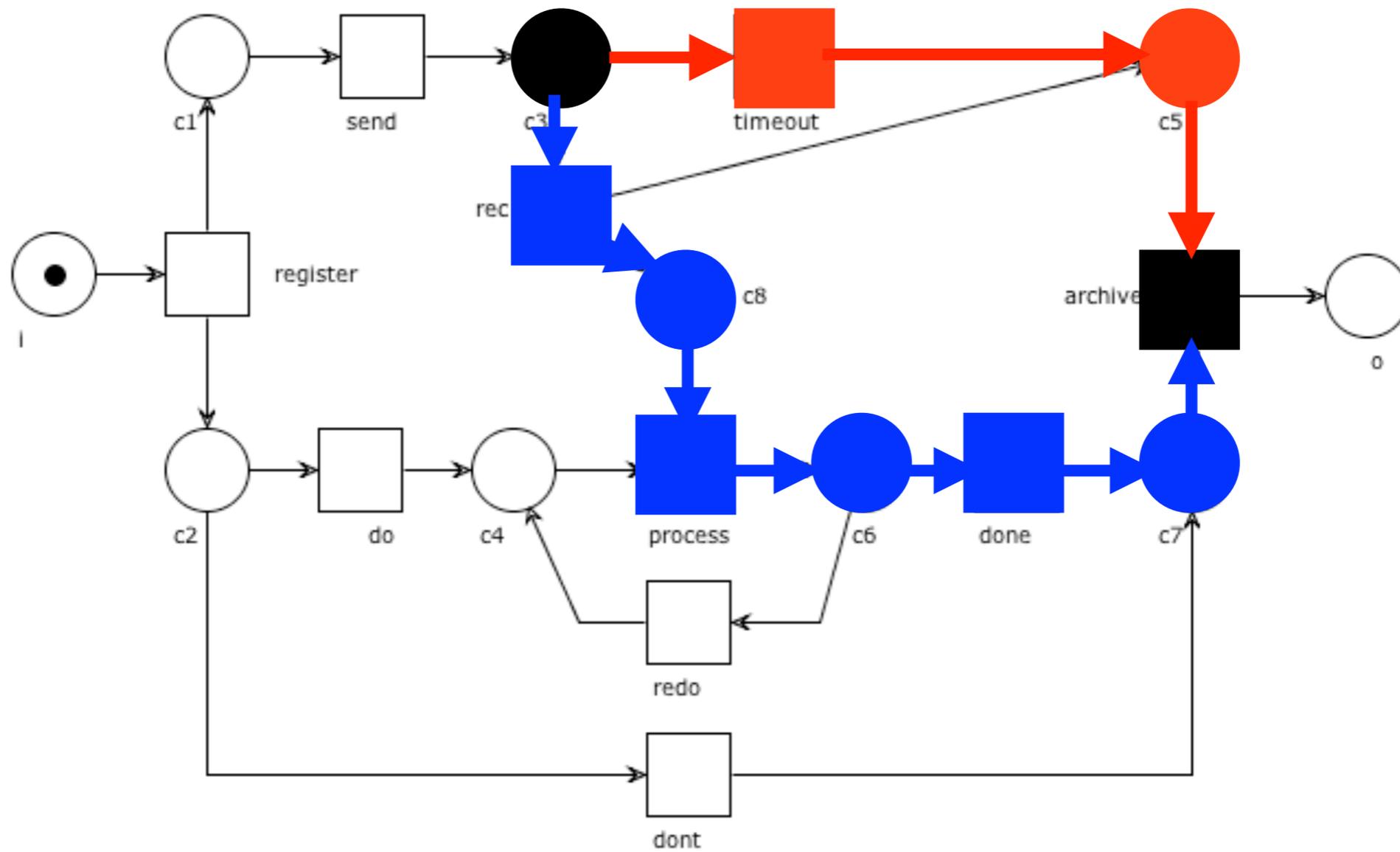
PT-handles

Definition:

A place p and a transition t form a **PT-handle** if there are

two distinct elementary paths c_1 and c_2 from p to t such that the only nodes they have in common are p, t

Example: PT-handle



Well-Structured Nets

Definition: A net is **well-handled** if it has neither TP-handles nor PT-handles

Definition: A workflow net N is **well-structured** if N^* is well-handled

Be careful

N well-structured = N^* well-handled

reset transition is implicit in WoPeD

WoPeD marks PT/TP-handles over N^*
(not over N)

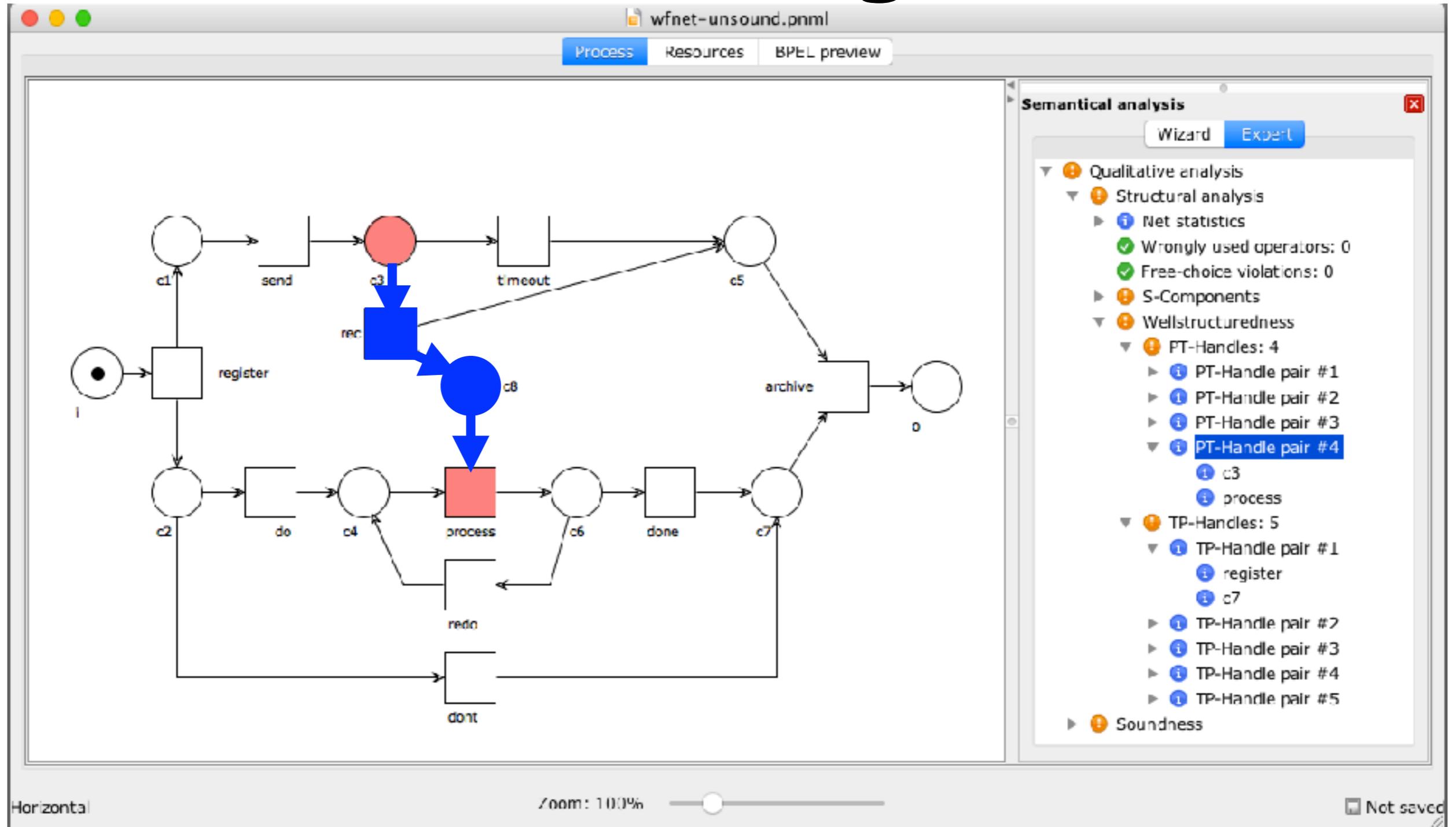
WoPeD Diagnosis

The screenshot displays the WoPeD tool interface for analyzing a Petri net. The main window shows a Petri net with places $c1, c2, c3, c4, c5, c6, c7, c8$ and transitions $register, do, process, done, redo, dont, send, rec, timeout, archive$. Places $c3$ and $process$ are highlighted in red. The right-hand panel, titled "Semantical analysis", provides a detailed breakdown of the analysis results:

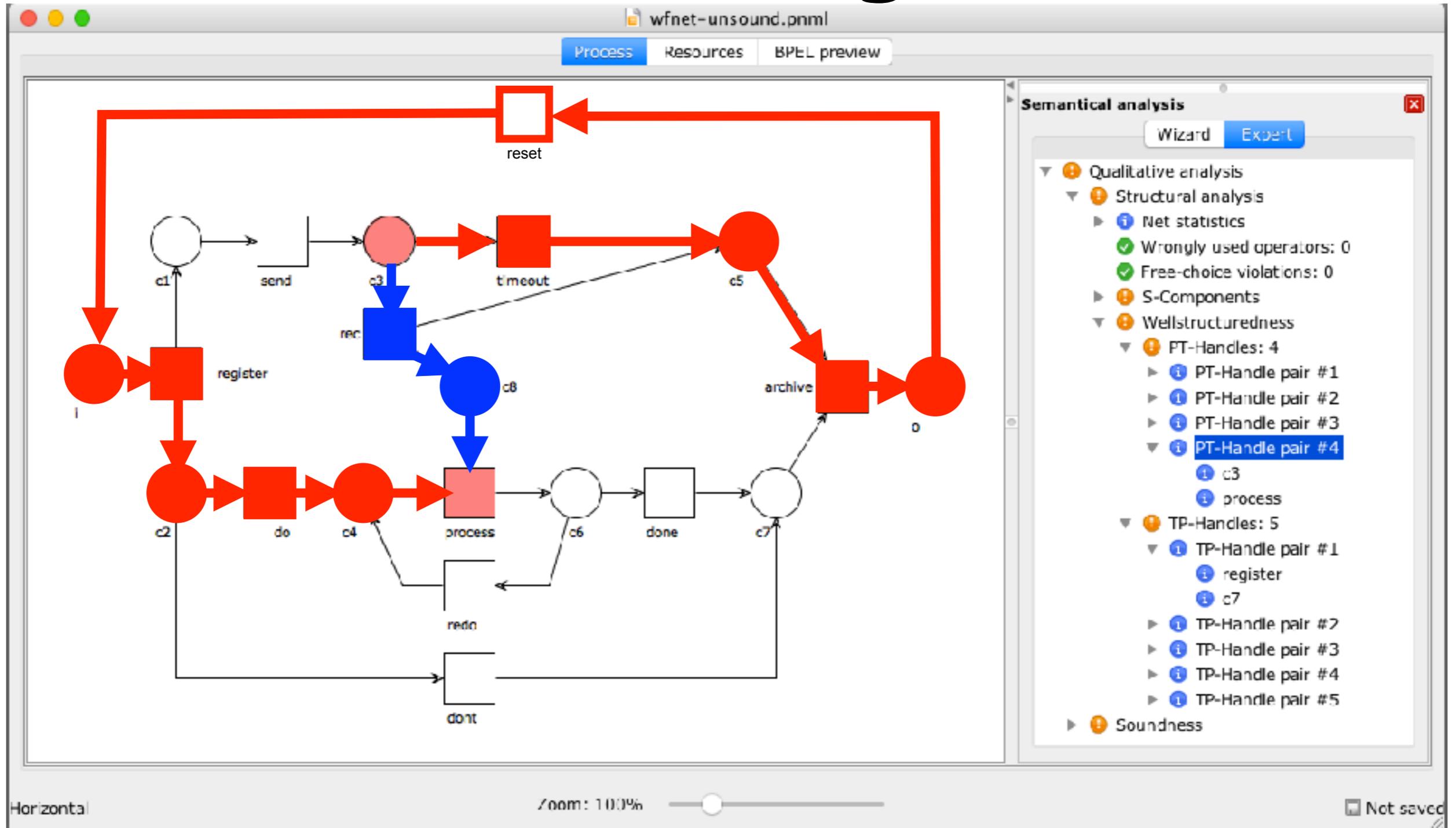
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 - PT-Handle pair #1
 - PT-Handle pair #2
 - PT-Handle pair #3
 - PT-Handle pair #4**
 - $c3$
 - process
 - TP-Handles: 5
 - TP-Handle pair #1
 - register
 - $c7$
 - TP-Handle pair #2
 - TP-Handle pair #3
 - TP-Handle pair #4
 - TP-Handle pair #5
 - Soundness

The interface also includes a file name "wfnet-unsound.pnml", tabs for "Process", "Resources", and "BPEL preview", a zoom slider at 100%, and a "Not saved" indicator.

