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PSC 2020/21 (375AA, 9CFU)

Principles for Software Composition

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Exercises #5

HOFL, type inference and operational semantics

[**Ex. 1**] Determine the type of the HOF λ term

$$t \stackrel{\text{def}}{=} \mathbf{rec} \ x. \ ((\lambda y. \mathbf{if} \ y \ \mathbf{then} \ 0 \ \mathbf{else} \ 0) \ x).$$

Then compute its (lazy) canonical form.

Ex. 1, typing

$$t \triangleq \mathbf{rec} \, x. \left(\left(\lambda y. \mathbf{if} \, y \, \mathbf{then} \, 0 \, \mathbf{else} \, 0 \right) x \right) : int$$

The diagram shows the typing derivation for the expression t . The expression is annotated with underlines and brackets indicating the types of its sub-expressions and the overall result.

- The expression t is defined as $\mathbf{rec} \, x. \left(\left(\lambda y. \mathbf{if} \, y \, \mathbf{then} \, 0 \, \mathbf{else} \, 0 \right) x \right) : int$.
- Underlines are placed under the following sub-expressions:
 - \mathbf{int} in $\mathbf{rec} \, x.$
 - \mathbf{int} in $\lambda y.$
 - $\mathbf{if} \, y \, \mathbf{then} \, 0 \, \mathbf{else} \, 0$
 - x
- Brackets are placed under the following sub-expressions:
 - \mathbf{int} (under $\mathbf{if} \, y \, \mathbf{then} \, 0 \, \mathbf{else} \, 0$)
 - $int \rightarrow int$ (under $\lambda y. \mathbf{if} \, y \, \mathbf{then} \, 0 \, \mathbf{else} \, 0$)
 - int (under $\lambda y. \mathbf{if} \, y \, \mathbf{then} \, 0 \, \mathbf{else} \, 0$)
 - int (under the entire lambda expression $\left(\left(\lambda y. \mathbf{if} \, y \, \mathbf{then} \, 0 \, \mathbf{else} \, 0 \right) x \right)$)
- A final bracket under the entire expression is labeled int .

Ex. 1, canonical form?

$t \triangleq \text{rec } x. ((\lambda y. \text{if } y \text{ then } 0 \text{ else } 0) x) : \text{int}$

$t \rightarrow c \swarrow ((\lambda y. \text{if } y \text{ then } 0 \text{ else } 0) x) [t/x] \rightarrow c$

$= ((\lambda y. \text{if } y \text{ then } 0 \text{ else } 0) t) \rightarrow c$

$\swarrow \lambda y. \text{if } y \text{ then } 0 \text{ else } 0 \rightarrow \lambda x'. t', t' [t/x'] \rightarrow c$

$\swarrow_{x'=y, t'=\text{if} \dots} (\text{if } y \text{ then } 0 \text{ else } 0) [t/y] \rightarrow c$

$= (\text{if } t \text{ then } 0 \text{ else } 0) \rightarrow c$

$\swarrow t \rightarrow n, 0 \rightarrow c \quad (\text{it doesn't matter if } n = 0)$

same goal from which we started:

no canonical form

[**Ex. 2**] Determine the type of the HOFLL term

$$\mathit{map} \stackrel{\text{def}}{=} \lambda f. \lambda x. ((f \mathbf{fst}(x)), (f \mathbf{snd}(x)))$$

Then, compute the (lazy) canonical forms of the terms

$$t_1 \stackrel{\text{def}}{=} \mathit{map} (\lambda z. 2 \times z) (1, 2) \qquad t_2 \stackrel{\text{def}}{=} \mathbf{fst} (\mathit{map} (\lambda z. 2 \times z) (1, 2))$$

Ex. 2, typing

$$\begin{array}{c}
 \text{map} \triangleq \lambda f . \lambda x . \left(\left(f \text{fst}(x) \right) , \left(f \text{snd}(x) \right) \right) \\
 \begin{array}{c}
 \underbrace{\tau_1 \rightarrow \tau} \quad \underbrace{\tau_1 * \tau_1} \\
 \underbrace{\tau_1 \rightarrow \tau} \quad \underbrace{\tau_1 * \tau_2} \\
 \underbrace{\tau_1} \\
 \underbrace{\tau}
 \end{array}
 \quad
 \begin{array}{c}
 \underbrace{\tau_1 \rightarrow \tau} \quad \underbrace{\tau_1 * \tau_2} \\
 \underbrace{\tau_2 = \tau_1} \\
 \underbrace{\tau}
 \end{array} \\
 \underbrace{\tau * \tau} \\
 \underbrace{\tau_1 * \tau_1 \rightarrow \tau * \tau} \\
 \underbrace{(\tau_1 \rightarrow \tau) \rightarrow \tau_1 * \tau_1 \rightarrow \tau * \tau}
 \end{array}$$

$$\text{map} : (\tau_1 \rightarrow \tau) \rightarrow \tau_1 * \tau_1 \rightarrow \tau * \tau$$

