PSC 2022/23 (375AA, 9CFU)

Principles for Software Composition

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12a - HOFL Syntax & Types

HOFL pre-terms
(Higher Order Functional Language)

HOFL Syntax

$n \in \mathbb{Z}$

$x \in Ide$

$\mathsf{op} \in \{+, -, \times\}$

$\mathbf{if}\ t = 0\ \mathbf{then}\ t_0\ \mathbf{else}\ t_1$

$$
\begin{array}{rcl}
t & ::= & x \mid n \mid t_0\ \mathsf{op}\ t_1 \mid \mathbf{if}\ t\ \mathbf{then}\ t_0\ \mathbf{else}\ t_1 \\
& \mid & (t_0, t_1) \mid \mathbf{fst}(t) \mid \mathbf{snd}(t) \\
& \mid & \lambda x.\ t \mid t_0\ t_1 \\
& \mid & \mathbf{rec}\ x.\ t
\end{array}
$$

ordinary ops

pairing, projections

abstraction, application

recursion

$\mathsf{let}\ x = t\ \mathsf{in}\ x$

can contain x

Exercise

$$\mathbf{rec}\ f.\ \lambda x.\ \mathbf{if}\ x\ \mathbf{then}\ 1\ \mathbf{else}\ x \times (f\ (x-1))$$

guess the meaning of the above pre-term

factorial

Exercise

$$\textbf{rec}\ rep.\ \lambda n.\ \lambda f.\ \lambda x.\ \textbf{if}\ n\ \textbf{then}\ x \\ \textbf{else}\ f\ (rep\ (n-1)\ f\ x)$$

guess the meaning of the above pre-term

$$rep\ n\ f\ x = f^n\ x$$

Exercise

$$\lambda x.\ \left(\left(\begin{array}{l}\textbf{rec}\ f.\ \lambda y.\ \textbf{if}\ (x-y)\ \textbf{then}\ 0\\ \qquad\qquad\qquad\ \textbf{else if}\ (x+y)\ \textbf{then}\ 1\\ \qquad\qquad\qquad\ \textbf{else}\ f\ (y+1)\end{array}\right)\ 0\right)$$

guess the meaning of the above pre-term

greater or equal than 0

Badge exercise

assuming $true = 0$

$false =$ any $n \neq 0$

fill the dots (in HOFL)

$$
\begin{aligned}
or &\triangleq \lambda n.\ \lambda m.\ \cdots \\
and &\triangleq \lambda n.\ \lambda m.\ \cdots \\
not &\triangleq \lambda m.\ \cdots \\
implies &\triangleq \lambda n.\ \lambda m.\ \cdots \\
iff &\triangleq \lambda n.\ \lambda m.\ \cdots
\end{aligned}
$$

Pre-terms

$$
\begin{array}{rcl}
t & ::= & x \mid n \mid t_0 \text{ op } t_1 \mid \textbf{if } t \textbf{ then } t_0 \textbf{ else } t_1 \\
& \mid & (t_0, t_1) \mid \textbf{fst}(t) \mid \textbf{snd}(t) \\
& \mid & \lambda x.\ t \mid t_0\ t_1 \\
& \mid & \textbf{rec } x.\ t
\end{array}
$$

they are called pre-terms, why?

$x + 1$ ✓

$\textbf{if } x \textbf{ then } x + 1 \textbf{ else } x - 1$ ✓

$(0, \lambda x.\ x)$ ✓

$\textbf{fst}(0, \lambda x.\ x)$ ✓

$(\lambda x.\ x + 1)\ 3$ ✓

$\textbf{rec } f.\ \lambda x.\ x + (f\ 0)$ ✓

we need a type system

✗ $1 + (0, 5)$

✗ $2 \times \lambda x.\ x$

✗ $3\ \lambda x.\ x + 1$

✗ $\textbf{fst}(3)$

✗ $\textbf{if } x \textbf{ then } \lambda x.\ x \textbf{ else } (x, x)$

✗ $\textbf{rec } f.\ \lambda x.\ f + x$

HOFL types

Types

$$
\begin{array}{rcl}
t & ::= & x \mid n \mid t_0 \text{ op } t_1 \mid \textbf{if } t \textbf{ then } t_0 \textbf{ else } t_1 \\
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& \mid & \textbf{rec } x.\ t
\end{array}
$$

which types? infinitely many combinations!

	pairs	functions
int	$int * int$	$int \to int$
	$int * (int \to int)$	$(int * int) \to int$
	$int * (int * int)$	$(int \to int) \to int$
$(int \to int) * (int \to int)$		$(int \to int) \to (int \to (int * int))$

