

PSC 2022/23 (375AA, 9CFU)

Principles for Software Composition

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<http://didawiki.di.unipi.it/doku.php/magistraleinformatica/psc/start>

08b - Kleene's fixed point theorem

Partial functions

Comparing functions

given two functions $f, g: A \rightarrow B$, when can we say $f = g$?

$$\forall a \in A . f(a) = g(a)$$

if we see functions as relations

$$\{ (a, f(a)) \mid a \in A \} \subseteq A \times B$$

we can use set equality

Example

$$f(n) = n!$$

$n \quad f(n)$

$$f = \{ \begin{array}{l} (0, 1), \\ (1, 1), \\ (2, 2), \\ (3, 6), \\ \dots \\ (k, k!), \\ \dots \end{array} \}$$

$$f(n) = n(n + 1)/2$$

$$f = \{ \begin{array}{l} (0, 0), \\ (1, 1), \\ (2, 3), \\ (3, 6), \\ \dots \\ (k, T_k), \\ \dots \end{array} \}$$

Partial functions

let $f: A \rightarrow B$, or equivalently $f: A \rightarrow B \cup \{ \perp \}$

the function f can be undefined on some inputs

we can still see partial functions as relations

$$\{ (a, f(a)) \mid a \in A, f(a) \neq \perp \} \subseteq A \times B$$

omit pairs where $f(a)$ is undefined

Partial functions

$D = (A \rightarrow B) = \text{Pf}(A, B) = \{f : A \rightarrow B\}$ partial functions

$f \sqsubseteq g$ if $f(a)$ is defined, $g(a)$ is defined and $g(a) = f(a)$

but $g(a)$ can be defined when $f(a)$ is not

if we see partial functions as relations

$$\{(x, f(x)) \mid f(x) \neq \perp\} \subseteq A \times B$$

$f \sqsubseteq g$ means essentially $f \subseteq g$

Example

$\mathbf{Pf}(\mathbb{N}, \mathbb{N})$

$$f(n) = \begin{cases} n/2 & \text{if } n \text{ even} \\ \perp & \text{otherwise} \end{cases}$$

$$\begin{array}{ll} n & f(n) \\ \hline 0 & 0 \\ 1 & \perp \\ 2 & 1 \\ 3 & \perp \\ 4 & 2 \\ 5 & \perp \\ 6 & 3 \\ \dots & \dots \\ 2k & k \\ \dots & \dots \end{array}$$

Example

$\mathbf{Pf}(\mathbb{N}, \mathbb{N})$

$$g(n) = \begin{cases} n/2 & \text{if } n \text{ even} \\ 2 \cdot n & \text{otherwise} \end{cases}$$

$$\begin{aligned} g = \{ & (0, 0), (1, 2), \\ & (2, 1), (3, 6), \\ & (4, 2), (5, 10), \\ & (6, 3), (7, 14), \\ & \dots \\ & (2k, k), (1 + 2k, 2 + 4k), \\ & \dots \} \end{aligned}$$

Example

$\mathbf{Pf}(\mathbb{N}, \mathbb{N})$

$$g(n) = \begin{cases} n/2 & \text{if } n \text{ even} \\ 2 \cdot n & \text{otherwise} \end{cases}$$

$$g = \{ (0, 0), (1, 2), (2, 1), (3, 6), (4, 2), (5, 10), (6, 3), (7, 14), \dots, (2k, k), (1 + 2k, 2 + 4k), \dots \}$$

$f \sqsubseteq g?$
 $g \sqsubseteq f?$

$$f(n) = \begin{cases} n/2 & \text{if } n \text{ even} \\ \perp & \text{otherwise} \end{cases}$$

$$f = \{ (0, 0), (2, 1), (4, 2), (6, 3), \dots, (2k, k), \dots \}$$

Example

$\mathbf{Pf}(\mathbb{N}, \mathbb{N})$

$$\emptyset \sqsubseteq \{ (0,0) \} \sqsubseteq \{ (0,0), \quad \sqsubseteq \dots \\ \qquad \qquad \qquad (1,1) \}$$

which function(s) are we approximating?

Example

$\mathbf{Pf}(\mathbb{N}, \mathbb{N})$

$$\emptyset \sqsubseteq \{ (0,0) \} \sqsubseteq \{ (0,0), \quad \sqsubseteq \{ (0,0), \quad \sqsubseteq \dots \\ (1,1) \} \qquad (1,1), \\ \qquad \qquad (2,2) \}$$

which function(s) are we approximating?

Example

$\mathbf{Pf}(\mathbb{N}, \mathbb{N})$

$$\begin{array}{ccccccccc} \emptyset & \sqsubseteq & \{ (0,0) \} & \sqsubseteq & \{ (0,0), & \sqsubseteq & \{ (0,0), & \sqsubseteq & \{ (0,0), & \sqsubseteq & \dots \\ & & & & (1,1) \} & & (1,1), & & (1,1), \\ & & & & & & (2,2) \} & & (2,2), \\ & & & & & & & & (3,3) \} \end{array}$$

which function(s) are we approximating?

Example

$\mathbf{Pf}(\mathbb{N}, \mathbb{N})$

$\emptyset \sqsubseteq \{ (0,0) \} \sqsubseteq \{ (0,0), (1,1) \} \sqsubseteq \{ (0,0), (1,1), (2,2) \} \sqsubseteq \{ (0,0), (1,1), (2,2), (3,3) \} \sqsubseteq \{ (0,0), (1,1), (2,2), (3,3), (4,4) \} \sqsubseteq \dots$

which function(s) are we approximating?

Example

$\mathbf{Pf}(\mathbb{N}, \mathbb{N})$

$$\begin{array}{c} \emptyset \sqsubseteq \{ (0,1) \} \sqsubseteq \{ (0,1), \quad \sqsubseteq \{ (0,1), \quad \sqsubseteq \dots \\ \qquad \qquad \qquad (1,1) \} \qquad \qquad (1,1), \\ \qquad \qquad \qquad \qquad \qquad \qquad (2,2) \} \end{array}$$

which function(s) are we approximating?

Example

$\mathbf{Pf}(\mathbb{N}, \mathbb{N})$

$$\begin{array}{ccccccccc} \emptyset & \sqsubseteq & \{ (0,1) \} & \sqsubseteq & \{ (0,1), & \sqsubseteq & \{ (0,1), & \sqsubseteq & \{ (0,1), \\ & & & & (1,1) \} & & (1,1), & & (1,1), \\ & & & & & & (2,2) \} & & (2,2), \\ & & & & & & & & (3,6) \} \end{array} \quad \dots$$

which function(s) are we approximating?

Example

$\mathbf{Pf}(\mathbb{N}, \mathbb{N})$

$$\begin{array}{ccccccccc} \emptyset & \sqsubseteq & \{ (0,1) \} & \sqsubseteq & \{ (0,1), & \sqsubseteq & \{ (0,1), & \sqsubseteq & \{ (0,1), \\ & & & & (1,1) \} & & (1,1), & & (1,1), \\ & & & & & & (1,1), & & (1,1), \\ & & & & & & (2,2) \} & & (2,2), \\ & & & & & & & & (2,2), \\ & & & & & & & & (3,6) \} \\ & & & & & & & & (3,6), \\ & & & & & & & & (4,24) \} \end{array}$$

which function(s) are we approximating?

Example

$\mathbf{Pf}(\mathbb{N}, \mathbb{N})$

$$\begin{array}{ccccccccc} \emptyset & \sqsubseteq & \{ (0,1) \} & \sqsubseteq & \{ (0,1), & \sqsubseteq & \{ (0,1), & \sqsubseteq & \{ (0,1), \\ & & & & (4,24) \} & & (1,1), & & (1,1), \\ & & & & & & (1,1), & & (1,1), \\ & & & & (4,24) \} & & (3,6), & & (2,2), \\ & & & & & & (4,24) \} & & (3,6), \\ & & & & & & & & (4,24) \} \end{array}$$

which function(s) are we approximating?

Example

$\mathbf{Pf}(\mathbb{N}, \mathbb{N})$

$$\begin{array}{ccccccccc} \emptyset & \sqsubseteq & \{ (1,1) \} & \sqsubseteq & \{ (1,1), & \sqsubseteq & \{ (1,1), & \sqsubseteq & \{ (1,1), & \sqsubseteq & \dots \\ & & & & (2,4) \} & & (2,4), & & (2,4), \\ & & & & & & (3,81) \} & & (3,81), \\ & & & & & & & & (4,256) \} \end{array}$$

which function(s) are we approximating?

Example

$\mathbf{Pf}(\mathbb{N}, \mathbb{N})$

$$\begin{array}{ccccccccc} \emptyset & \sqsubseteq & \{ (4,2) \} & \sqsubseteq & \{ (4,2), & \sqsubseteq & \{ (4,2), & \sqsubseteq & \{ (4,2), \\ & & & & (6,3) \} & & (6,3), & & (6,3), \\ & & & & & & (8,4) \} & & (6,1), \\ & & & & & & & & (8,4), \\ & & & & & & & & (8,4), \\ & & & & & & & & (9,3) \} \\ & & & & & & & & (9,3), \\ & & & & & & & & (10,5) \} \end{array}$$

which function(s) are we approximating?

Example

$\mathbf{Pf}(\mathbb{N}, \mathbb{N})$

$$\begin{array}{ccccccccc} \emptyset & \sqsubseteq & \{ (1,6) \} & \sqsubseteq & \{ (1,6), & \sqsubseteq & \{ (1,6), & \sqsubseteq & \{ (1,6), \quad \sqsubseteq \dots \\ & & & & (2,28) \} & & (2,28), & & (2,28), \\ & & & & & & (3,496) \} & & (3,496), \\ & & & & & & & & (4,8128) \} \end{array}$$

which function(s) are we approximating?

Functional property

$\mathbf{Pf}(A, B) = \{f : A \rightharpoonup B\}$ partial functions

$\mathbf{Pf}(A, B) = \{f \subseteq A \times B \mid \boxed{\forall a \in A. \forall b_1, b_2 \in B. (a, b_1) \in f \wedge (a, b_2) \in f \Rightarrow b_1 = b_2}\}$

functional property

$f(a) \downarrow \triangleq \exists b \in B. (a, b) \in f$ function f is defined on a

$$\begin{aligned} f \sqsubseteq g &\Leftrightarrow (\forall a \in A. f(a) \downarrow \Rightarrow g(a) \downarrow \wedge f(a) = g(a)) \\ &\Leftrightarrow f \subseteq g \end{aligned}$$

$(\mathbf{Pf}(A, B), \sqsubseteq)$ is a PO with bottom
what is bottom?
is it complete?

the empty relation
(the function always undefined)

Is Pf complete?

$(\text{Pf}(A, B), \sqsubseteq)$

complete?