WoPeD Diagnosis



WoPeD Diagnosis



Well-structuredness, S-coverability and Soundness

Theorem: If N is sound and well-structured,
then N^* is S-coverable
(proof omitted)

Consequence:

N well-structured + N^* not S-coverable \Rightarrow N not sound

Error sequences

Woflan

<http://www.win.tue.nl/woflan/>

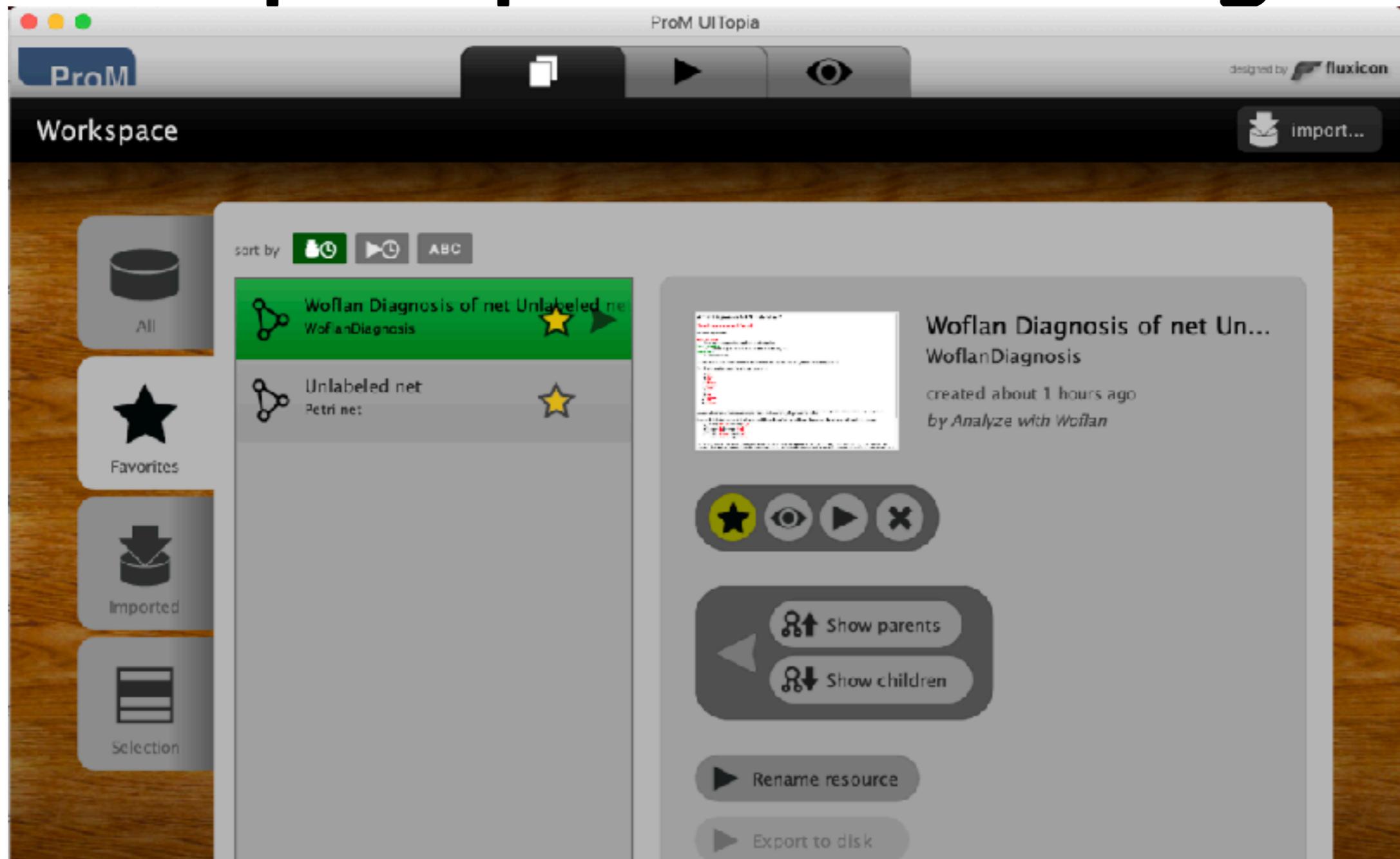
WOrkFLow ANalyzer
(Microsoft Windows only)



Woflan tells us if N is a sound workflow net
(Is N a workflow net? Is N^* bounded? Is N^* live?)
if not, provides some diagnostic information

Woflan now a ProM plugin

<http://promtools.org/>



Woflan (in ProM)

ProM UI Topia

ProM

Woflan Diagnosis of net Unlabeled net

Select visualisation ...

The net is not a sound workflow net.

Soundness requirements

Option to complete
Whatever happens, an instance can always mark the sink place

Proper completion
On completion, only the sink place is marked, and it is marked only once

No dead tasks
No transition is dead

Disabling the following transitions at the following (reachable) markings effectively would restrict the behavior to the bounded safe haven:

1. Transition **rec** at marking **[c7,c3]**
2. Transition **dont** at marking **[c5,c8,c2]**

what are the reasons for these suggestions?

ProM UI Topia

Woflan Diagnosis of net Unlabeled net

Select visualisation ...

Soundness requirements

Option to complete
Whatever happens, an instance can always mark the sink place

Proper completion
On completion, only the sink place is marked, and it is marked only once

No dead tasks
No transition is dead

Disabling the following transitions at the following (reachable) markings effectively would restrict the behavior to the part from which completion is possible:

1. Transition **timeout** at marking **[c2,c3]**.
2. Transition **do** at marking **[c2,c3]**.
3. Transition **do** at marking **[c1,c2]**.
4. Transition **timeout** at marking **[c3,c7]**.
5. Transition **do** at marking **[c8,c5,c2]**.

Diagnostic information

The sets of:
unbounded places of N^*
dead transitions of N^*
non-live transitions of N^*

may provide useful information for
the diagnosis of behavioural errors

Unfortunately, this information is not always sufficient
to determine the exact cause of the error

Behavioural error sequences help us to locate problems

Error sequences

Rationale:

We want to find firing sequences such that:

1. every continuation of such sequences will lead to an error
2. they are as short as possible
(none of their prefixes satisfies the above property)

Informally:

error sequences are scenarios that capture the essence of errors made in the workflow design (violate “option to complete” or “proper completion”)

Error sequences:
Non-live sequences

Non-Live sequences: informally

A **non-live sequence** is a firing sequence as short as possible such that **completion of the case is no longer possible**

i.e. a witness for transition reset being non-live in N^*

Non-Live sequences: fundamental property

Let N be such that:
 N^* is bounded
 N (or equivalently N^*) has no dead task

Then, N^* is live
iff
 N has no non-live sequences

Non-Live sequences: graphically

The analysis is possible in bounded systems only

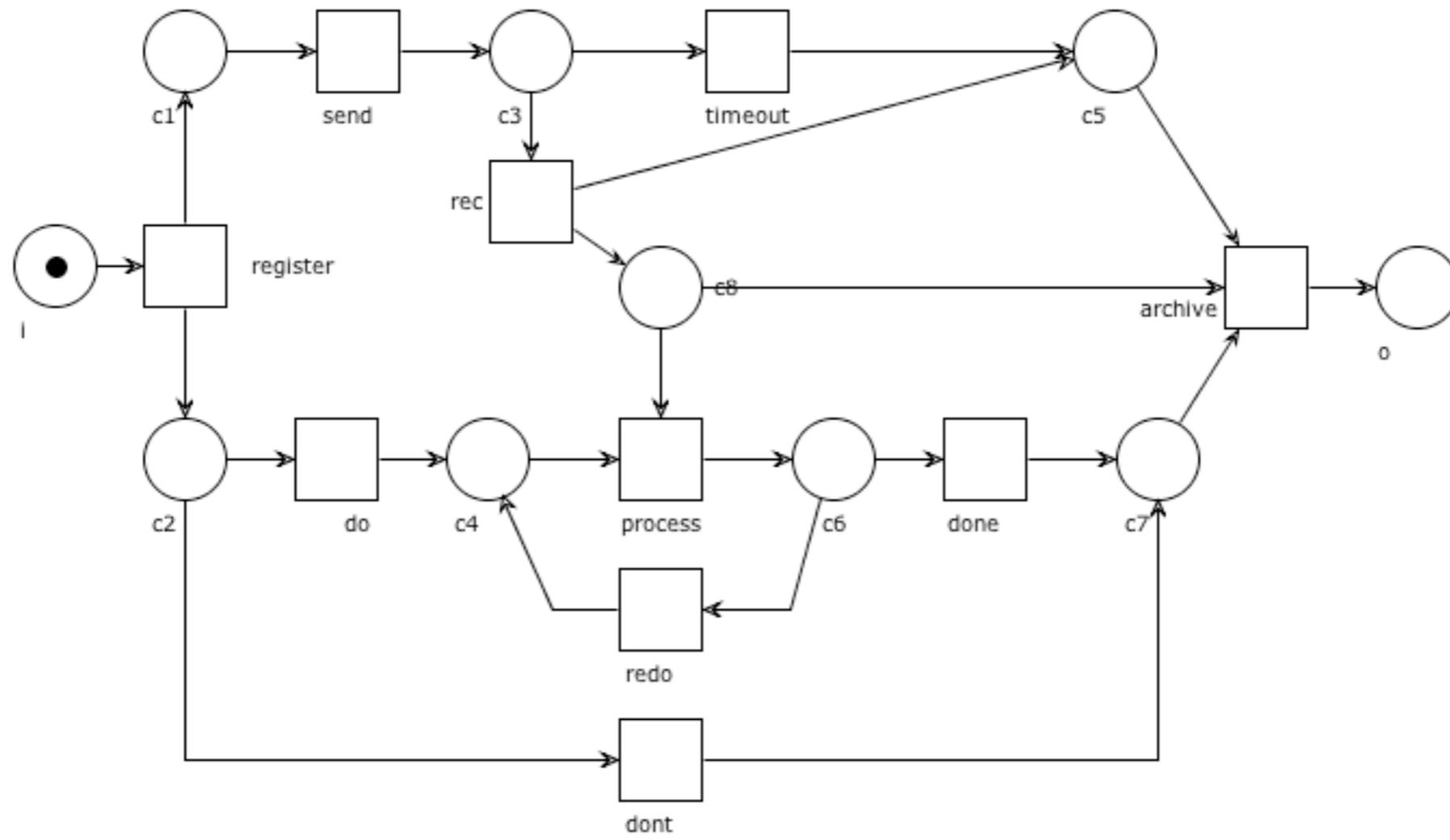
Compute the RG of N^*

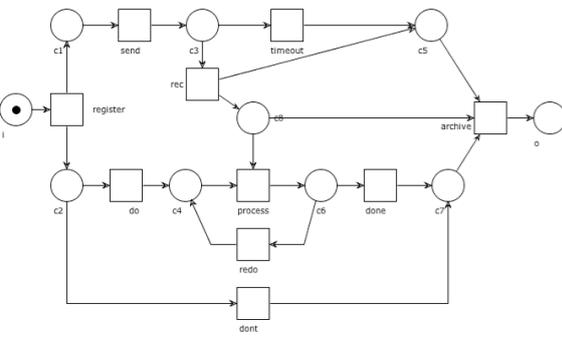
Color in **red** all nodes from which there is **no path** to o

Color in **green** all nodes from which **all paths** lead to o

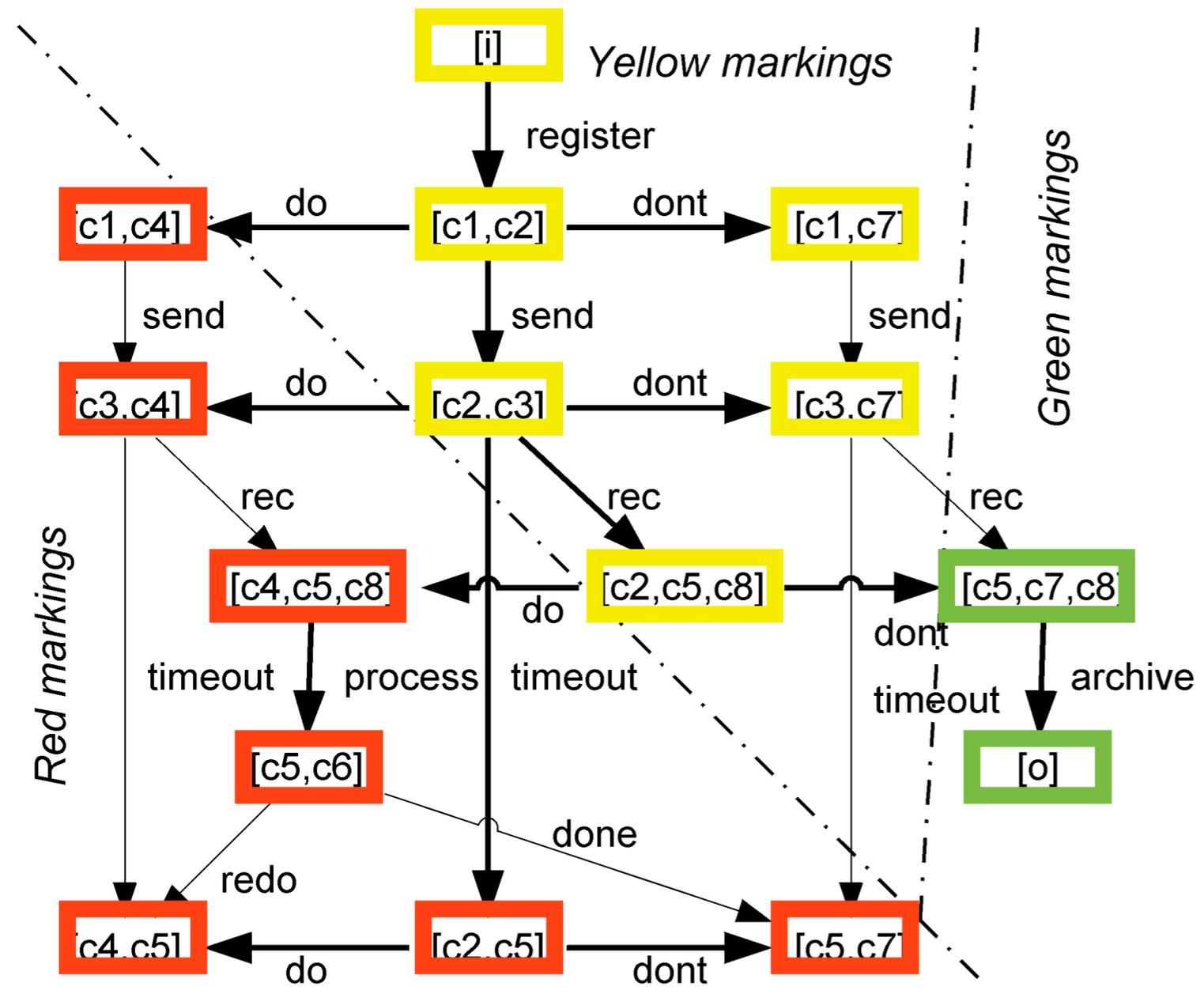
Color in **yellow** all remaining nodes
(some but not all paths lead to o)