Types Syntax

$$\tau \ ::= \ int \ \mid \ \tau_0 * \tau_1 \ \mid \ \tau_0 \to \tau_1$$

$\mathcal{T}$ set of all types

why not lists?

for the same reason we avoid division
head and tail are not total functions

assume variables are typed

$$Ide = \{Ide_\tau\}_{\tau \in \mathcal{T}}$$

$$\widehat{\cdot} : Ide \to \mathcal{T}$$

$\widehat{x}$ denotes the type of x

Type judgements

formulas: $t : \tau$ reads “has type”

types are assigned to pre-terms
using a set of inference rules
(structural induction of HOFL syntax)

Type system

$$\frac{}{x : \widehat{x}} \qquad \frac{}{n : int} \qquad \frac{t_0 : int \quad t_1 : int}{t_0 \text{ op } t_1 : int} \qquad \frac{t : int \quad t_0 : \tau \quad t_1 : \tau}{\textbf{if } t \textbf{ then } t_0 \textbf{ else } t_1 : \tau}$$

$$\frac{t_0 : \tau_0 \quad t_1 : \tau_1}{(t_0, t_1) : \tau_0 * \tau_1} \qquad \frac{t : \tau_0 * \tau_1}{\textbf{fst}(t) : \tau_0} \qquad \frac{t : \tau_0 * \tau_1}{\textbf{snd}(t) : \tau_1}$$

$$\frac{x : \tau_0 \quad t : \tau_1}{\lambda x.\ t : \tau_0 \to \tau_1} \qquad \frac{t_1 : \tau_0 \to \tau_1 \quad t_0 : \tau_0}{t_1\ t_0 : \tau_1}$$

$$\frac{x : \tau \quad t : \tau}{\textbf{rec } x.\ t : \tau}$$

Well-formed terms

$$t \quad ::= \quad x \mid n \mid t_0 \text{ op } t_1 \mid \textbf{if } t \textbf{ then } t_0 \textbf{ else } t_1 \mid (t_0, t_1) \mid \textbf{fst}(t) \mid \textbf{snd}(t) \mid \lambda x.\, t \mid t_0\ t_1 \mid \textbf{rec } x.\, t$$

$$\tau \quad ::= \quad int \mid \tau_0 * \tau_1 \mid \tau_0 \to \tau_1$$

$\mathcal{T}$ set of all types

a pre-term t is *well formed* if $\exists \tau \in \mathcal{T}.\ t : \tau$

i.e. if we can assign a type to it

also called *well-typed* or *typeable*

T_τ set of all well-formed terms of type τ

Type checking

Example

variables are tagged with (declared) types
we deduce the type of terms by structural recursion

$$fact \triangleq \mathbf{rec}\ f : int \to int.\ \lambda x : int.\ \mathbf{if}\ x\ \mathbf{then}\ 1\ \mathbf{else}\ x \times (f\ (x-1))$$

$$fact : int \to int$$

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$$\frac{f : int \to int \qquad \lambda x.\ \mathbf{if}\ x\ \mathbf{then}\ 1\ \mathbf{else}\ (x \times (f\,(x-1))) : int \to int}{fact : int \to int}$$

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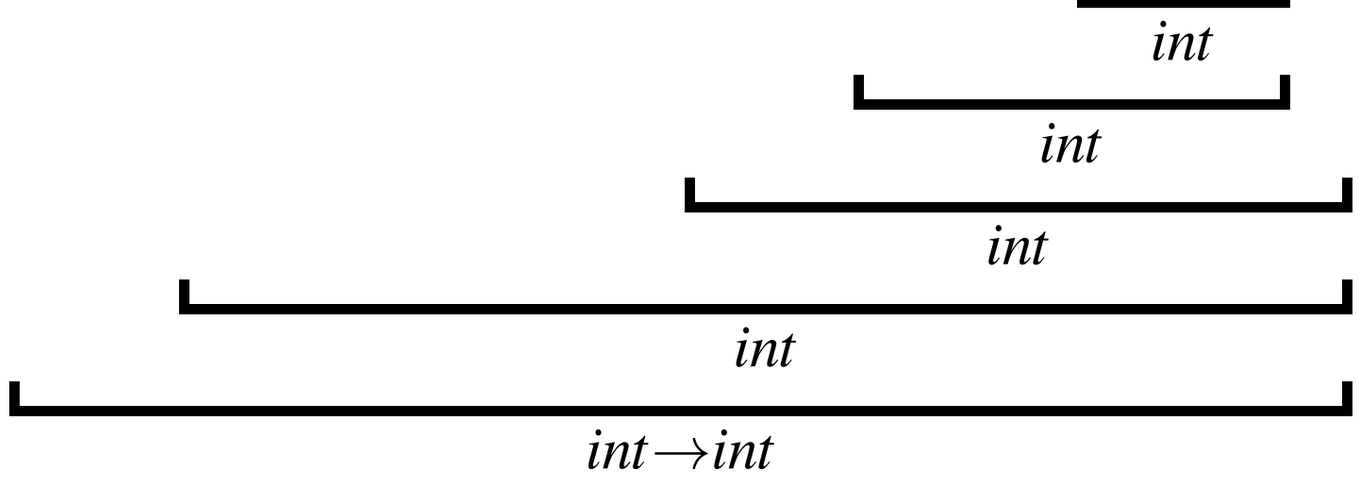