Given a chain $\{f_i\}_{i \in \mathbb{N}}$ let us consider $\bigcup_{i \in \mathbb{N}} f_i \subseteq A \times B$

we want to prove that $\bigcup_{i \in \mathbb{N}} f_i \in \text{Pf}(A, B)$

i.e. that $f = \bigcup_{i \in \mathbb{N}} f_i$ satisfies the functional property

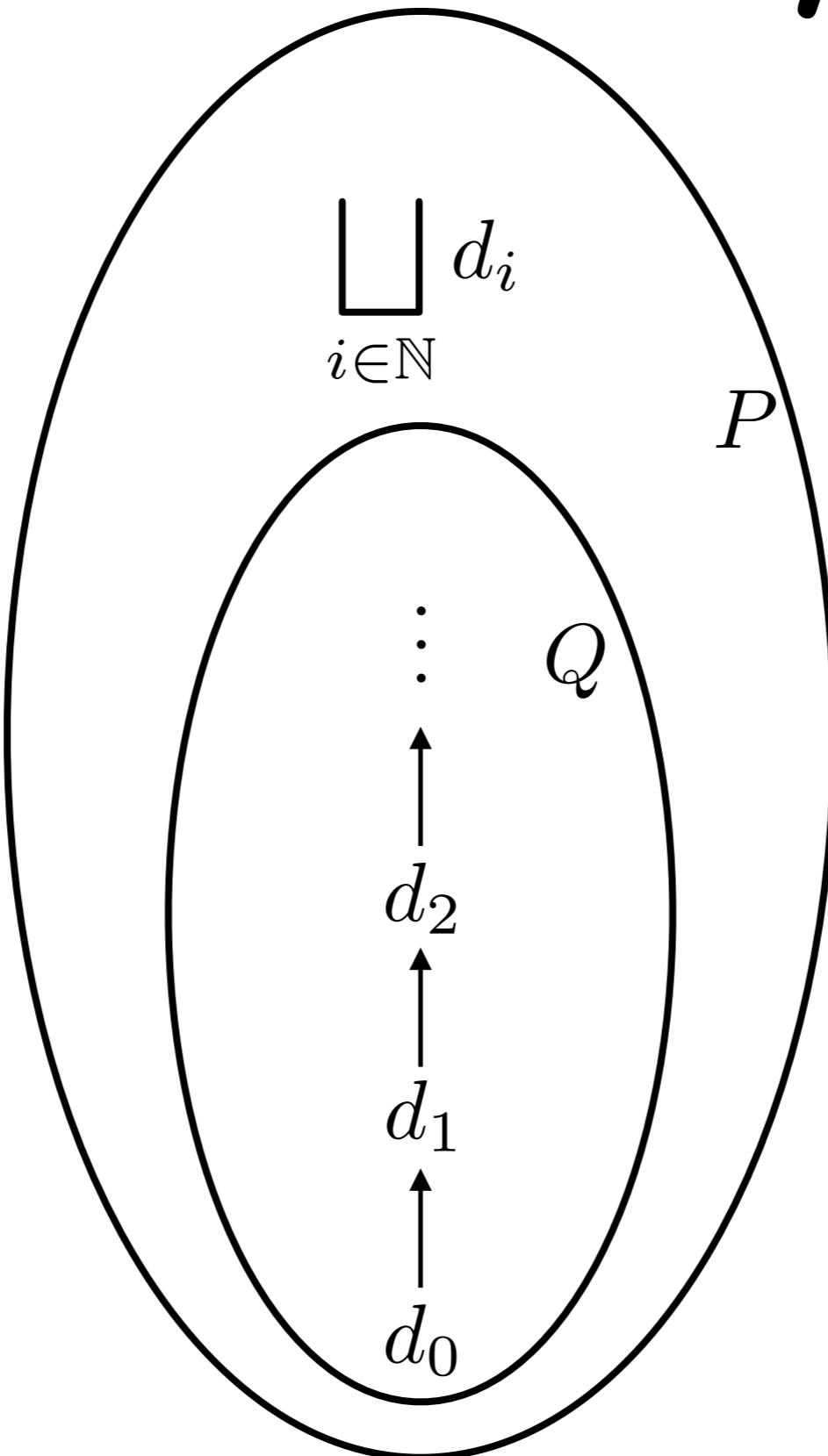
we know that each f_i is functional

$\forall i \in \mathbb{N}. \forall a \in A. \forall b_1, b_2 \in B. (a, b_1) \in f_i \wedge (a, b_2) \in f_i \Rightarrow b_1 = b_2$

we need to prove f is functional

$\forall a \in A. \forall b_1, b_2 \in B. (a, b_1) \in f \wedge (a, b_2) \in f \Rightarrow b_1 = b_2$

pictorially



is the limit in Q ?

Pf is complete

we need to prove f is functional

$$\forall a \in A. \forall b_1, b_2 \in B. (a, b_1) \in f \wedge (a, b_2) \in f \Rightarrow b_1 = b_2$$

Take $a \in A, b_1, b_2 \in B$ such that $(a, b_1) \in f \wedge (a, b_2) \in f$

we need to prove $b_1 = b_2$

$$(a, b_1) \in f = \bigcup_{i \in \mathbb{N}} f_i \Leftrightarrow \exists k \in \mathbb{N}. (a, b_1) \in f_k \quad m \triangleq \max\{k, h\}$$

$$(a, b_2) \in f = \bigcup_{i \in \mathbb{N}} f_i \Leftrightarrow \exists h \in \mathbb{N}. (a, b_2) \in f_h$$

Clearly $f_k \subseteq f_m$ $f_h \subseteq f_m$ f_m is functional

$$(a, b_1) \in f_m \quad (a, b_2) \in f_m \quad \Rightarrow \quad b_1 = b_2$$

Example

$$\begin{array}{lll} \mathbf{Pf}(\mathbb{N}, \mathbb{N}) & f_0 \emptyset & \subseteq \{(0, 1)\}^{f_1} \\ & & \subseteq \{(0, 1), (1, 1)\}^{f_2} \\ & & \subseteq \{(0, 1), (1, 1), (2, 2)\}^{f_3} \\ & & \subseteq \{(0, 1), (1, 1), (2, 2), (3, 6)\}^{f_4} \\ & & \subseteq \{(0, 1), (1, 1), (2, 2), (3, 6), (4, 24)\}^{f_5} \\ & & \subseteq \dots \end{array}$$

$\bigcup_{i \in \mathbb{N}} f_i$ is (maybe) the factorial function

note: the limit of partial functions can be a total function

Total functions

$\mathbf{Tf}(A, B) = (A \rightarrow B)$ total functions

$\mathbf{Pf}(A, B) \equiv \mathbf{Tf}(A, B_\perp)$ $B_\perp \triangleq B \uplus \{\perp\}$
 $\sqsubseteq_{B_\perp} \triangleq$ flat order

$$f \sqsubseteq g \Leftrightarrow \forall a \in A. f(a) \sqsubseteq_{B_\perp} g(a)$$

PO? immediate to check

bottom? $f_\perp(a) = \perp$ for any $a \in A$

complete? we will prove it later

(as an instance of a more general result)

$$(\bigcup_{i \in \mathbb{N}} f_i)(a) \triangleq \bigcup_{i \in \mathbb{N}} f_i(a) \quad (\text{flat order, limit exists})$$

Monotone functions

Monotone function

(D, \sqsubseteq_D) PO (E, \sqsubseteq_E) PO $f : D \rightarrow E$

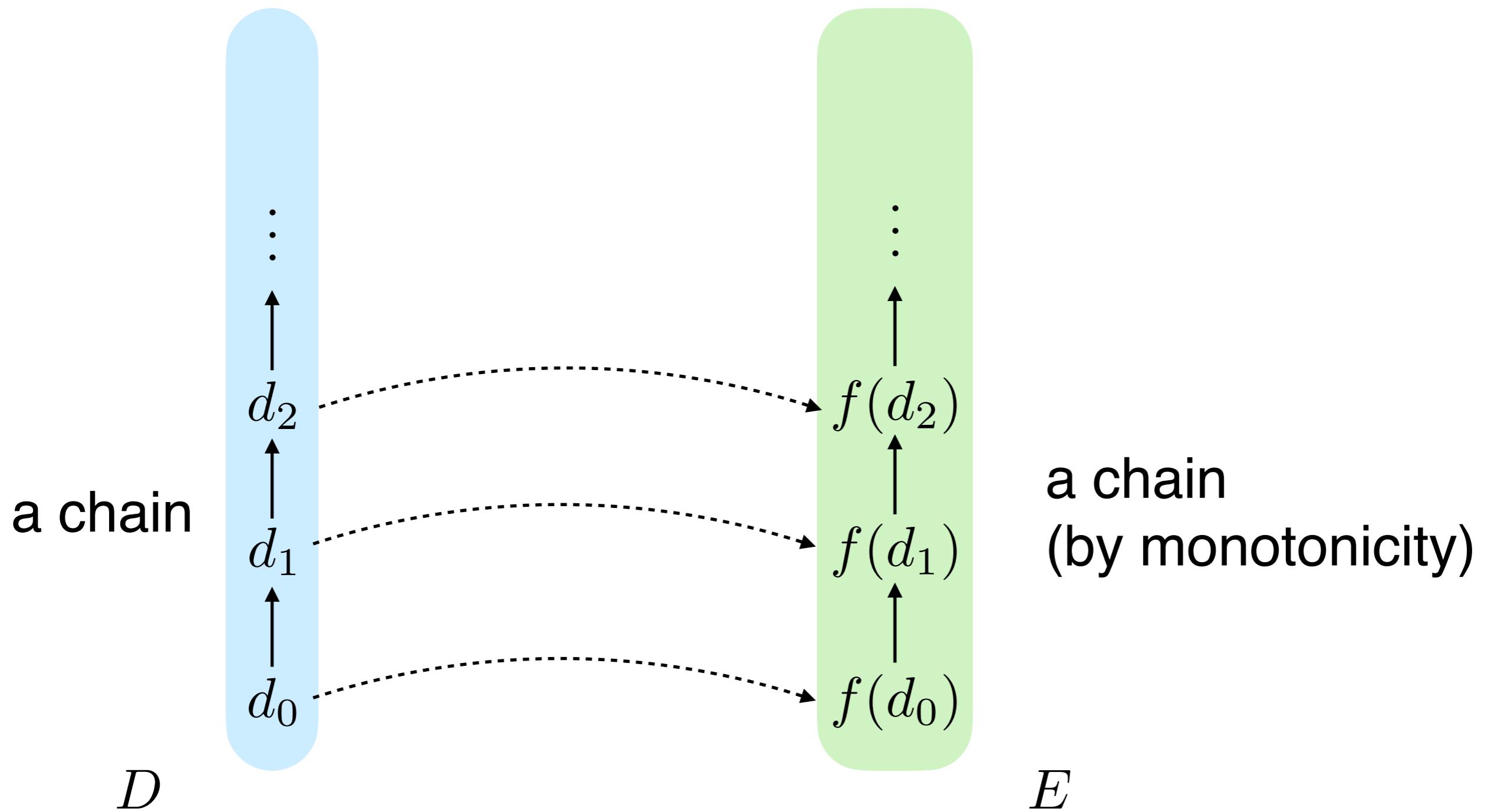
f is **monotone** if $\forall d_1, d_2 \in D. d_1 \sqsubseteq_D d_2 \Rightarrow f(d_1) \sqsubseteq_E f(d_2)$