Example: N





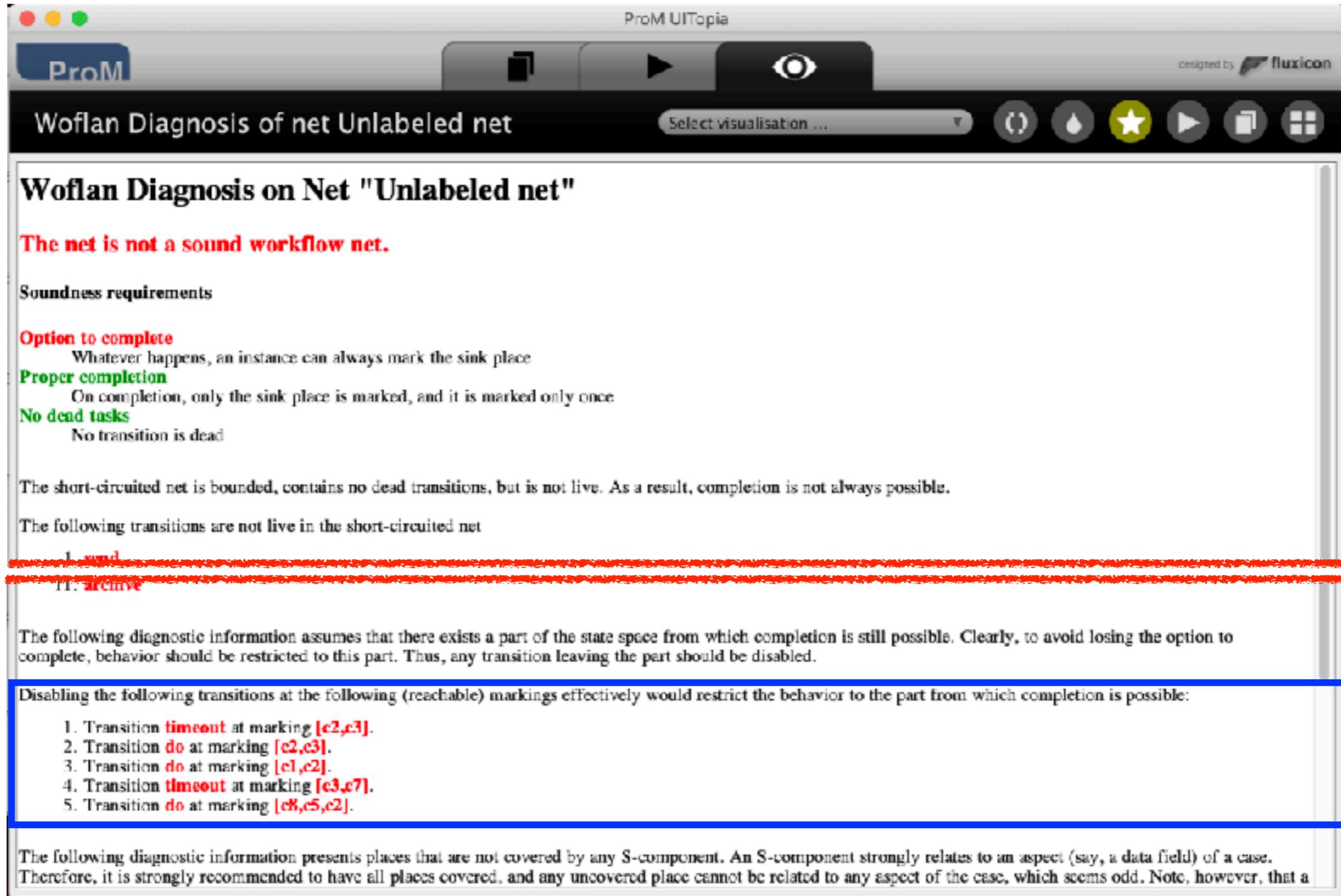
Example: RG (N)



Non-live sequences:

- register, **do**
- register, send, **do**
- register, send, **timeout**
- register, send, rec, **do**
- register, send, dont, **timeout**
- register, dont, send, **timeout**

Woflan (in ProM)



The screenshot shows the ProM UI with the title "Woflan Diagnosis of net Unlabeled net". The main content area displays the following text:

Woflan Diagnosis on Net "Unlabeled net"

The net is not a sound workflow net.

Soundness requirements

- Option to complete**
Whatever happens, an instance can always mark the sink place
- Proper completion**
On completion, only the sink place is marked, and it is marked only once
- No dead tasks**
No transition is dead

The short-circuited net is bounded, contains no dead transitions, but is not live. As a result, completion is not always possible.

The following transitions are not live in the short-circuited net

- 1. send
- 11. archive

The following diagnostic information assumes that there exists a part of the state space from which completion is still possible. Clearly, to avoid losing the option to complete, behavior should be restricted to this part. Thus, any transition leaving the part should be disabled.

Disabling the following transitions at the following (reachable) markings effectively would restrict the behavior to the part from which completion is possible:

- 1. Transition **timeout** at marking [c2,c3].
- 2. Transition **do** at marking [c2,c3].
- 3. Transition **do** at marking [c1,c2].
- 4. Transition **timeout** at marking [c3,c7].
- 5. Transition **do** at marking [c8,c5,c2].

The following diagnostic information presents places that are not covered by any S-component. An S-component strongly relates to an aspect (say, a data field) of a case. Therefore, it is strongly recommended to have all places covered, and any uncovered place cannot be related to any aspect of the case, which seems odd. Note, however, that a

Error sequences:
Unbounded sequences

Unbounded sequences: informally

An **unbounded sequence** is a firing sequence of **minimal length** such that every continuation **invalidates proper completion**

i.e. a witness for unboundedness

Unbounded sequences: fundamental property

N^* is bounded
iff

N has no unbounded sequences

Undesired markings:
infinite-weighted markings or markings greater than 0

Unbounded sequences: graphically

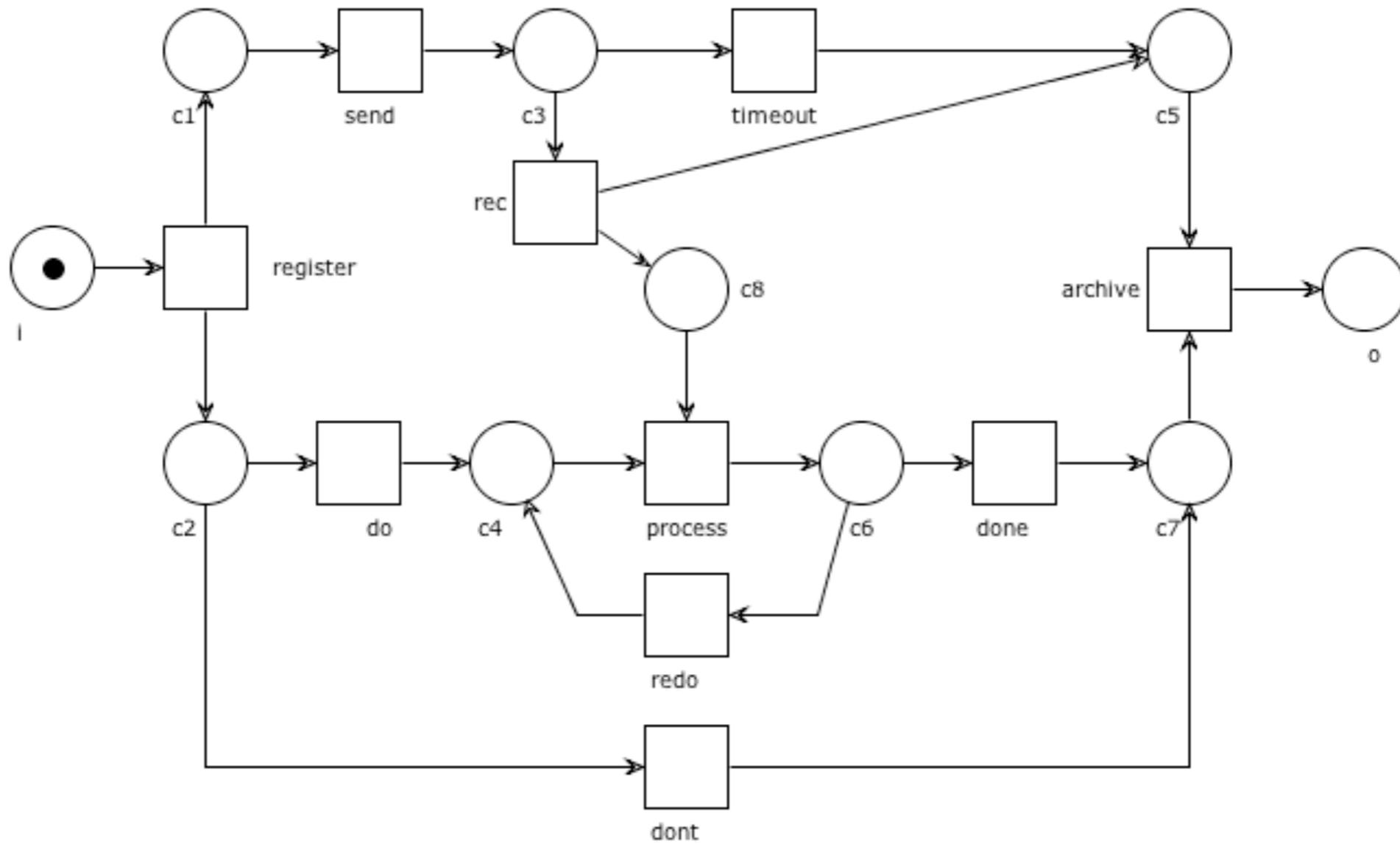
Compute the CG of N^*

Color in **green** all nodes from which
undesired markings are not reachable

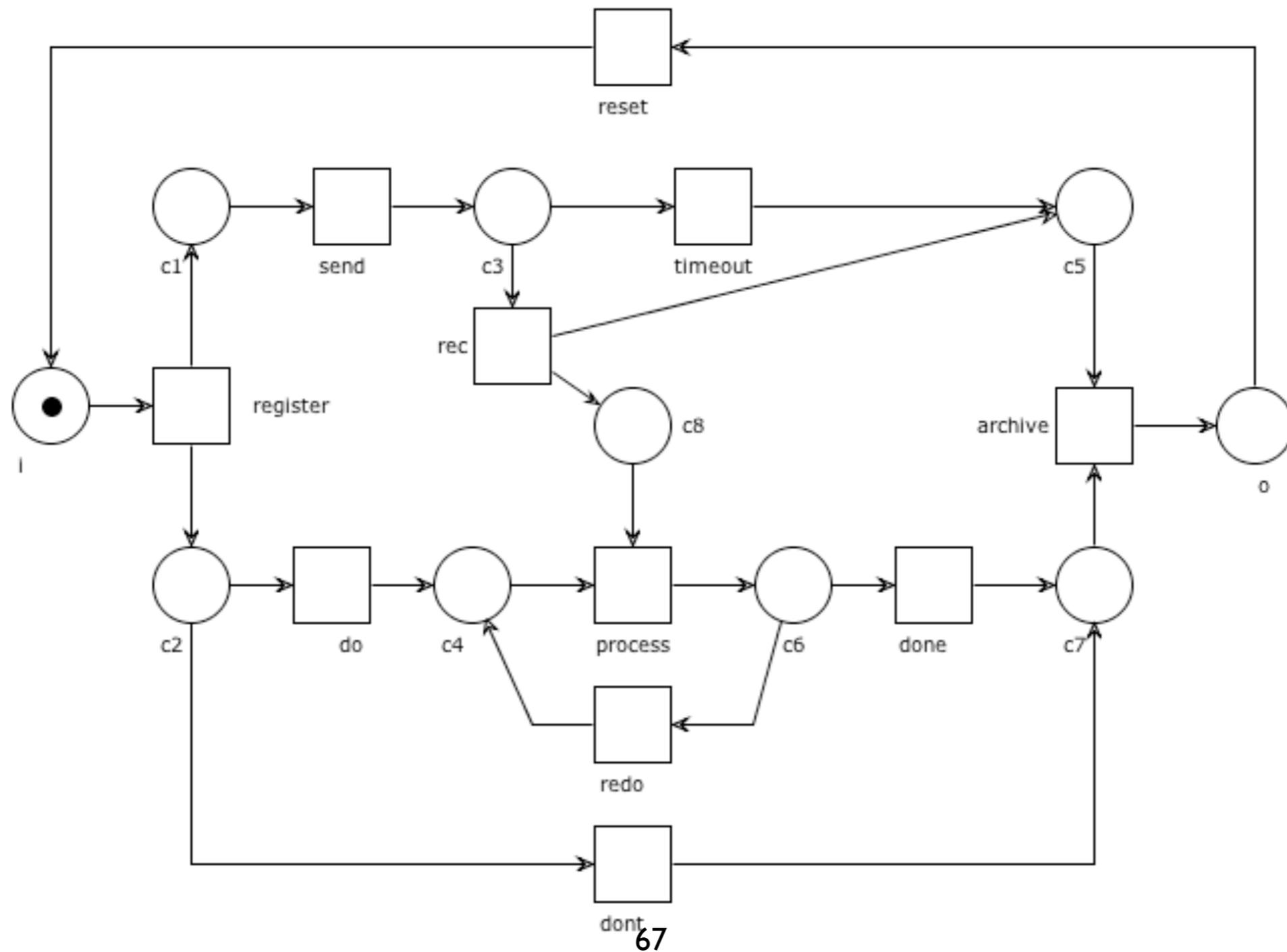
Color in **red** all nodes from which
no green marking is reachable
(undesired markings are unavoidable)