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$x - 1$: int

$f\ (x-1)$: int

$x \times (f\ (x-1))$: int

$\mathbf{if}\ x\ \mathbf{then}\ 1\ \mathbf{else}\ x \times (f\ (x-1))$: int

$\lambda x : int.\ \mathbf{if}\ x\ \mathbf{then}\ 1\ \mathbf{else}\ x \times (f\ (x-1))$: $int \to int$

$\mathbf{rec}\ f : int \to int.\ \lambda x : int.\ \mathbf{if}\ x\ \mathbf{then}\ 1\ \mathbf{else}\ x \times (f\ (x-1))$: $int \to int$

Type inference

Example

types of variables are not given
type rules are used to derive type constraints (type equations)
whose solutions (via unification) define the principal type

$$t \stackrel{\text{def}}{=} \mathbf{rec}\ p.\ \lambda x.\ (x, (p\ (x+2)))$$

intuitively

$$t\ 0 \equiv (0, (t\ 2)) \equiv (0, (2, (t\ 4))) \equiv \cdots \equiv (0, (2, (4, \ldots)))$$

sequence of all even numbers

we can type sequence of integers of fixed length
we have no type for sequences of any/infinite length

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Haskell

```
Prelude> let p x = (x, p (x+2))

<interactive>:…:5: error:
    • Occurs check: cannot construct the infinite type: b ~ (t, b)
      Expected type: t -> b
        Actual type: t -> (t, b)
    • Relevant bindings include
        p :: t -> b (bound at <interactive>:…:5)
```

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Haskell

```
Prelude> let p x = x:(p (x+2))
p :: Num t => t -> [t]
Prelude> take 10 $ p 0
[0,2,4,6,8,10,12,14,16,18]
```

Example

$$t \stackrel{\text{def}}{=} \textbf{rec}\ \ p.\ \lambda x.\ (x, (p\ (x+2)))$$

$t = \textbf{rec}\ \ p.\ \lambda x.\ (x, (p\ (x+2))) : \tau$

$\widehat{p}=\tau$ $\lambda x.\ (x, (p\ (x+2))) : \tau$

$\tau=\tau_1 \to \tau_2$, $\widehat{x}=\tau_1$ $(x, (p\ (x+2))) : \tau_2$

$\tau_2=\tau_3 * \tau_4$ $x : \tau_3,\ (p\ (x+2)) : \tau_4$

$\widehat{x}=\tau_3$ $(p\ (x+2)) : \tau_4$

$p : \tau_5 \to \tau_4,\ (x+2) : \tau_5$

$\widehat{p}=\tau_5 \to \tau_4$ $(x+2) : \tau_5$

$\tau_5=int$ $x : int,\ 2 : int$

$\overset{*}{\widehat{x}=int}$ $\square$

$$\left.\begin{array}{l} \widehat{x} = \tau_1 \\ \widehat{x} = \tau_3 \\ \widehat{x} = int \end{array}\right\} \tau_1 = \tau_3 = int$$

$$\left.\begin{array}{l} \widehat{p} = \tau = \tau_1 \to \tau_2 \\ \widehat{p} = \tau_5 \to \tau_4 \end{array}\right\} \begin{array}{l} \tau_1 = \tau_5 = int \\ \tau_2 = \tau_4 \end{array}$$

$$\left.\begin{array}{l} \tau_2 = \tau_4 \\ \tau_2 = \tau_3 * \tau_4 \end{array}\right\} \text{fail! (occur check)}$$