Monotone = Order preserving

$$\left. \begin{array}{l} \{d_i\}_{i \in \mathbb{N}} \text{ a chain in } D \\ f \text{ monotone} \end{array} \right\} \Rightarrow \{f(d_i)\}_{i \in \mathbb{N}} \text{ a chain in } E$$

When $D = E$ we say $f : D \rightarrow D$ is a function on D

Monotonicity illustrated



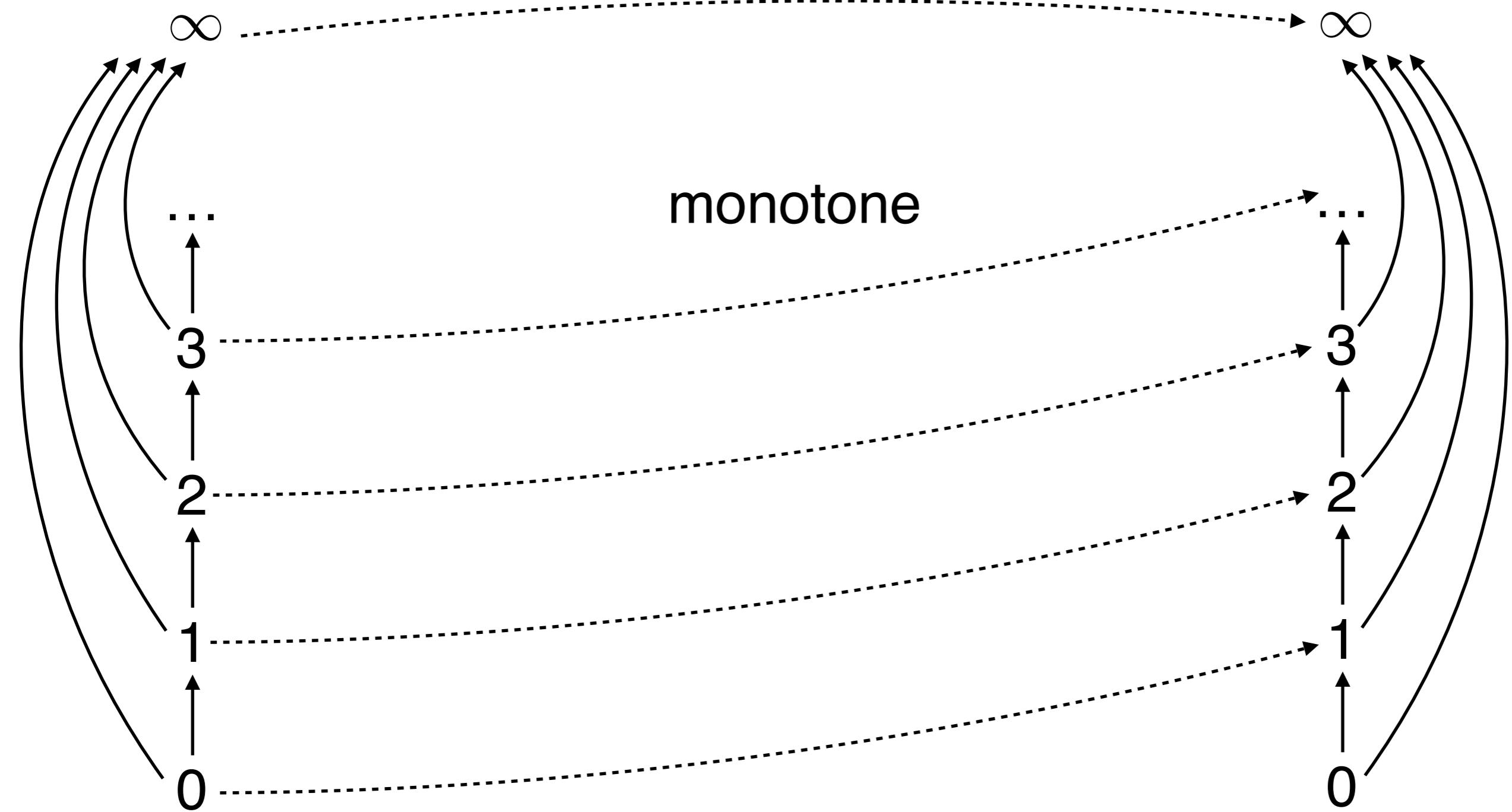
Example

$(\mathbb{N} \cup \{\infty\}, \leq)$

$$\begin{aligned}f(n) &= n + 1 \\f(\infty) &= \infty\end{aligned}$$

$(\mathbb{N} \cup \{\infty\}, \leq)$

monotone





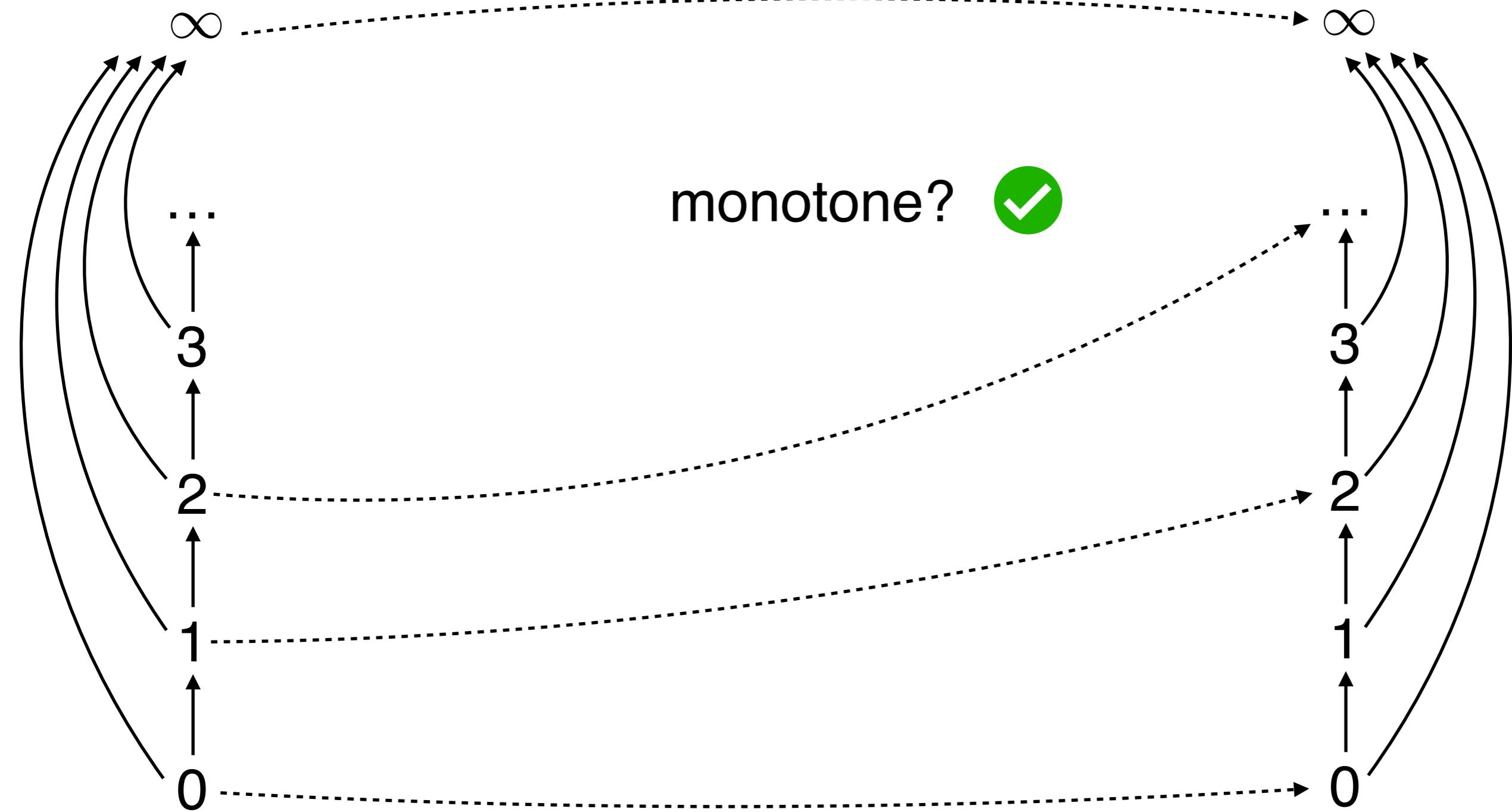
Exercise

$(\mathbb{N} \cup \{\infty\}, \leq)$

$$\begin{aligned}f(n) &= 2 \cdot n \\f(\infty) &= \infty\end{aligned}$$

$(\mathbb{N} \cup \{\infty\}, \leq)$

monotone? 





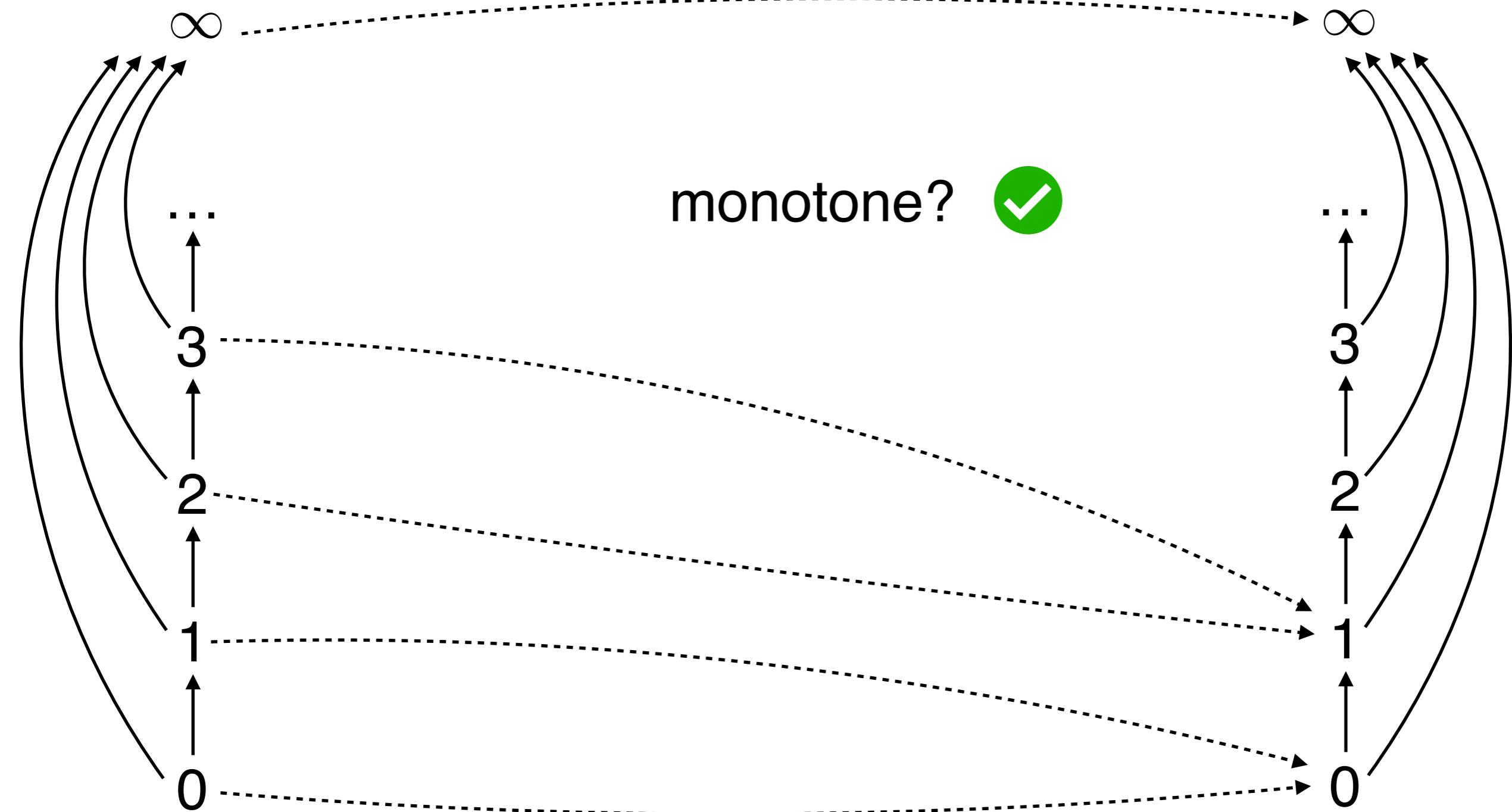
Exercise

$(\mathbb{N} \cup \{\infty\}, \leq)$

$$f(n) = n/2$$
$$f(\infty) = \infty$$

$(\mathbb{N} \cup \{\infty\}, \leq)$

monotone? 