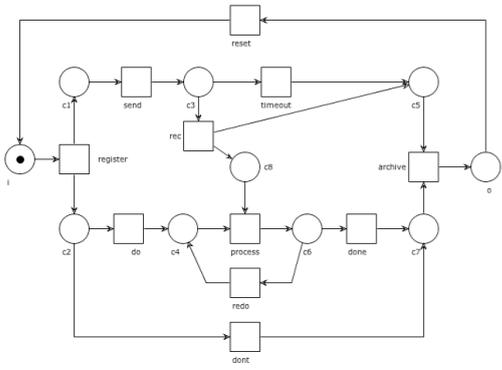
Color in **yellow** all remaining nodes
(undesired markings are reachable but avoidable)

Example: N

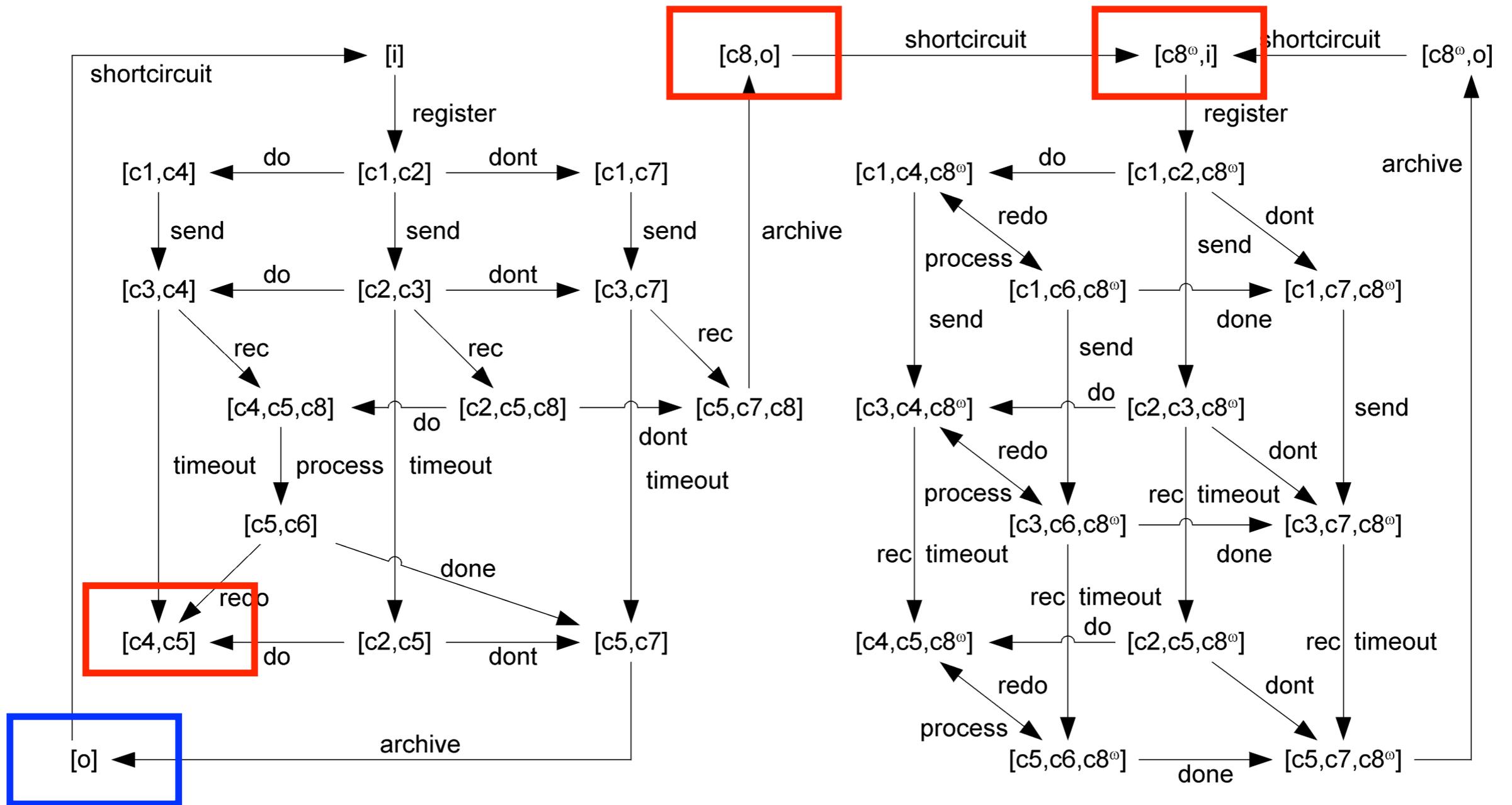


Example: N^*





Example: CG (N^*)



Restricted coverability graph (RCG)

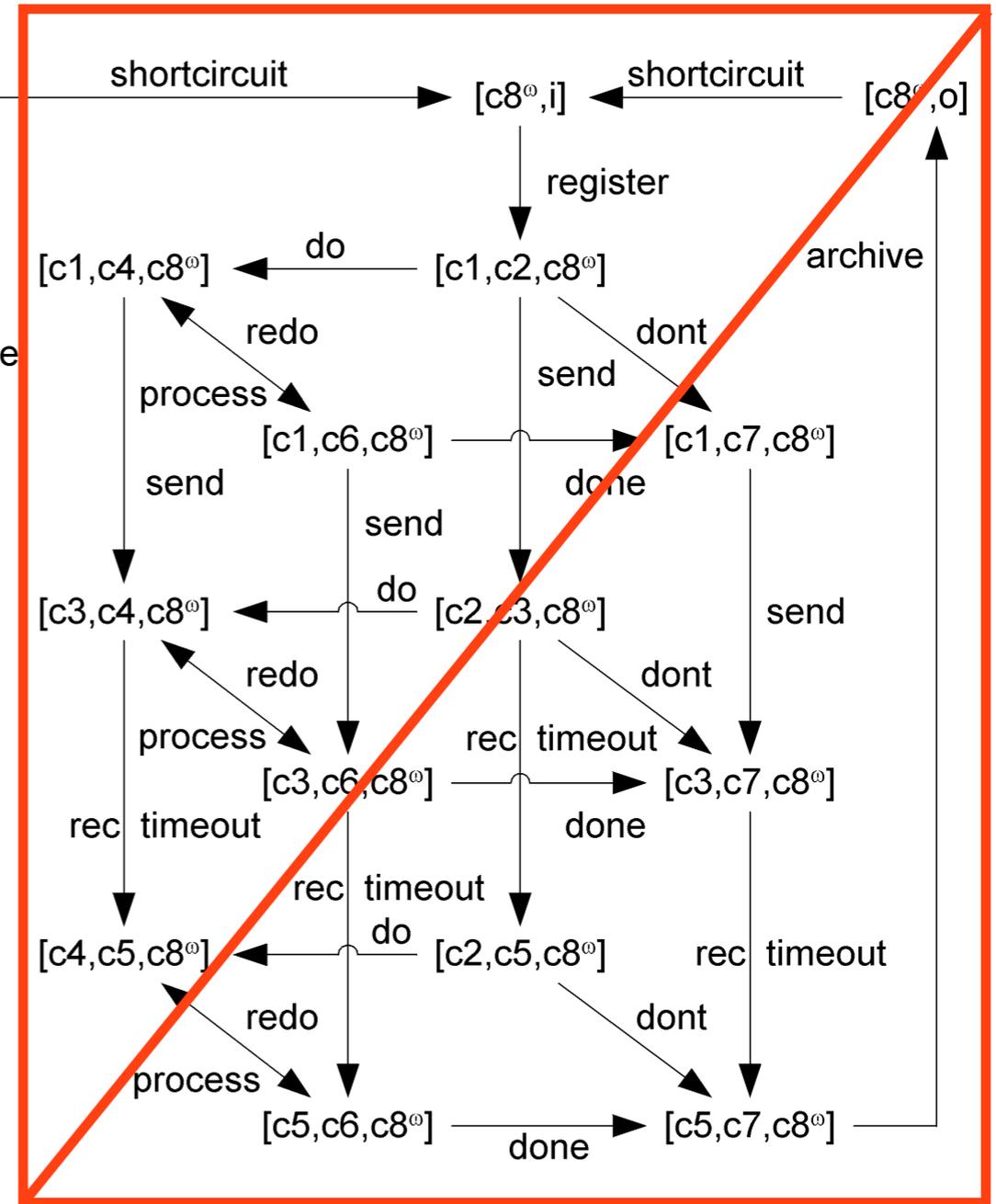
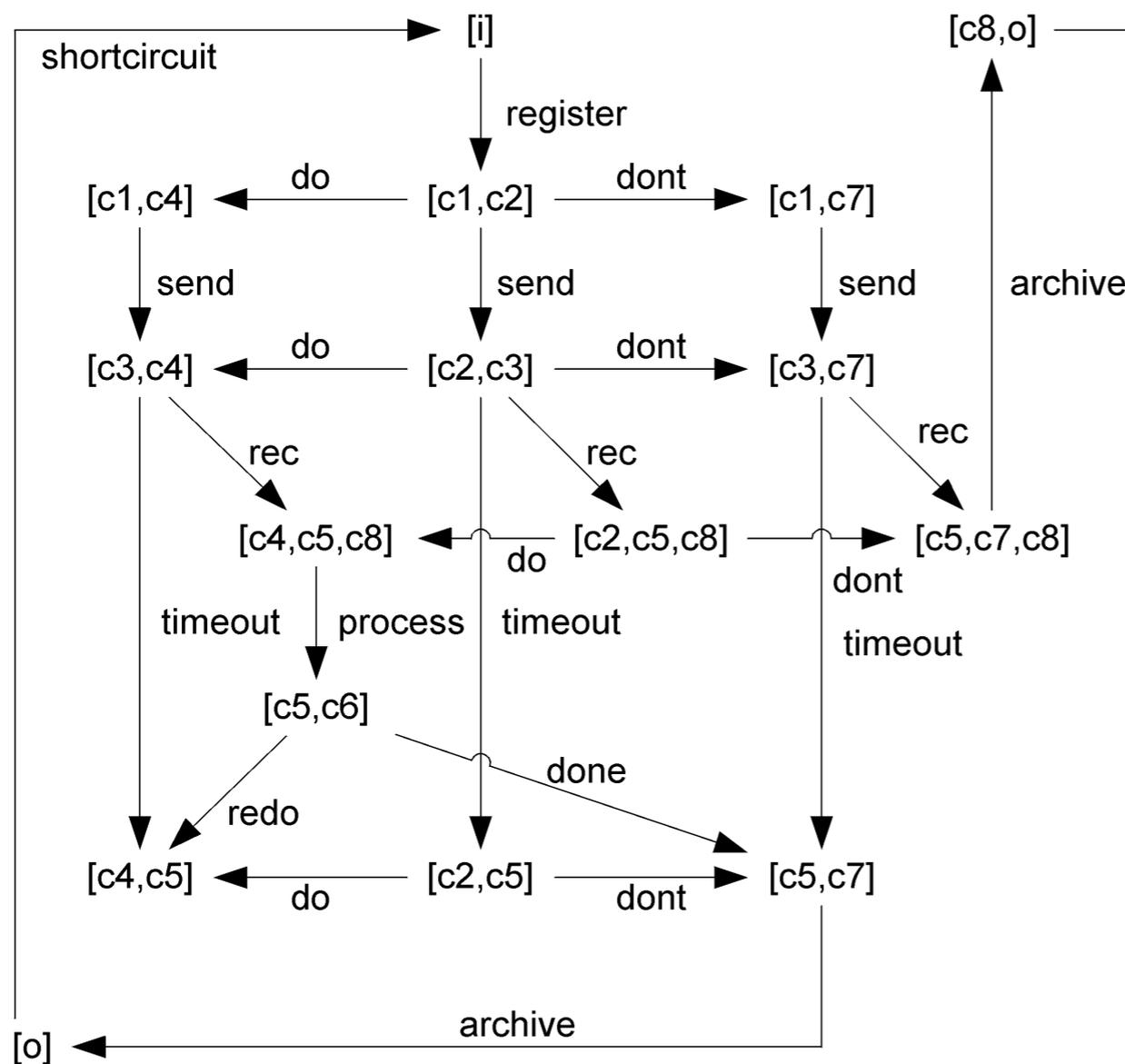
CG can become very large

Basic observation:

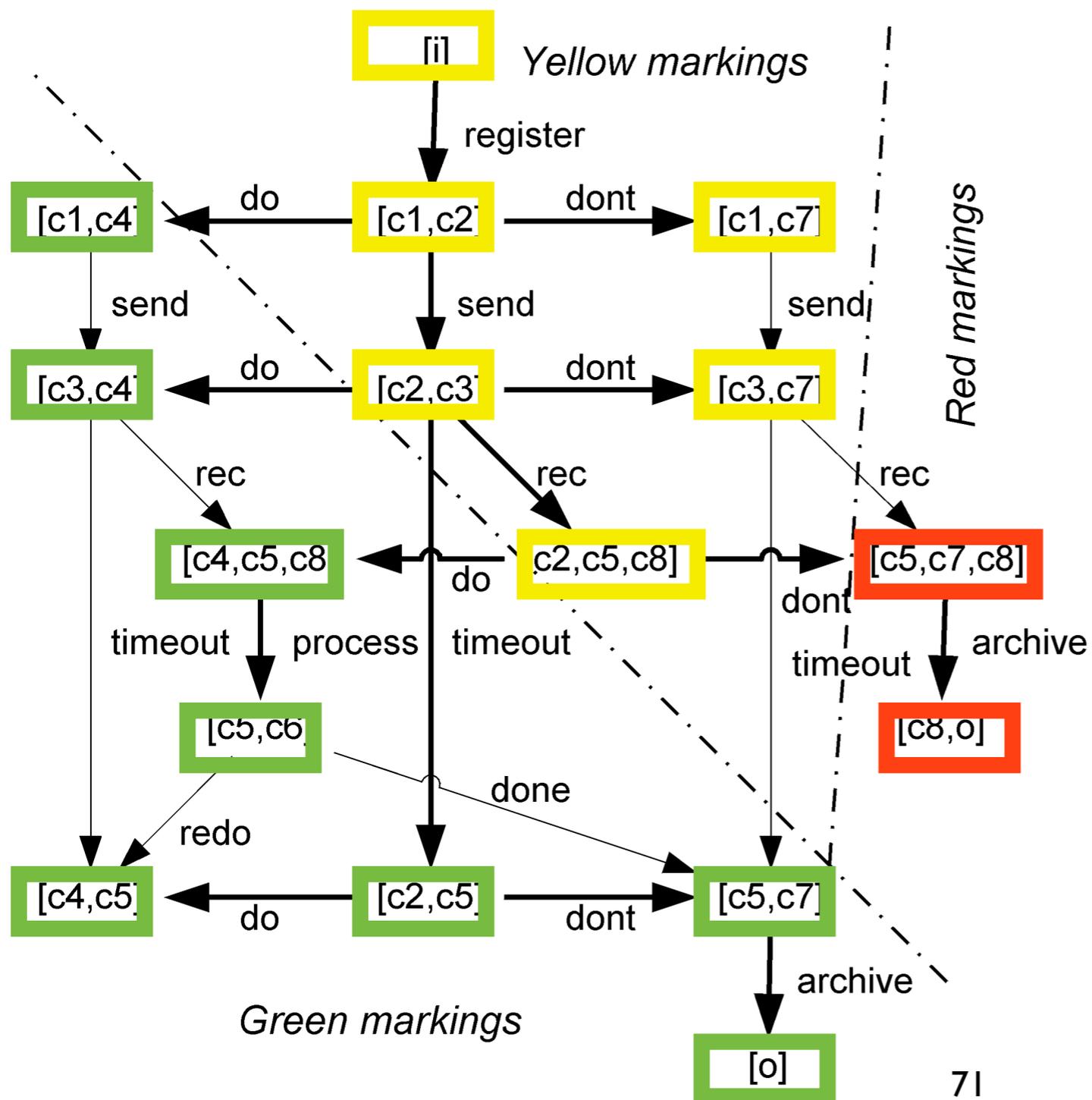
infinite-weighted markings leads to infinite-weighted markings
and they will be all red

We can just avoid computing them!

Example: Restricted CG vs CG



Example: RCG (N*)



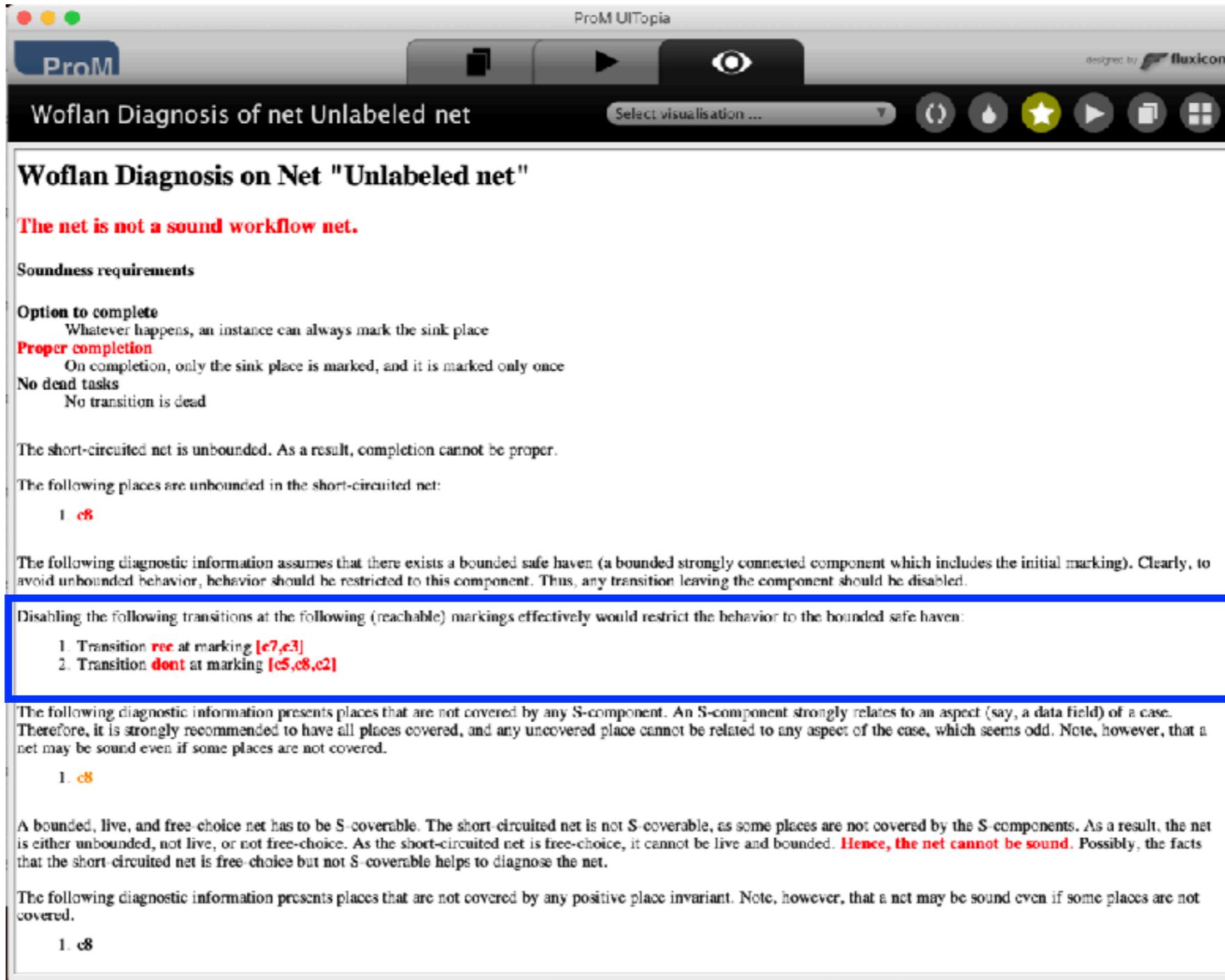
Unbounded sequences:

register, dont, send, **rec**

register, send, dont, **rec**

register, send, rec, **dont**

Woflan (in ProM)



The screenshot shows the ProM UI with the title "Woflan Diagnosis of net Unlabeled net". The main content area displays the following text:

Woflan Diagnosis on Net "Unlabeled net"

The net is not a sound workflow net.

Soundness requirements

- Option to complete**
Whatever happens, an instance can always mark the sink place
- Proper completion**
On completion, only the sink place is marked, and it is marked only once
- No dead tasks**
No transition is dead

The short-circuited net is unbounded. As a result, completion cannot be proper.

The following places are unbounded in the short-circuited net:

- c8

The following diagnostic information assumes that there exists a bounded safe haven (a bounded strongly connected component which includes the initial marking). Clearly, to avoid unbounded behavior, behavior should be restricted to this component. Thus, any transition leaving the component should be disabled.

Disabling the following transitions at the following (reachable) markings effectively would restrict the behavior to the bounded safe haven:

- Transition **rec** at marking [c7,c3]
- Transition **dont** at marking [c5,c8,c2]

The following diagnostic information presents places that are not covered by any S-component. An S-component strongly relates to an aspect (say, a data field) of a case. Therefore, it is strongly recommended to have all places covered, and any uncovered place cannot be related to any aspect of the case, which seems odd. Note, however, that a net may be sound even if some places are not covered.

- c8

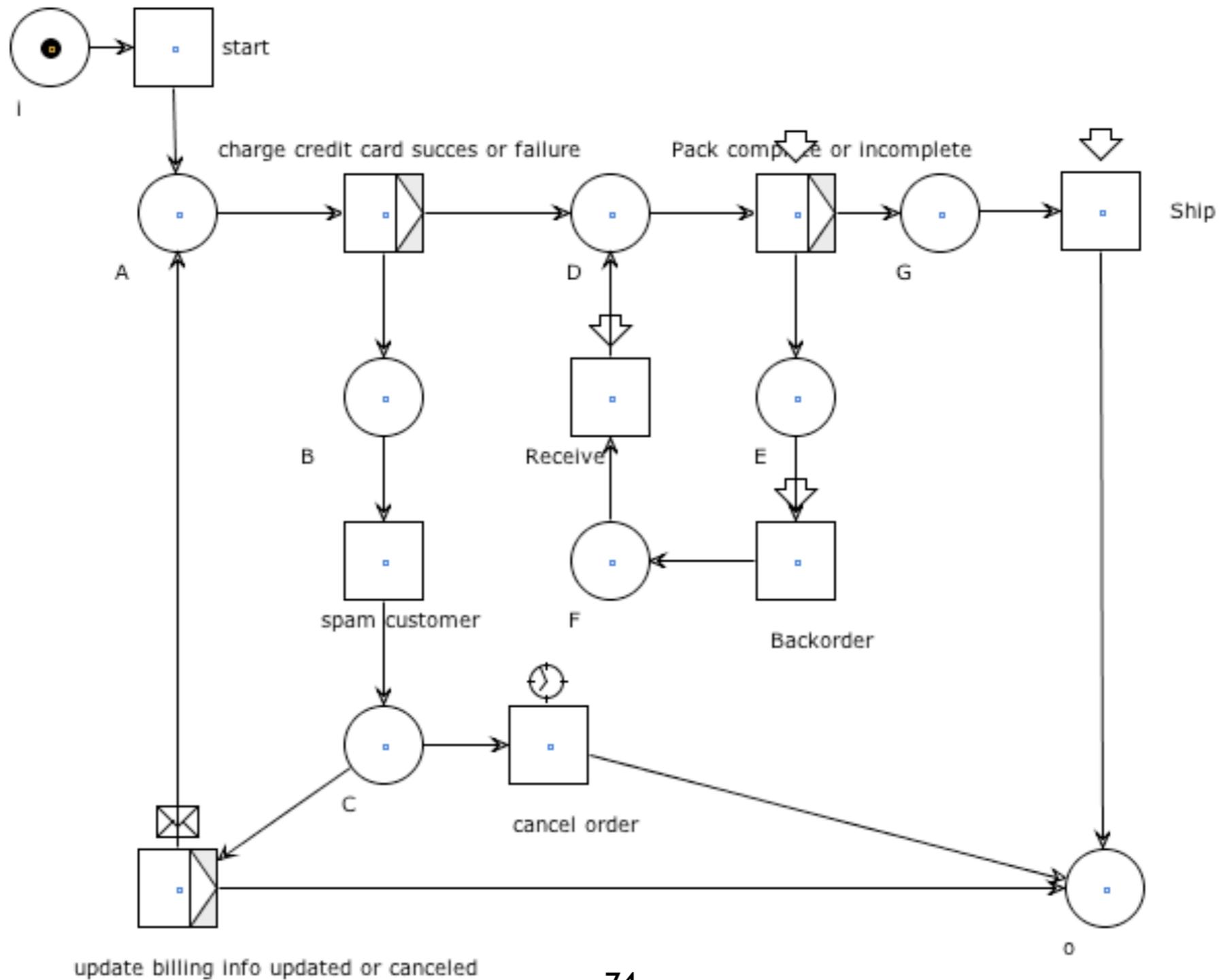
A bounded, live, and free-choice net has to be S-coverable. The short-circuited net is not S-coverable, as some places are not covered by the S-components. As a result, the net is either unbounded, not live, or not free-choice. As the short-circuited net is free-choice, it cannot be live and bounded. **Hence, the net cannot be sound.** Possibly, the facts that the short-circuited net is free-choice but not S-coverable helps to diagnose the net.

The following diagnostic information presents places that are not covered by any positive place invariant. Note, however, that a net may be sound even if some places are not covered.