Example

$$t \stackrel{\text{def}}{=} \mathbf{rec}\ p.\ \lambda x.\ (x, (p\ (x+2)))$$

more concisely

$$t = \mathbf{rec}\ p.\quad \lambda\ \underline{x}\ .\ (\underline{x}\ , (\quad p\quad (x + \underline{2})))$$

$$\sqcup$$

$$int$$

Example

$$t \stackrel{\text{def}}{=} \textbf{rec}\ p.\ \lambda x.\ (x, (p\ (x + 2)))$$

more concisely

$$t = \textbf{rec}\ p. \quad \lambda\ x\ .\ (\ x\ , (\quad p \quad (\underbrace{x}_{int} + \underbrace{2}_{int})))$$

Example

$$t \stackrel{\text{def}}{=} \mathbf{rec}\ p.\ \lambda x.\ (x, (p\ (x+2)))$$

more concisely

$$t = \mathbf{rec}\ p.\quad \lambda \underset{\sqcup}{x}.\ (\underset{\sqcup}{x}, (\quad p \quad (\underset{\sqcup}{x} + \underset{\sqcup}{2})))$$

$$\underset{int}{} \qquad \underset{int}{} \qquad\qquad\qquad \underset{int}{} \qquad \underset{int}{}$$

Example

$$t \stackrel{\text{def}}{=} \mathbf{rec}\ p.\ \lambda x.\ (x, (p\ (x+2)))$$

more concisely

$$t = \mathbf{rec}\ p.\quad \lambda \underbrace{x}_{int}.\ (\underbrace{x}_{int}, (\quad p \quad \underbrace{(\underbrace{x}_{int} + \underbrace{2}_{int})}_{int})))$$

Example

$$t \stackrel{\text{def}}{=} \mathbf{rec}\ p.\ \lambda x.\ (x, (p\ (x+2)))$$

more concisely

$$t = \mathbf{rec}\ p.\quad \lambda \underset{int}{\underbrace{x}}.\ (\underset{int}{\underbrace{x}}, (\underset{int \to \tau_4}{\underbrace{p}}\quad (\underset{int}{\underbrace{\underset{int}{\underbrace{x}} + \underset{int}{\underbrace{2}}}})))$$

Example

$$t \stackrel{\text{def}}{=} \mathbf{rec}\ p.\ \lambda x.\ (x, (p\ (x+2)))$$

more concisely

$$t = \mathbf{rec}\ \underset{int \to \tau_4}{p}.\quad \lambda\ \underset{int}{x}\ .\ (\underset{int}{x}, (\quad \underset{int \to \tau_4}{p}\quad (\underbrace{\underset{int}{x} + \underset{int}{2}}_{int})))$$

Example

$$t \stackrel{\text{def}}{=} \mathbf{rec}\ p.\ \lambda x.\ (x, (p\ (x+2)))$$

more concisely

$$t = \mathbf{rec}\ \underbrace{p}_{int \to \tau_4}.\quad \lambda\ \underbrace{x}_{int}\ .\ (\underbrace{x}_{int}, (\quad \underbrace{p}_{int \to \tau_4}\quad (\underbrace{\underbrace{x}_{int} + \underbrace{2}_{int}}_{int})))_{\tau_4}$$

Example

$$t \stackrel{\text{def}}{=} \mathbf{rec}\ p.\ \lambda x.\ (x, (p\ (x+2)))$$

more concisely

$$t = \mathbf{rec}\ \underset{int\to\tau_4}{p}.\quad \lambda\ \underset{int}{x}\ .\ (\underset{int}{x}, (\quad \underset{int\to\tau_4}{p}\quad (\underbrace{\underset{int}{x} + \underset{int}{2}}_{int})))}_{\tau_4}}_{int*\tau_4}$$

Example

$$t \stackrel{\text{def}}{=} \mathbf{rec}\ p.\ \lambda x.\ (x,(p\ (x+2)))$$

more concisely

$$t = \mathbf{rec}\ \underset{int\to\tau_4}{p}.\quad \lambda\ \underset{int}{x}\ .\ (\underset{int}{x},(\ \underset{int\to\tau_4}{p}\quad (\underset{int}{x}+\underset{int}{2})))$$

$$int \qquad int \qquad int \qquad int \qquad int$$

$$int$$

$$\tau_4$$

$$int*\tau_4$$

$$(int\to(int*\tau_4)) = (int\to\tau_4) \Rightarrow \tau_4=(int*\tau_4)$$

fail (occur check)