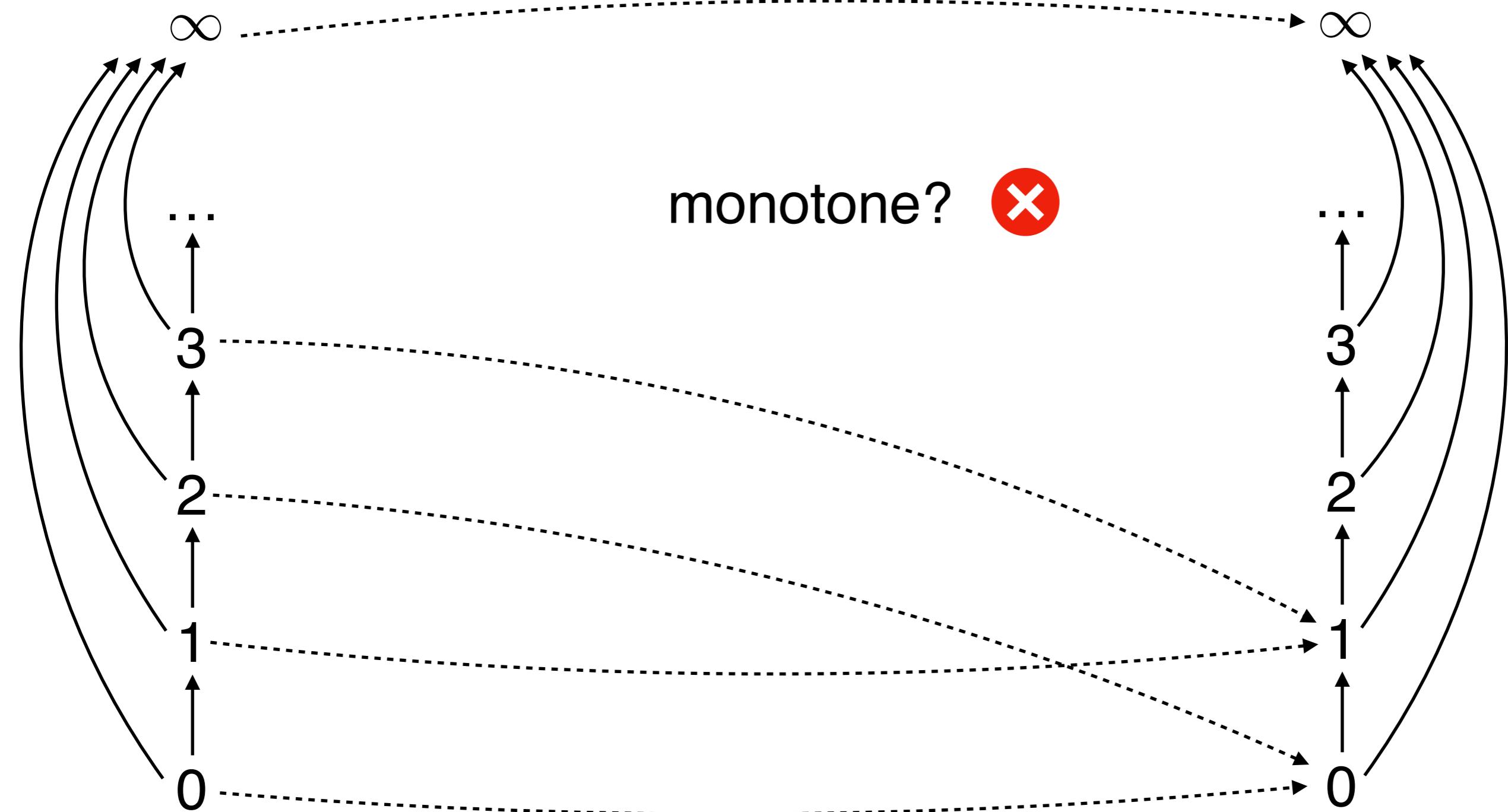
Exercise

$(\mathbb{N} \cup \{\infty\}, \leq)$

$$\begin{aligned}f(n) &= n \% 2 \\f(\infty) &= \infty\end{aligned}$$

$(\mathbb{N} \cup \{\infty\}, \leq)$

monotone?



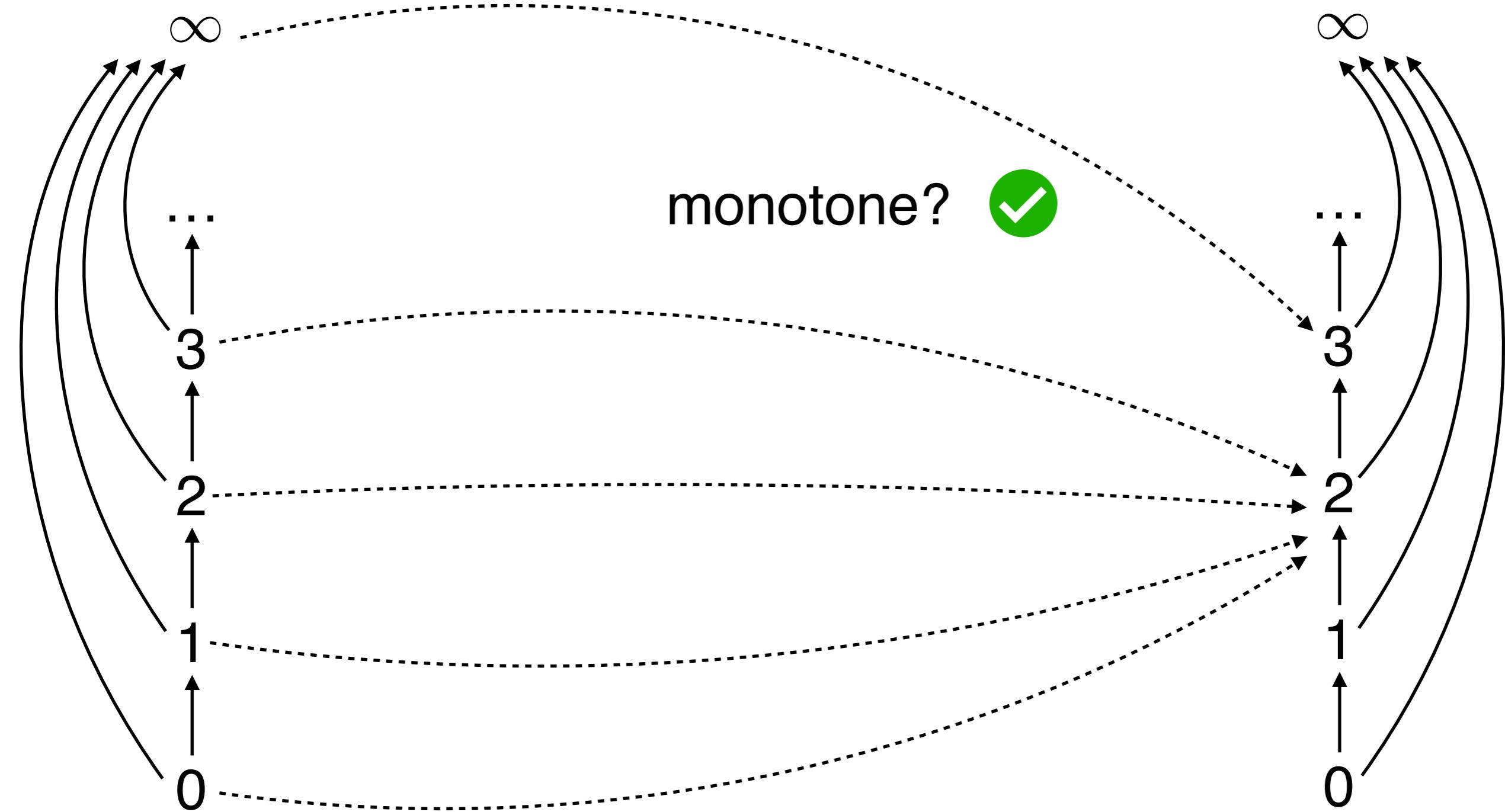


Exercise

$(\mathbb{N} \cup \{\infty\}, \leq)$

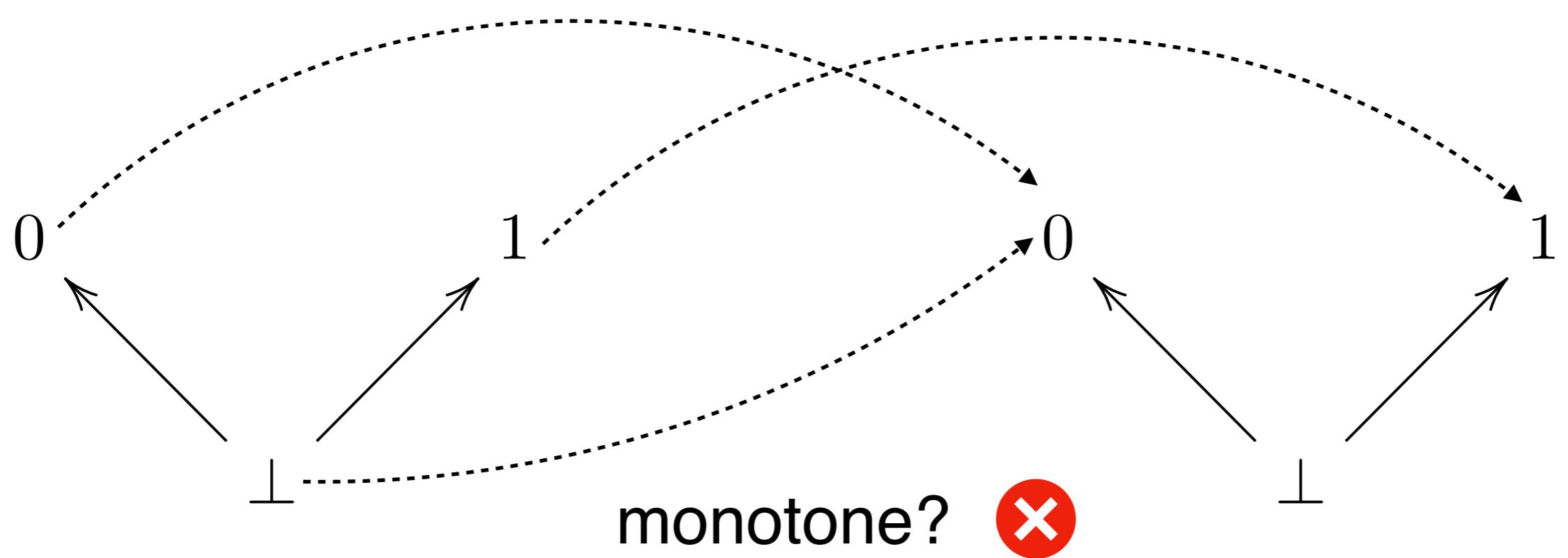
$$\begin{aligned}f(n) &= 2 \\f(\infty) &= 3\end{aligned}$$