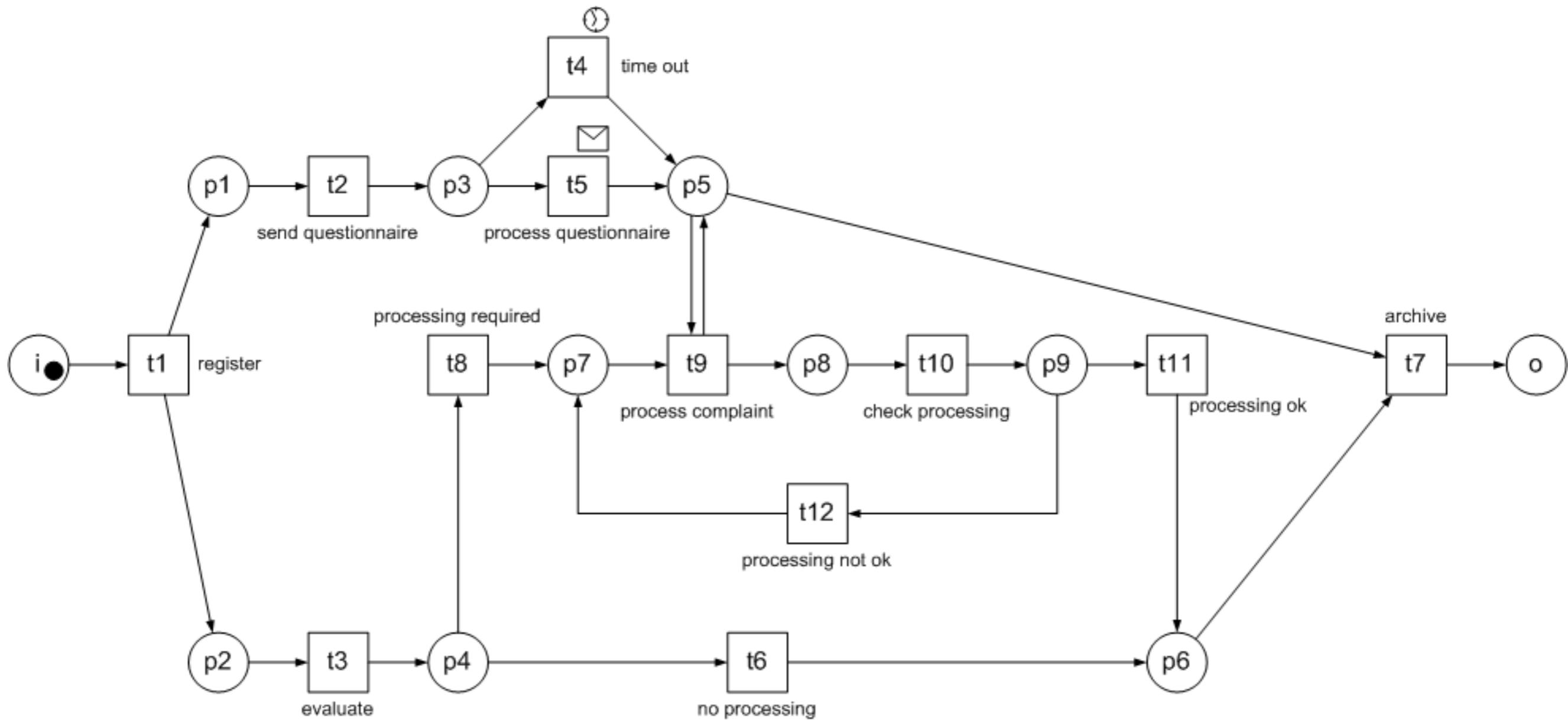
- c8

Practice with WoPeD (and Woflan)

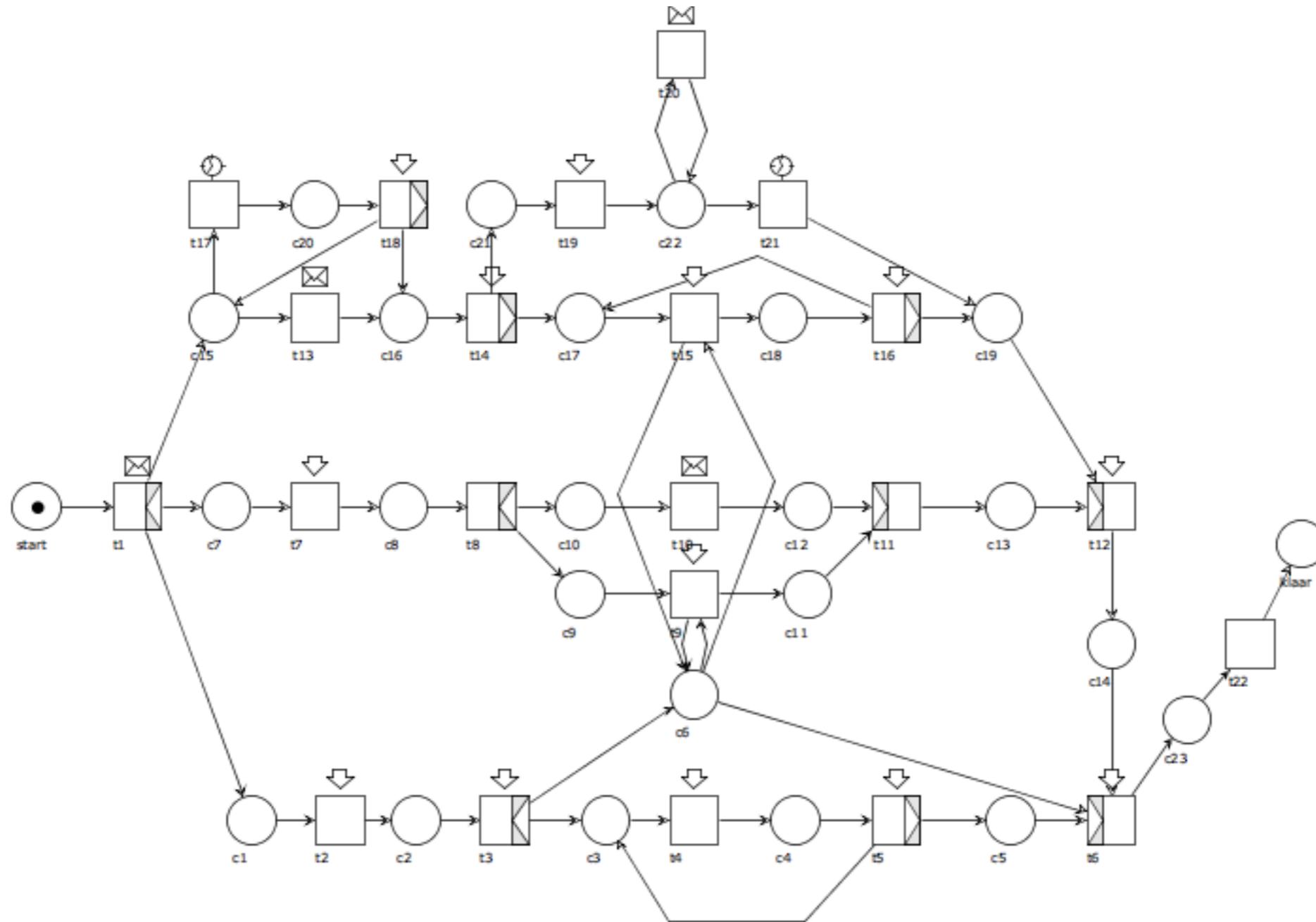
Analyse this net



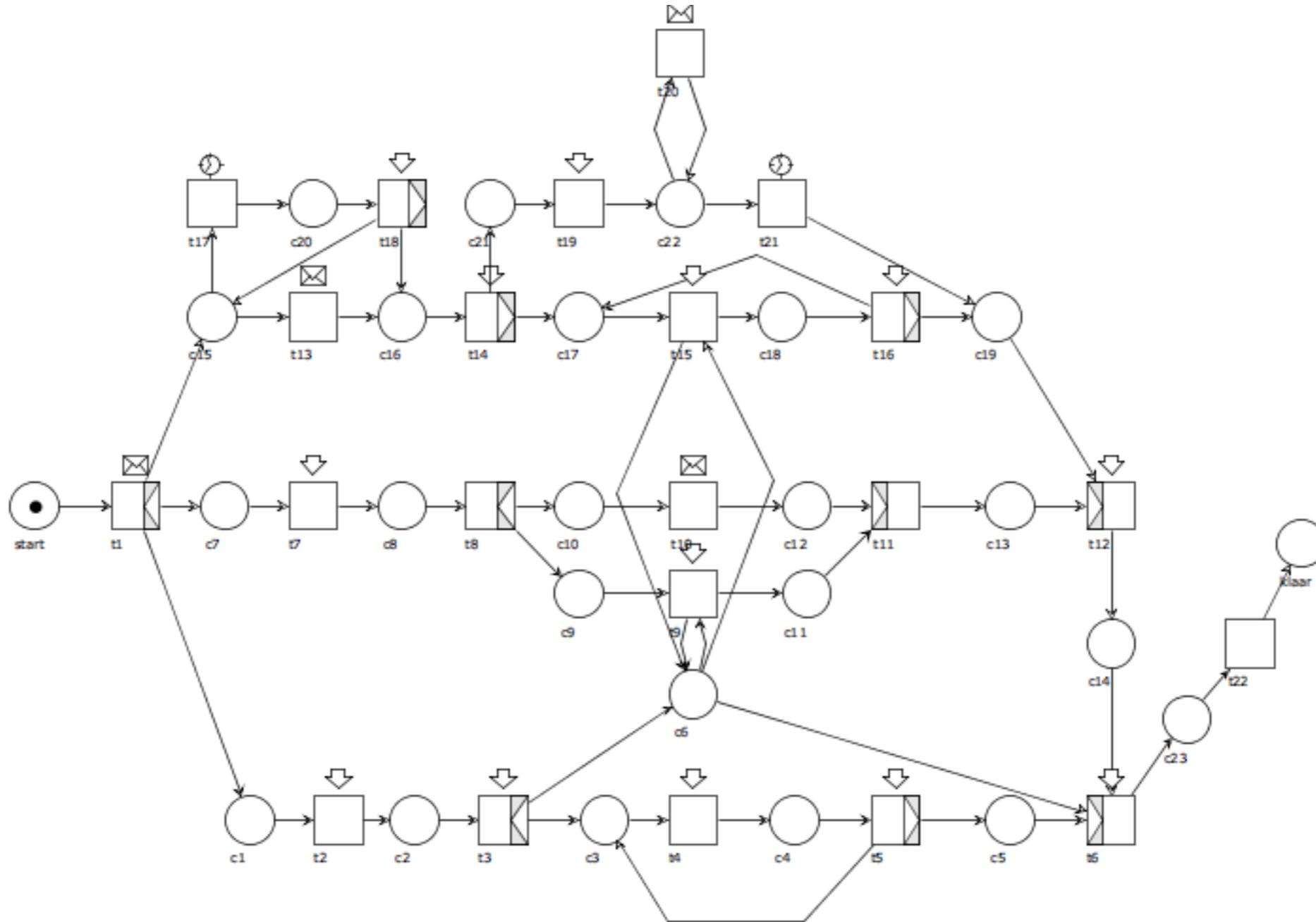
Analyse this net



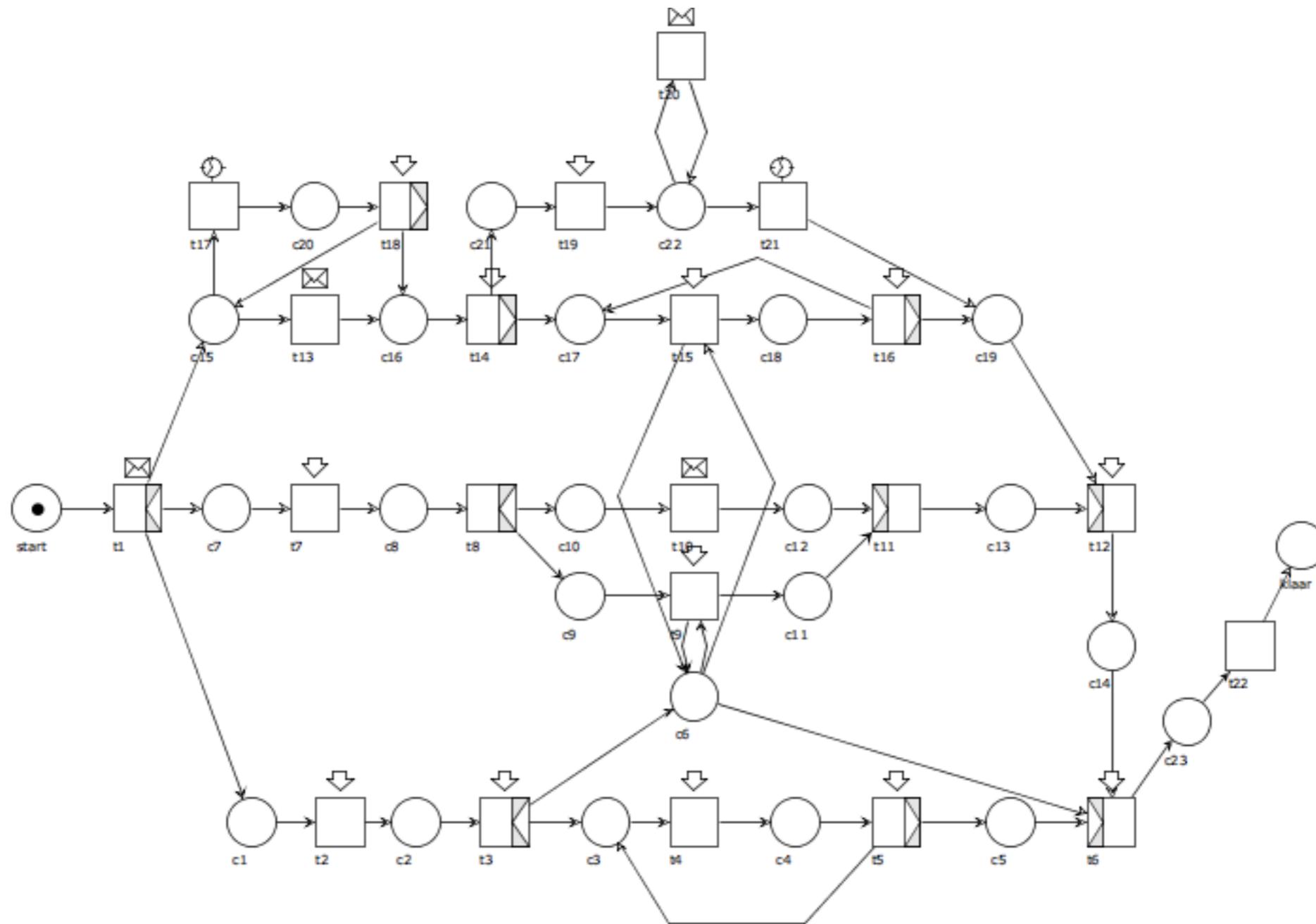
Is this net free-choice?