Exercise

$$\textbf{rec}\ rep.\ \lambda n.\ \lambda f.\ \lambda x.\ \textbf{if}\ n\ \textbf{then}\ x\ \textbf{else}\ f\ (rep\ (n-1)\ f\ x)$$

infer the type of the above term

Exercise

$$\lambda x.\ \left(\left(\begin{array}{l}\textbf{rec}\ f.\ \lambda y.\ \textbf{if}\ (x-y)\ \textbf{then}\ 0\\ \qquad\qquad\textbf{else if}\ (x+y)\ \textbf{then}\ 1\\ \qquad\qquad\textbf{else}\ f\ (y+1)\end{array}\right)\ 0\right)$$

infer the type of the above term

Capture-avoiding substitutions (again)

Free variables

$$\mathrm{fv}(n) \stackrel{\text{def}}{=} \varnothing$$

$$\mathrm{fv}(x) \stackrel{\text{def}}{=} \{x\}$$

$$\mathrm{fv}(t_0 \text{ op } t_1) \stackrel{\text{def}}{=} \mathrm{fv}(t_0) \cup \mathrm{fv}(t_1)$$

$$\mathrm{fv}(\textbf{if } t \textbf{ then } t_0 \textbf{ else } t_1) \stackrel{\text{def}}{=} \mathrm{fv}(t) \cup \mathrm{fv}(t_0) \cup \mathrm{fv}(t_1)$$

$$\mathrm{fv}((t_0, t_1)) \stackrel{\text{def}}{=} \mathrm{fv}(t_0) \cup \mathrm{fv}(t_1)$$

$$\mathrm{fv}(\textbf{fst}(t)) \stackrel{\text{def}}{=} \mathrm{fv}(t)$$

$$\mathrm{fv}(\textbf{snd}(t)) \stackrel{\text{def}}{=} \mathrm{fv}(t)$$

$$\mathrm{fv}(\lambda x.\, t) \stackrel{\text{def}}{=} \mathrm{fv}(t) \backslash \{x\}$$

$$\mathrm{fv}((t_0\ t_1)) \stackrel{\text{def}}{=} \mathrm{fv}(t_0) \cup \mathrm{fv}(t_1)$$

$$\mathrm{fv}(\textbf{rec } x.\, t) \stackrel{\text{def}}{=} \mathrm{fv}(t) \backslash \{x\}$$

Substitutions

$$n[^t/_x] = n$$

$$y[^t/_x] \stackrel{\text{def}}{=} \begin{cases} t & \text{if } y = x \\ y & \text{if } y \neq x \end{cases}$$

$$(t_0 \text{ op } t_1)[^t/_x] \stackrel{\text{def}}{=} t_0[^t/_x] \text{ op } t_1[^t/_x] \quad \text{with op} \in \{+, -, \times\}$$

$$(\textbf{if } t' \textbf{ then } t_0 \textbf{ else } t_1)[^t/_x] \stackrel{\text{def}}{=} \textbf{if } t'[^t/_x] \textbf{ then } t_0[^t/_x] \textbf{ else } t_1[^t/_x]$$

$$(t_0, t_1)[^t/_x] \stackrel{\text{def}}{=} (t_0[^t/_x], t_1[^t/_x])$$

$$\textbf{fst}(t')[^t/_x] \stackrel{\text{def}}{=} \textbf{fst}(t'[^t/_x])$$

$$\textbf{snd}(t')[^t/_x] \stackrel{\text{def}}{=} \textbf{snd}(t'[^t/_x])$$

$$(t_0\ t_1)[^t/_x] \stackrel{\text{def}}{=} (t_0[^t/_x]\ t_1[^t/_x])$$

$$(\lambda y.\ t')[^t/_x] \stackrel{\text{def}}{=} \lambda z.\ (\ t'[^z/_y][^t/_x]\ ) \quad \text{for } z \notin \text{fv}(\lambda y.\ t') \cup \text{fv}(t) \cup \{x\}$$

$$(\textbf{rec } y.\ t')[^t/_x] \stackrel{\text{def}}{=} \textbf{rec } z.\ (t'[^z/_y][^t/_x]) \quad \text{for } z \notin \text{fv}(\textbf{rec } y.\ t') \cup \text{fv}(t) \cup \{x\}$$

Types are respected

TH. $x_0 : \tau_0$, $t_0 : \tau_0$ $\quad t : \tau \quad \Rightarrow \quad t[{}^{t_0}/_{x_0}] : \tau$

proof omitted

(by structural induction
of the stronger assertion $t[{}^{\widetilde{t}}/_{\widetilde{x}}] : \tau$)