$(\mathbb{N} \cup \{\infty\}, \leq)$





Exercise



D

$f : D \rightarrow D$

$$f(\perp) = f(0) = 0$$

$$f(1) = 1$$

D

$$\perp \sqsubseteq 1$$

$$f(\perp) = 0 \not\sqsubseteq 1 = f(1)$$



Exercise

$(\wp(\mathbb{N}), \subseteq)$ $f(S) = \{ m \in \mathbb{N} \mid \exists n \in S, m \leq n \}$ $(\wp(\mathbb{N}), \subseteq)$

monotone? 



Exercise

$(\wp(\mathbb{N}), \subseteq)$ $f(S) = \{ m \in \mathbb{N} \mid \forall n \in S, n < m \}$ $(\wp(\mathbb{N}), \subseteq)$

monotone? 

Composition

TH. Any composition of monotone functions is monotone

$$\begin{array}{lll} (D, \sqsubseteq_D) & \text{PO} & f : D \rightarrow E \text{ monotone} \\ (E, \sqsubseteq_E) & \text{PO} & g : E \rightarrow F \text{ monotone} \\ (F, \sqsubseteq_F) & \text{PO} & h = g \circ f : D \rightarrow F \\ & & \text{monotone} \end{array}$$

proof. we need to prove $\forall x, y \in D. x \sqsubseteq_D y \Rightarrow h(x) \sqsubseteq_F h(y)$

take $x \sqsubseteq_D y$

we want to prove $h(x) \sqsubseteq_F h(y)$

then $f(x) \sqsubseteq_E f(y)$ because f is monotone

then $g(f(x)) \sqsubseteq_F g(f(y))$ because g is monotone

$$= \qquad \qquad =$$

$$h(x) \qquad \qquad h(y)$$

Continuous functions

Continuous function

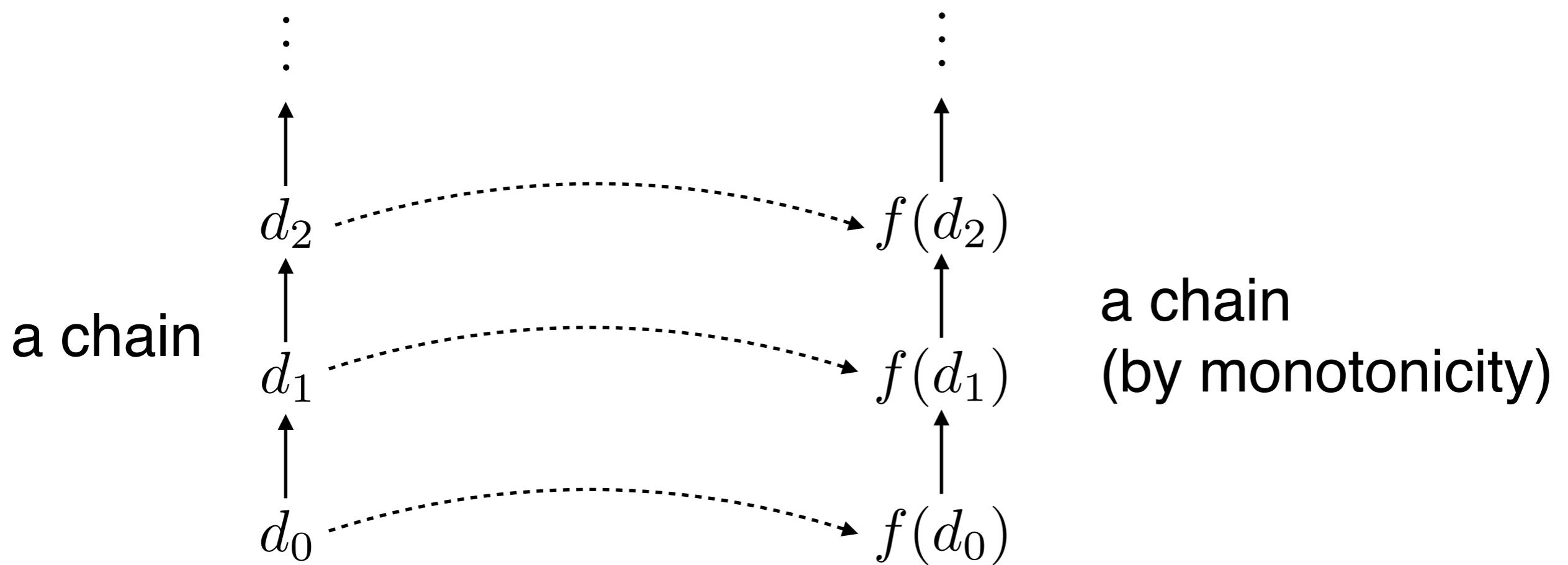
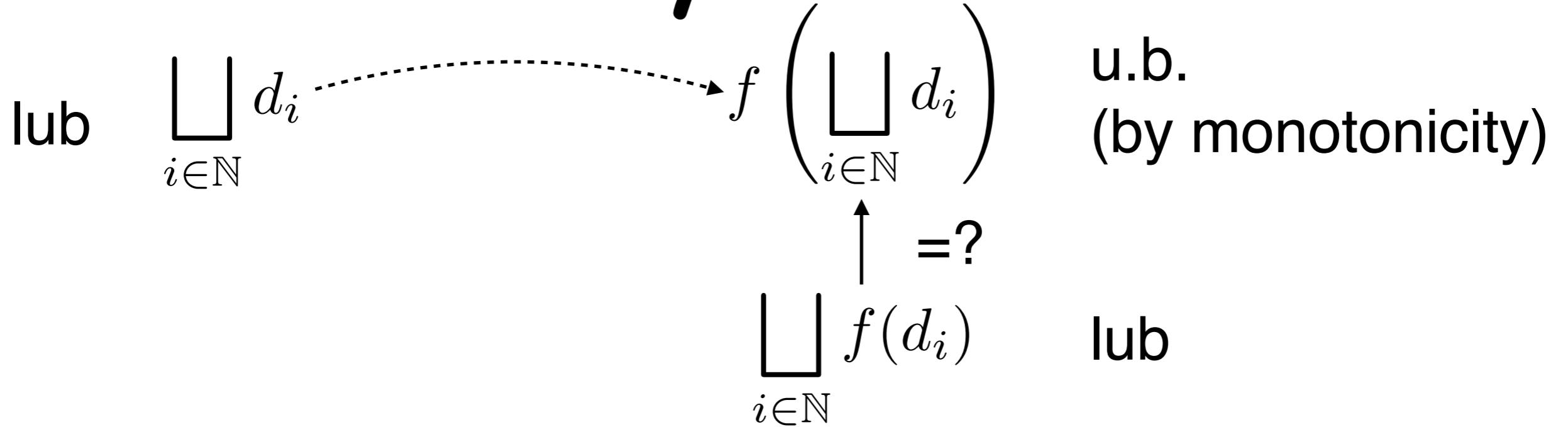
(D, \sqsubseteq_D) CPO (E, \sqsubseteq_E) CPO $f : D \rightarrow E$ monotone

f is **continuous** if $\forall \{d_i\}_{i \in \mathbb{N}}.$ $\begin{array}{c} \text{chain} \\ \forall \{d_i\}_{i \in \mathbb{N}}. f \left(\bigsqcup_{i \in \mathbb{N}} d_i \right) = \bigsqcup_{i \in \mathbb{N}} f(d_i) \end{array}$