Is this net S-coverable?



Is this net sound?



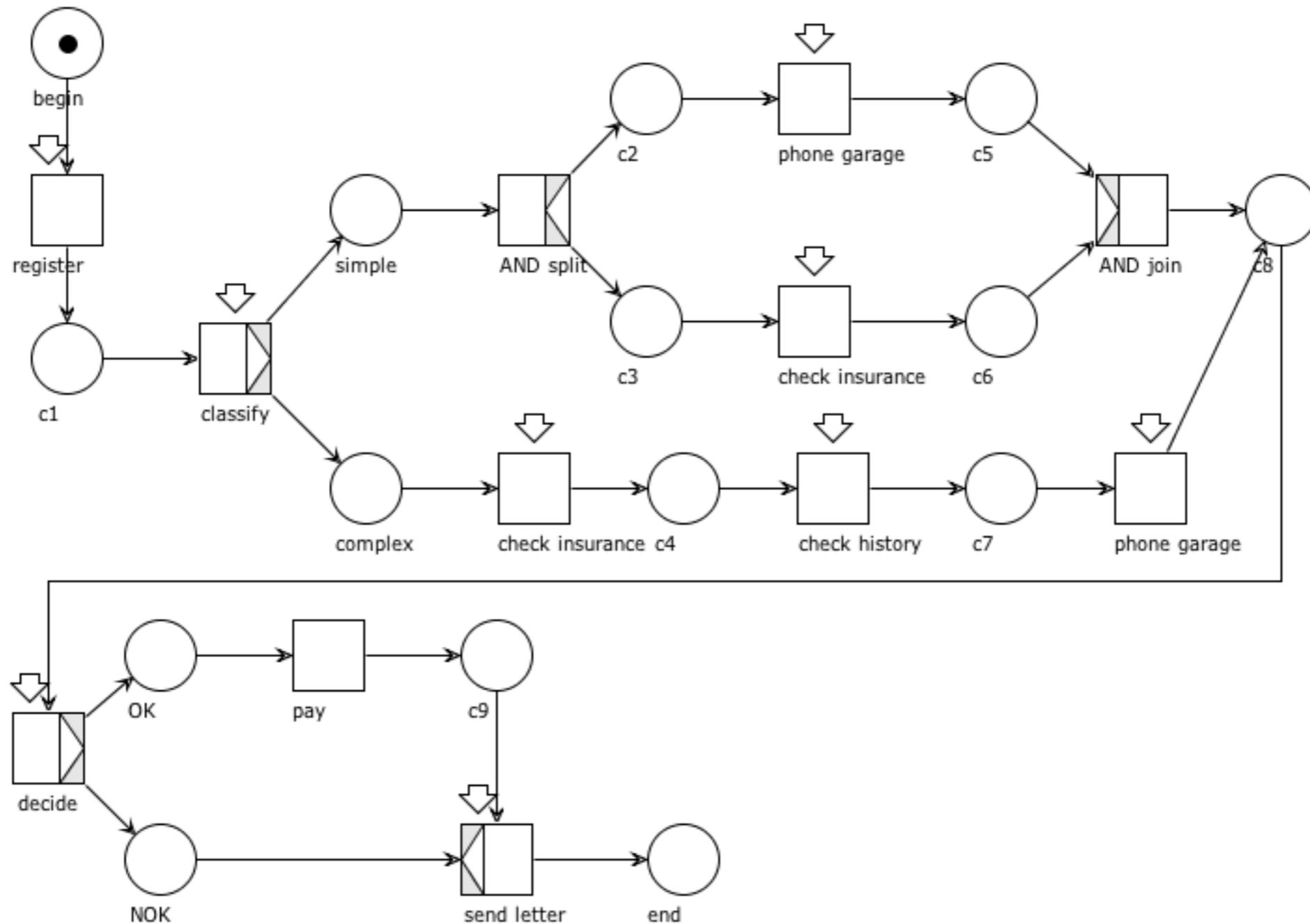
Design Example: Car Damage

- An insurance company uses the following procedure for the processing of the claims
- Every claim, reported by a customer, is registered
- After the registration, the claim is classified
- There are two categories: simple and complex claims.
 - For simple claims two tasks need to be executed: check insurance and phone garage.
These tasks are *independent* of each other.
 - The complex claims require three tasks: check insurance, check damage history and phone garage.
These tasks need to be *executed sequentially* in the order specified.
- After executing the two/three tasks a decision is taken with two possible outcomes: OK (positive) or NOK (negative).
- If the decision is positive, then insurance company will pay.
- In any event, the insurance company sends a letter to the customer.

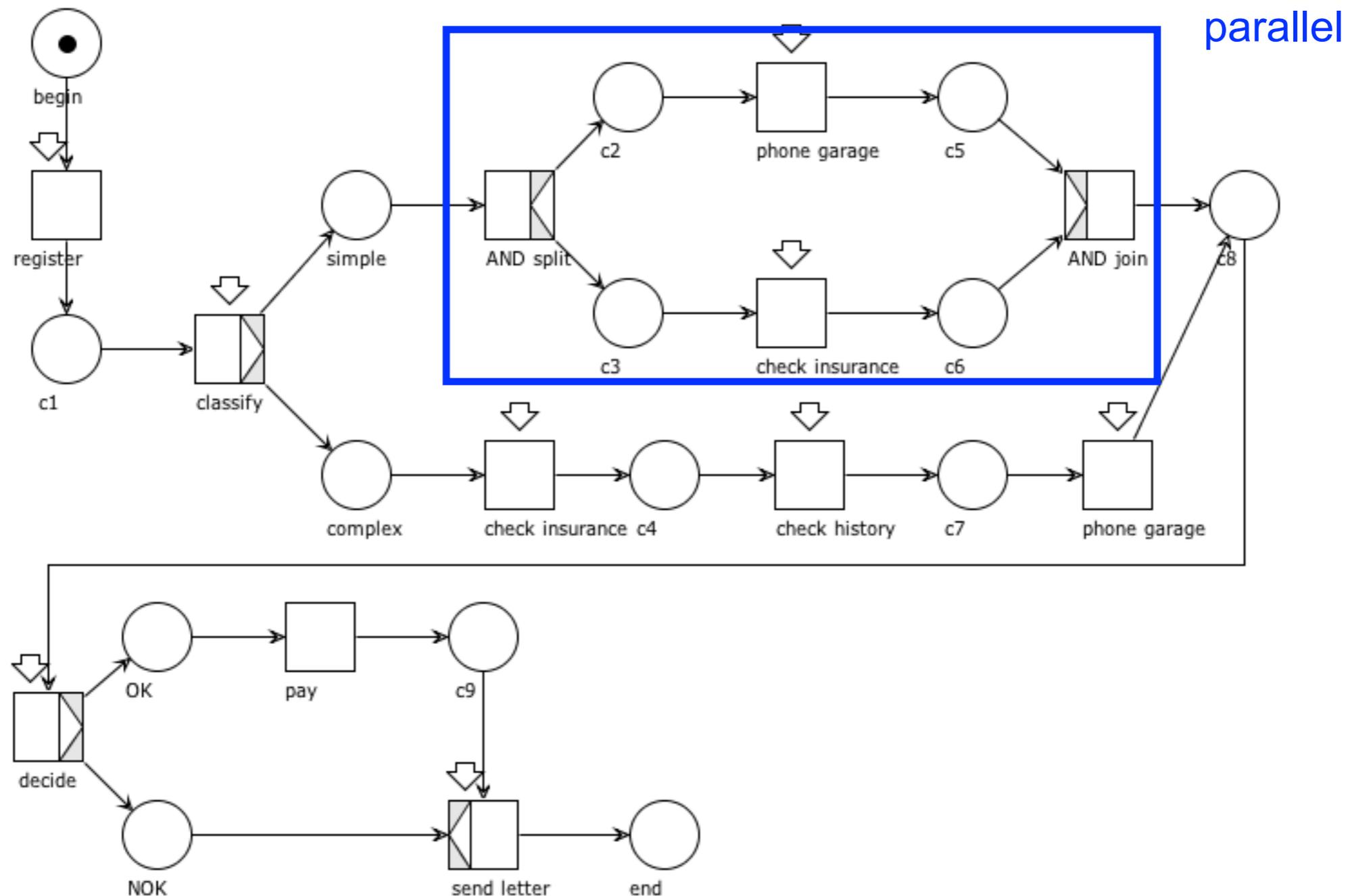
Design Example: Car Damage

- An insurance company uses the following procedure for the processing of the claims
- Every claim, reported by a customer, is **registered**
- After the registration, the claim is **classified**
- There are two categories: **simple** and **complex** claims.
 - For simple claims two tasks need to be executed: **check insurance** and **phone garage**.
These tasks are *independent* of each other.
 - The complex claims require three tasks: **check insurance**, **check damage history** and **phone garage**.
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- After executing the two/three tasks a **decision** is taken with two possible outcomes: **OK** (positive) or **NOK** (negative).
- If the decision is positive, then insurance company will **pay**.
- In any event, the insurance company **sends a letter** to the customer.

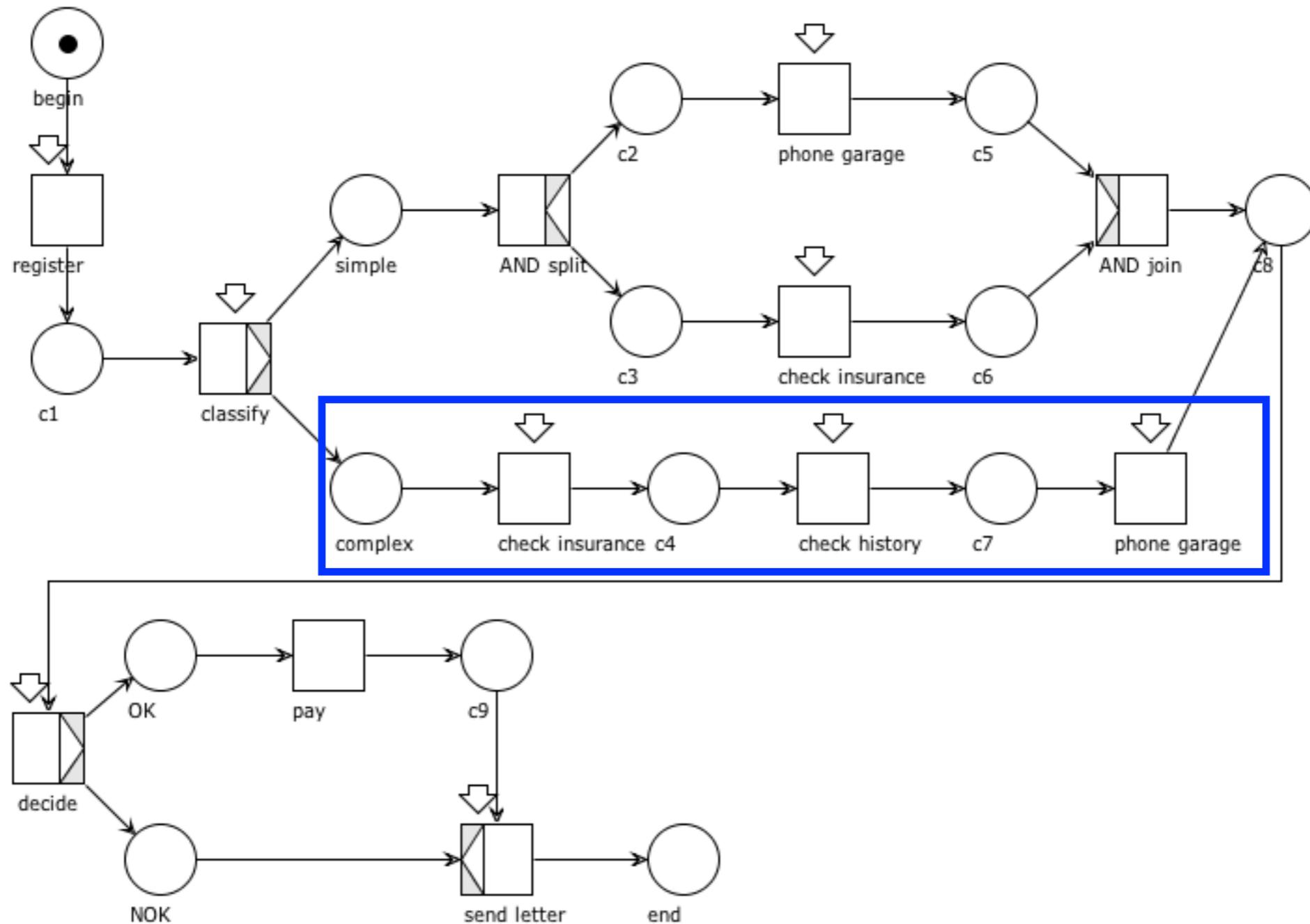
Design Example: Car Damage



Design Example: Car Damage

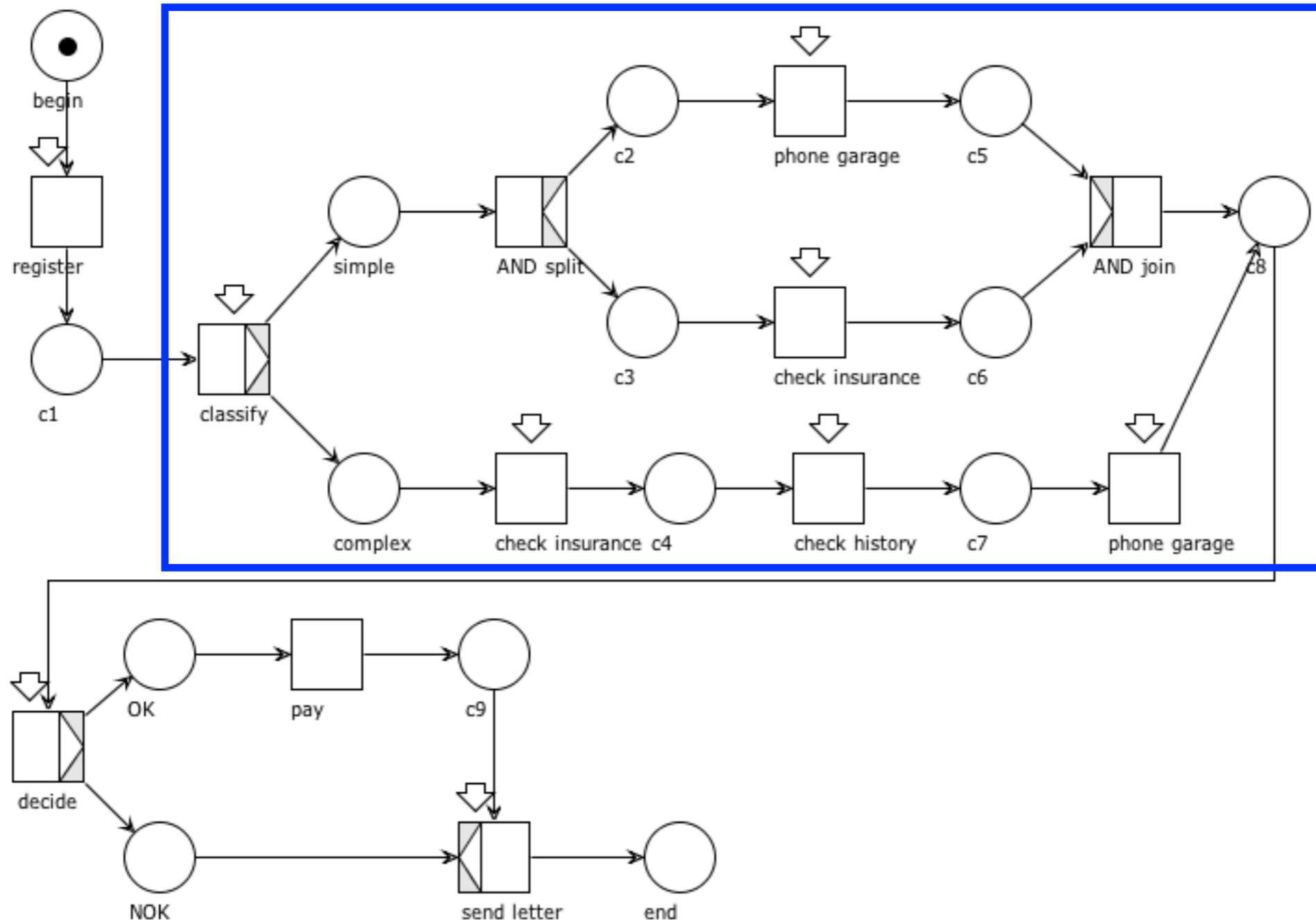


Design Example: Car Damage



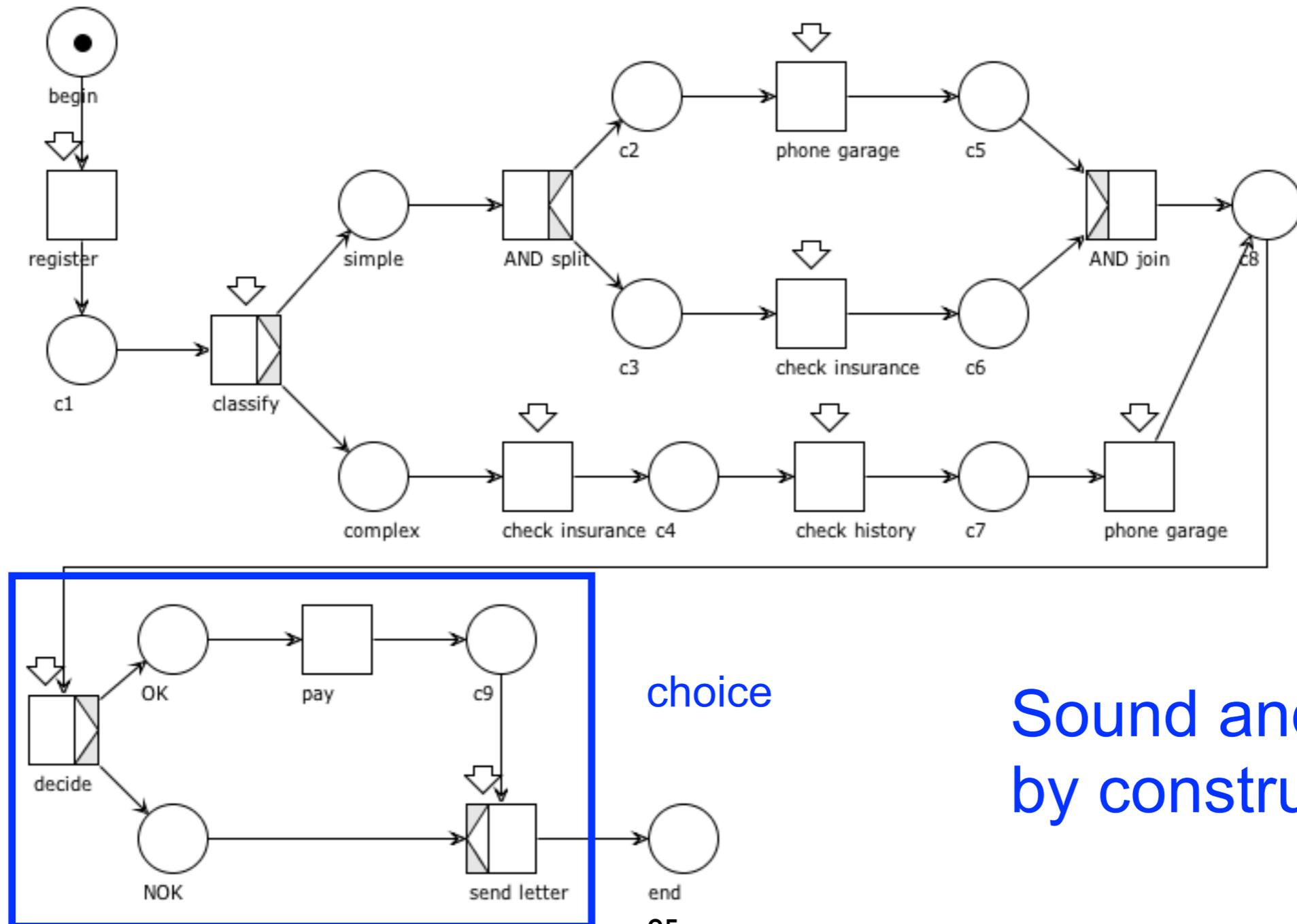
sequence

Design Example: Car Damage



choice

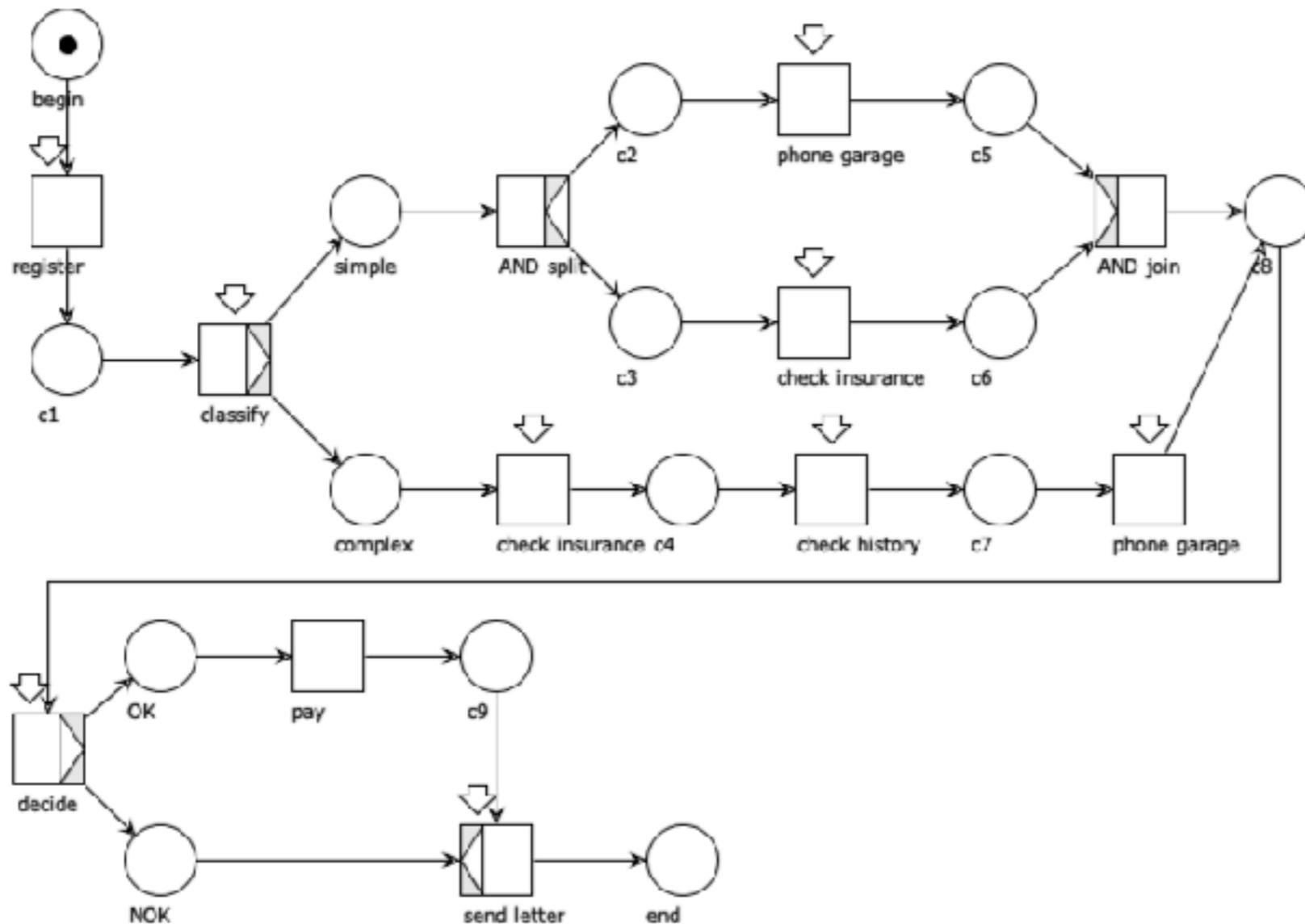
Design Example: Car Damage



choice

Sound and safe
by construction!

Design Example: Car Damage



Semantical analysis

Wizard Expert

- Qualitative analysis
 - Structural analysis
 - Net statistics
 - Wrongly used operators: 0
 - Free-choice violations: 0
 - S-Components
 - S-Components: 2
 - Places not covered by S-Comp: 0
 - Wellstructuredness
 - PT-Handles: 0
 - TP-Handles: 0
 - Soundness
 - Workflow net property
 - Initial marking
 - Boundedness
 - Unbounded places: 0
 - Liveness
 - Dead transitions: 0
 - Non-live transitions: 0

Design and analysis of WF-nets

The workflow of a computer repair service (CRS) can be described as follows. A customer brings in a defective computer and the CRS checks the defect and hands out a repair cost calculation back.

If the customer decides that the costs are acceptable, the process continues, otherwise she takes her computer home unrepaired.

The ongoing repair consists of two activities, which are executed sequentially but in an arbitrary order.

One activity is to check and repair the hardware, whereas the other activity is to check and configure the software.

After both activities are completed, the proper system functionality is tested.

If an error is detected the repair procedure is repeated, otherwise the repair is finished and the computer is returned.

Model the described workflow as a sound workflow net.