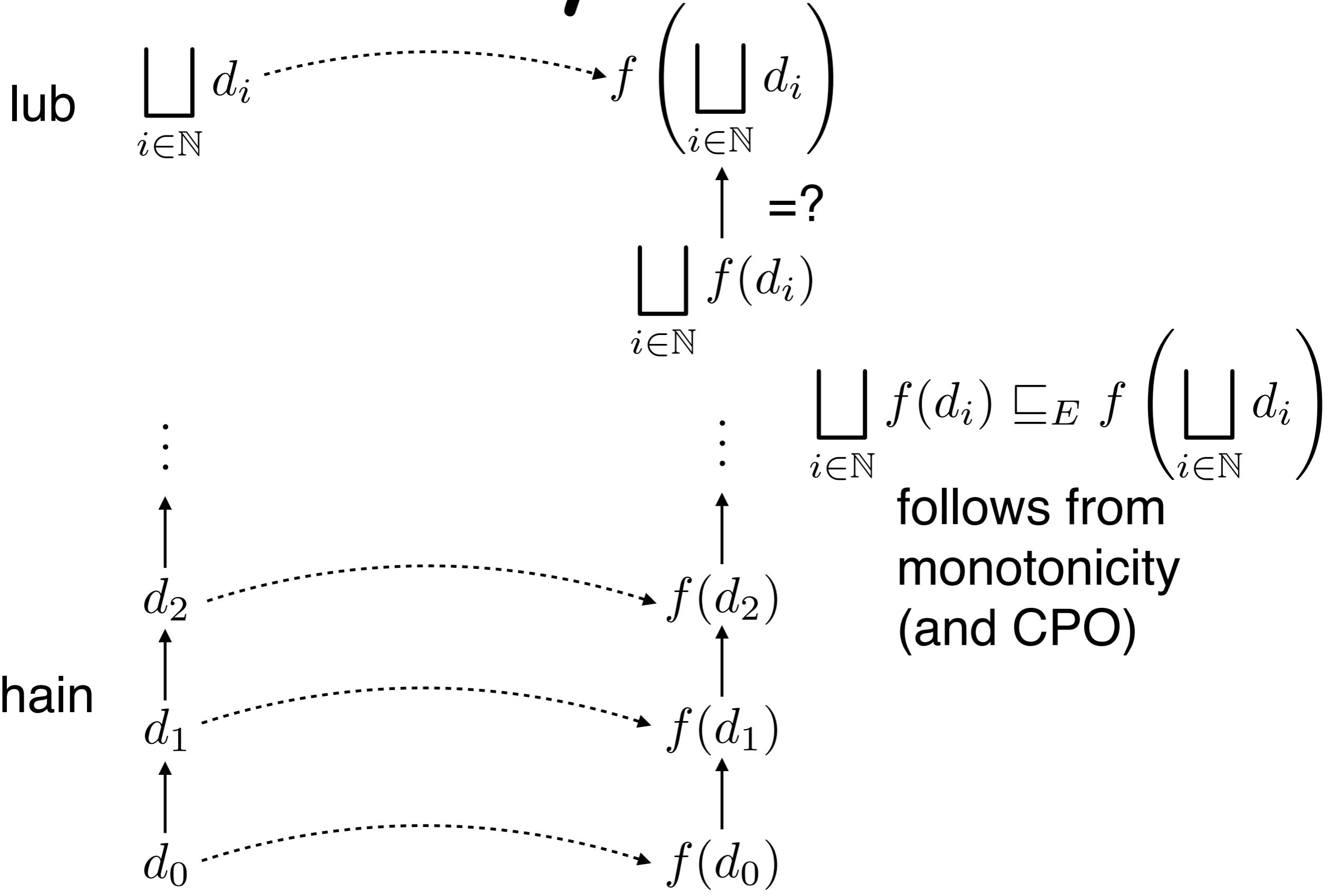
limit in D limit in E

Continuous = limit preserving

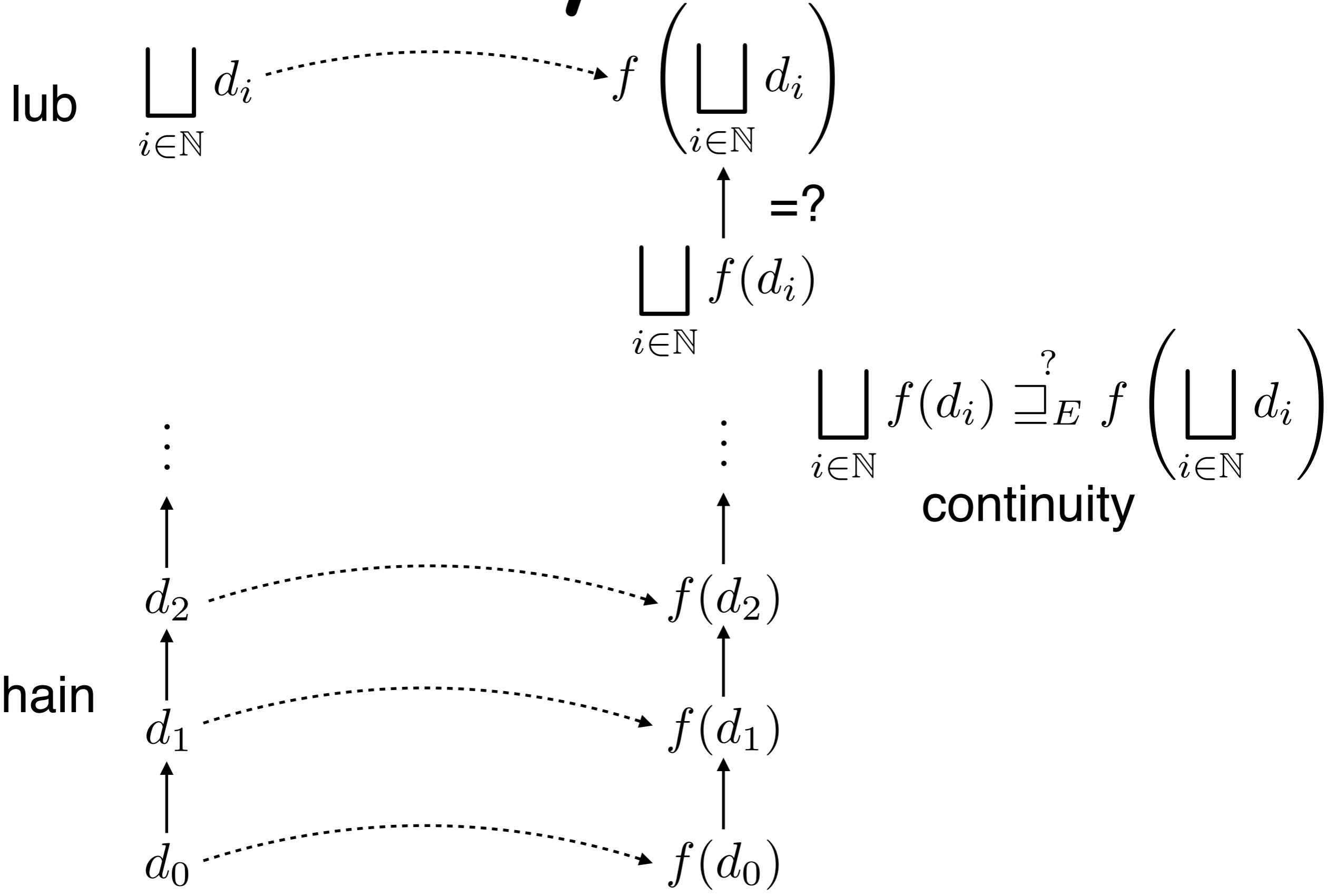
Continuity illustrated



Continuity illustrated



Continuity illustrated



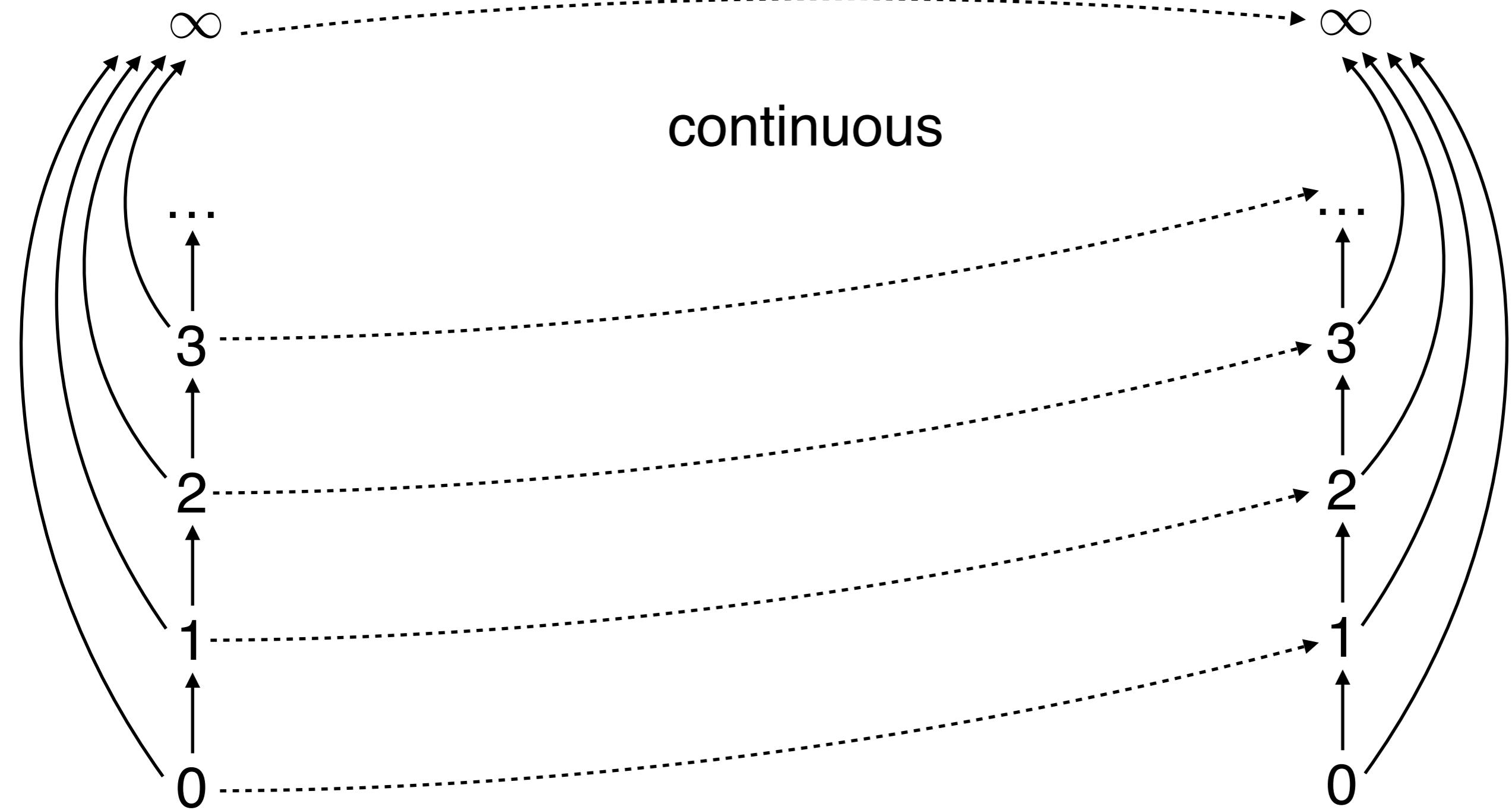
Example

$(\mathbb{N} \cup \{\infty\}, \leq)$

$$\begin{aligned}f(n) &= n + 1 \\f(\infty) &= \infty\end{aligned}$$

$(\mathbb{N} \cup \{\infty\}, \leq)$

continuous





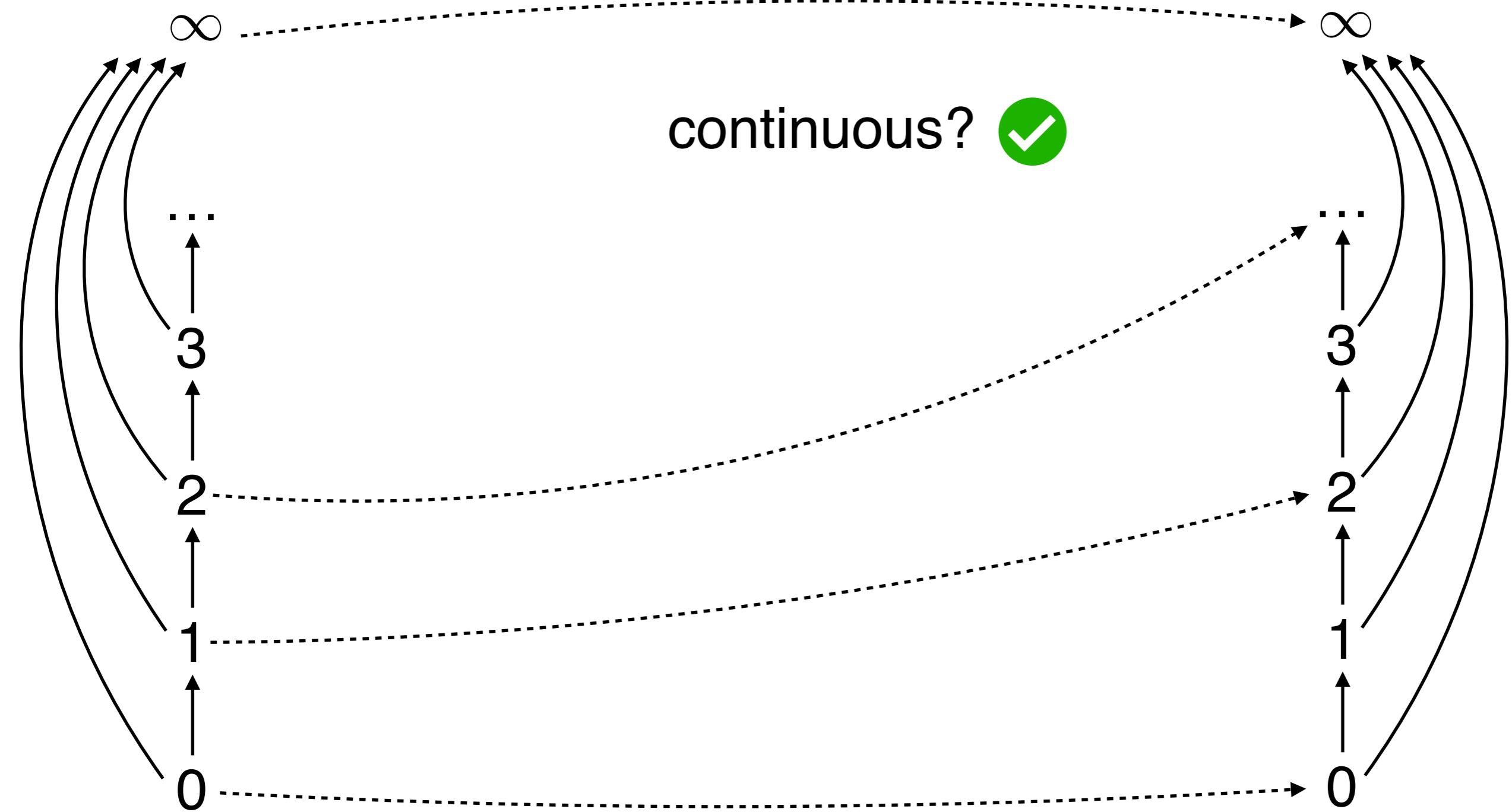
Exercise

$(\mathbb{N} \cup \{\infty\}, \leq)$

$$\begin{aligned}f(n) &= 2 \cdot n \\f(\infty) &= \infty\end{aligned}$$

$(\mathbb{N} \cup \{\infty\}, \leq)$

continuous? 



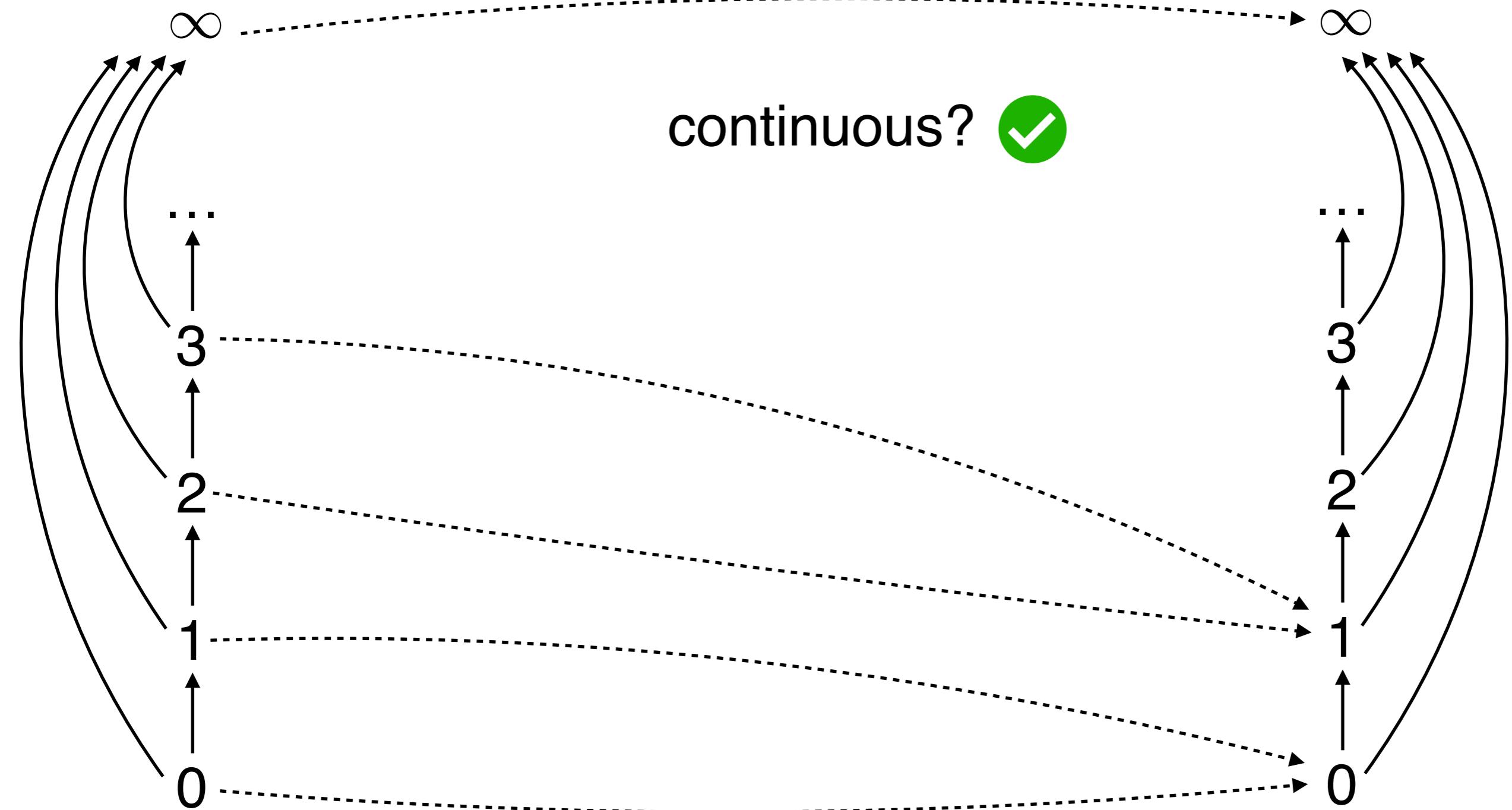
Example

$(\mathbb{N} \cup \{\infty\}, \leq)$

$$f(n) = n/2$$
$$f(\infty) = \infty$$

$(\mathbb{N} \cup \{\infty\}, \leq)$

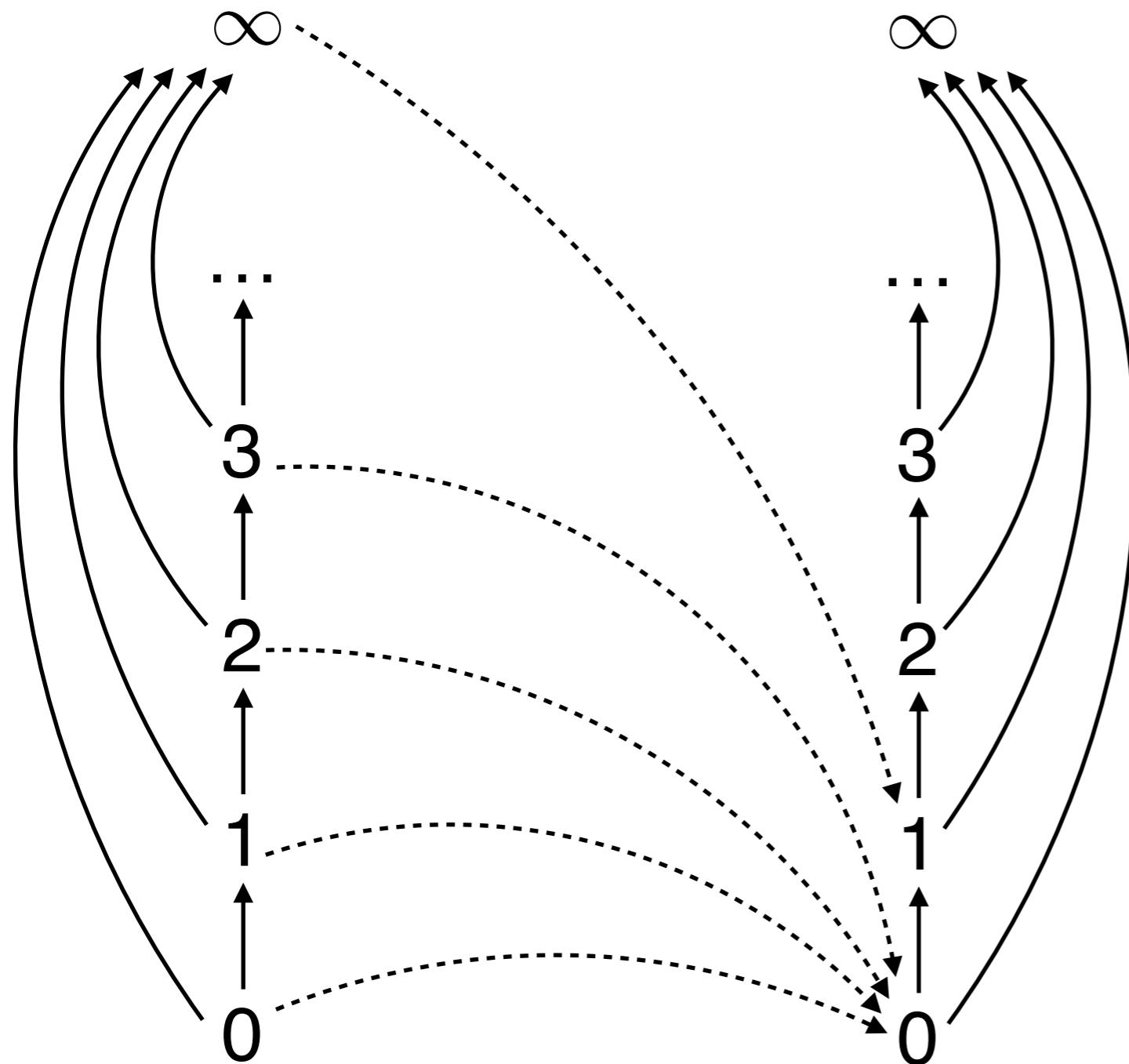
continuous? 



Example

$(\mathbb{N} \cup \{\infty\}, \leq)$

monotone function, not continuous



$$f(x) = \begin{cases} 0 & \text{if } x \in \mathbb{N} \\ 1 & \text{if } x = \infty \end{cases}$$

$$d_i = 2 \cdot i \quad \bigsqcup_{i \in \mathbb{N}} d_i = \infty$$

$$f\left(\bigsqcup_{i \in \mathbb{N}} d_i\right) = f(\infty) = 1$$

$$f(d_i) = 0$$

$$\bigsqcup_{i \in \mathbb{N}} f(d_i) = \bigsqcup_{i \in \mathbb{N}} 0 = 0$$



Exercise

$(\wp(\mathbb{N}), \subseteq)$ $f(S) = \{ m \in \mathbb{N} \mid \exists n \in S, m \leq n \}$ $(\wp(\mathbb{N}), \subseteq)$

continuous? 



Exercise

$(\wp(\mathbb{N}), \subseteq)$

$$f(S) = \begin{cases} S & \text{if } S \text{ finite} \\ \mathbb{N} & \text{otherwise} \end{cases}$$

$(\wp(\mathbb{N}), \subseteq)$

continuous? 

Lemma

(D, \sqsubseteq_D) CPO

no infinite chains

$f : D \rightarrow E$

\Rightarrow

f

(E, \sqsubseteq_E) PO

monotone

continuous

proof. Take a chain $\{d_i\}_{i \in \mathbb{N}}$

$$\{d_i\}_{i \in \mathbb{N}} \text{ is finite} \quad \Rightarrow \quad \exists k \in \mathbb{N}. \bigsqcup_{i \in \mathbb{N}} d_i = d_k$$

\Downarrow

$$\{f(d_i)\}_{i \in \mathbb{N}} \text{ is finite} \Rightarrow \bigsqcup_{i \in \mathbb{N}} f(d_i) = f(d_k) = f\left(\bigsqcup_{i \in \mathbb{N}} d_i\right)$$

Composition

TH. Any composition of continuous functions is continuous

$$\begin{array}{l} (D, \sqsubseteq_D) \text{ CPO} \\ (E, \sqsubseteq_E) \text{ CPO} \\ (F, \sqsubseteq_F) \text{ CPO} \end{array} \quad \begin{array}{l} f : D \rightarrow E \text{ continuous} \\ g : E \rightarrow F \text{ continuous} \end{array} \quad \Rightarrow \quad h = g \circ f : D \rightarrow F \text{ continuous}$$

proof. take a chain $\{d_i\}_{i \in \mathbb{N}}$

we need to prove $h \left(\bigsqcup_{i \in \mathbb{N}} d_i \right) = \bigsqcup_{i \in \mathbb{N}} h(d_i)$

$$\begin{aligned} h \left(\bigsqcup_{i \in \mathbb{N}} d_i \right) &= g \left(f \left(\bigsqcup_{i \in \mathbb{N}} d_i \right) \right) = g \left(\bigsqcup_{i \in \mathbb{N}} f(d_i) \right) = \bigsqcup_{i \in \mathbb{N}} g(f(d_i)) \\ &= \bigsqcup_{i \in \mathbb{N}} h(d_i) \end{aligned}$$

Kleene's fixpoint theorem

Repeated application

$$f : D \rightarrow D$$

$$f^0(d) \triangleq d$$

$$f^{n+1}(d) \triangleq f(f^n(d))$$

$$f^n(d) = \overbrace{f(\cdots(f(d))\cdots)}^{n \text{ times}}$$

$$f^n : D \rightarrow D$$

Lemma

(D, \sqsubseteq) PO_⊥ $f : D \rightarrow D$ monotone $\Rightarrow \{f^n(\perp)\}_{n \in \mathbb{N}}$
is a chain

proof. we need to prove $\forall n \in \mathbb{N}. f^n(\perp) \sqsubseteq f^{n+1}(\perp)$
by mathematical induction $P(n) \triangleq f^n(\perp) \sqsubseteq f^{n+1}(\perp)$

$$P(0) \triangleq f^0(\perp) \sqsubseteq f^1(\perp) \quad f^0(\perp) = \perp \sqsubseteq f^1(\perp)$$

$\forall n \in \mathbb{N}. P(n) \Rightarrow P(n + 1)$ take a generic n

assume $P(n) \triangleq f^n(\perp) \sqsubseteq f^{n+1}(\perp)$

we want to prove $P(n + 1) \triangleq f^{n+1}(\perp) \sqsubseteq f^{n+2}(\perp)$

$$\begin{aligned} & f^n(\perp) \sqsubseteq f^{n+1}(\perp) \\ \Downarrow \\ & f^{n+1}(\perp) = f(f^n(\perp)) \sqsubseteq f(f^{n+1}(\perp)) = f^{n+2}(\perp) \end{aligned}$$

Towards Kleene's theo.

when (D, \sqsubseteq) is a CPO $_{\perp}$

then $\{f^n(\perp)\}_{n \in \mathbb{N}}$ is a chain

it must have a limit

$\{f^n(d)\}_{n \in \mathbb{N}}$

not necessarily
a chain!

Kleene's fix point theorem states that if f is continuous, then the limit of the above chain is the least fixpoint of f

Pre-fixpoints

(D, \sqsubseteq) PO $f : D \rightarrow D$ monotone

fixpoint $p \in D$ $f(p) = p$

pre-fixpoint $p \in D$ $f(p) \sqsubseteq p$

Clearly any fixpoint is also a pre-fixpoint

Kleene's theorem

(D, \sqsubseteq) CPO $_{\perp}$ $f : D \rightarrow D$ continuous

let $\text{fix}(f) \triangleq \bigsqcup_{n \in \mathbb{N}} f^n(\perp)$

1. $\text{fix}(f)$ is a fix point of f

$$f(\text{fix}(f)) = \text{fix}(f)$$

2. $\text{fix}(f)$ is the least pre-fixpoint of f

$$\forall d \in D. f(d) \sqsubseteq d \Rightarrow \text{fix}(f) \sqsubseteq d$$

if d is a pre-fixpoint then $\text{fix}(f)$ is smaller than d

Kleene's theorem: 1

1. $f(\text{fix}(f)) = \text{fix}(f)$

proof.

$$\begin{aligned} f(\text{fix}(f)) &= f\left(\bigsqcup_{n \in \mathbb{N}} f^n(\perp)\right) && \text{by def of } \text{fix} \\ &= \bigsqcup_{n \in \mathbb{N}} f(f^n(\perp)) && \text{by continuity} \\ &= \bigsqcup_{n \in \mathbb{N}} f^{n+1}(\perp) && \text{by def of } f^n \\ &= \bigsqcup_{n \in \mathbb{N}} f^n(\perp) && \text{by prefix independence of limits} \\ &= \text{fix}(f) && \text{by def of } \text{fix} \end{aligned}$$

Kleene's theorem: 2

2. $\forall d \in D. f(d) \sqsubseteq d \Rightarrow \text{fix}(f) \sqsubseteq d$

proof.

we prove that any pre-fixpoint is an upper bound of the chain

$$\{f^n(\perp)\}_{n \in \mathbb{N}}$$

by definition $\text{fix}(f)$ is the lub of the same chain
and thus smaller than any other upper bound

Kleene's theorem: 2

$$2. \forall d \in D. f(d) \sqsubseteq d \Rightarrow \text{fix}(f) \sqsubseteq d$$

take any $d \in D$ such that $f(d) \sqsubseteq d$

we prove $\forall n \in \mathbb{N}. f^n(\perp) \sqsubseteq d$ (d is an upper bound)

$P(n) \triangleq f^n(\perp) \sqsubseteq d$ by mathematical induction

$$P(0) \triangleq f^0(\perp) \sqsubseteq d \quad f^0(\perp) = \perp \sqsubseteq d$$

$$\forall n \in \mathbb{N}. P(n) \Rightarrow P(n + 1)$$

take a generic n
assume $P(n) \triangleq f^n(\perp) \sqsubseteq d$

we want to prove $P(n + 1) \triangleq f^{n+1}(\perp) \sqsubseteq d$

$$f^{n+1}(\perp) \stackrel{\text{(by def)}}{=} f(f^n(\perp)) \stackrel{\text{(monot.)}}{\Downarrow} f(f^n(\perp)) \stackrel{\text{(pre-fixpoint)}}{\sqsubseteq} f(d) \sqsubseteq d$$

Example

$$n = 2 \cdot n$$

$$(\mathbb{N} \cup \{\infty\}, \leq)$$

$$\perp = 0$$

$$\text{CPO}_{\perp}$$

$$\begin{aligned}f(n) &= 2 \cdot n \\f(\infty) &= \infty\end{aligned}$$

monotone? ok

continuous? ok

$$f^0(0) = 0$$

$$f^1(0) = f(0) = 2 \cdot 0 = 0$$

fixpoint reached!

Example

$$n = n + 1$$

$$(\mathbb{N} \cup \{\infty\}, \leq)$$

$$\perp = 0$$

$$\text{CPO}_{\perp}$$

$$\begin{aligned} f(n) &= n + 1 \\ f(\infty) &= \infty \end{aligned}$$

monotone? ok
continuous? ok

$$f^0(0) = 0$$

$$f^1(0) = f(0) = 0 + 1 = 1$$

$$f^2(0) = f(f^1(0)) = f(1) = 1 + 1 = 2$$

$$f^3(0) = f(f^2(0)) = f(2) = 2 + 1 = 3$$

$$\bigsqcup_{n \in \mathbb{N}} f^n(0) = \bigsqcup_{n \in \mathbb{N}} n = \infty \quad \text{fixpoint}$$

Example

$$X = X \cap \{1\}$$

$$(\wp(\mathbb{N}), \subseteq)$$

$$\perp = \emptyset \quad \text{CPO}_\perp$$

$$f(X) = X \cap \{1\}$$

monotone? ok
continuous? ok

$$f^0(\emptyset) = \emptyset$$

$$f^1(\emptyset) = f(\emptyset) = \emptyset \cap \{1\} = \emptyset$$

fixpoint reached!

Example

$$X = \mathbb{N} \setminus X$$

$$(\wp(\mathbb{N}), \subseteq)$$

$$\perp = \emptyset \quad \text{CPO}_\perp$$

$$f(X) = \mathbb{N} \setminus X$$

monotone? NO

the larger X the smaller $f(X)$

$$f^0(\emptyset) = \emptyset$$

$$f^1(\emptyset) = f(\emptyset) = \mathbb{N} \setminus \emptyset = \mathbb{N}$$

$$f^2(\emptyset) = f(f^1(\emptyset)) = f(\mathbb{N}) = \mathbb{N} \setminus \mathbb{N} = \emptyset$$

not a chain!

Example

$$X = X \cup \{1\}$$

$$(\wp(\mathbb{N}), \subseteq)$$

$$\perp = \emptyset \quad \text{CPO}_\perp$$

$$f(X) = X \cup \{1\}$$

monotone? ok
continuous? ok

$$f^0(\emptyset) = \emptyset$$

$$f^1(\emptyset) = f(\emptyset) = \emptyset \cup \{1\} = \{1\}$$

$$f^2(\emptyset) = f(f^1(\emptyset)) = f(\{1\}) = \{1\} \cup \{1\} = \{1\}$$

fixpoint reached!

Badge exercise



Let D be a CPO

let $\{d_i\}_{i \in \mathbb{N}}$ be a chain in D

let $\{k_j\}_{j \in \mathbb{N}}$ be an infinite chain in (\mathbb{N}, \leq)

1. Prove that $\{d_{k_j}\}_{j \in \mathbb{N}}$ is a chain in D

2. Prove or disprove that $\bigsqcup_{j \in \mathbb{N}} d_{k_j} = \bigsqcup_{i \in \mathbb{N}} d_i$