Ex. 2a, canonical form

$$\mathit{map} \triangleq \lambda f . \lambda x . ((f \mathbf{fst}(x)) , (f \mathbf{snd}(x)))$$

$$t_1 \triangleq \mathit{map} (\lambda z . 2 \times z) (1, 2)$$

$$t_1 \rightarrow c \quad \swarrow \quad (\mathit{map} (\lambda z . 2 \times z)) \rightarrow \lambda x' . t' , t' [^{(1,2)} / x'] \rightarrow c$$

$$\swarrow \quad \mathit{map} \rightarrow \lambda f' . t'' , t'' [^{\lambda z . 2 \times z} / f'] \rightarrow \lambda x' . t' , t' [^{(1,2)} / x'] \rightarrow c$$

$$\swarrow_{f'=f, t''=\lambda x \dots} (\lambda x . ((f \mathbf{fst}(x)), (f \mathbf{snd}(x)))) [^{\lambda z . 2 \times z} / f] \rightarrow \lambda x' . t' , t' [^{(1,2)} / x'] \rightarrow c$$

$$= (\lambda x . (((\lambda z . 2 \times z) \mathbf{fst}(x)), ((\lambda z . 2 \times z) \mathbf{snd}(x)))) \rightarrow \lambda x' . t' , t' [^{(1,2)} / x'] \rightarrow c$$

$$\swarrow_{x'=x, t'=(\dots, \dots)} (((\lambda z . 2 \times z) \mathbf{fst}(x)), ((\lambda z . 2 \times z) \mathbf{snd}(x))) [^{(1,2)} / x] \rightarrow c$$

$$= (((\lambda z . 2 \times z) \mathbf{fst}(1, 2)), ((\lambda z . 2 \times z) \mathbf{snd}(1, 2))) \rightarrow c$$

$$\swarrow_{c=(((\lambda z . 2 \times z) \mathbf{fst}(1, 2)), ((\lambda z . 2 \times z) \mathbf{snd}(1, 2)))} \quad \square$$

Ex. 2b, canonical form

$$t_1 \rightarrow (((\lambda z. 2 \times z) \mathbf{fst}(1, 2)) , ((\lambda z. 2 \times z) \mathbf{snd}(1, 2)))$$

$$\mathbf{fst}(t_1) \rightarrow c \quad \swarrow \quad t_1 \rightarrow (t'_1, t'_2) , t'_1 \rightarrow c$$

$$\swarrow_{t'_1 = (\lambda z. 2 \times z) \mathbf{fst}(1, 2) , t'_2 = (\lambda z. 2 \times z) \mathbf{snd}(1, 2)}^* \quad (\lambda z. 2 \times z) \mathbf{fst}(1, 2) \rightarrow c$$

$$\swarrow \quad \lambda z. 2 \times z \rightarrow \lambda z'. t' , t'[\mathbf{fst}(1, 2) / z'] \rightarrow c$$

$$\swarrow_{z' = z, t' = 2 \times z} \quad (2 \times z)[\mathbf{fst}(1, 2) / z] \rightarrow c$$

$$= (2 \times \mathbf{fst}(1, 2)) \rightarrow c$$

$$\swarrow_{c = n_1 \times n_2} \quad 2 \rightarrow n_1 , \mathbf{fst}(1, 2) \rightarrow n_2$$

$$\swarrow_{n_1 = 2}^* \quad (1, 2) \rightarrow (t''_1, t''_2) , t''_1 \rightarrow n_2$$

$$\swarrow_{t''_1 = 1, t''_2 = 2} \quad 1 \rightarrow n_2$$

$$\swarrow_{n_2 = 1} \quad \square$$

$$c = n_1 \times n_2 = 2 \times 1 = 2$$

Domain theory

[Ex. 3] Let (D, \sqsubseteq_D) be a CPO and $f : D \rightarrow D$ be a continuous function. Prove that the set of fixpoints of f is itself a CPO (ordered by \sqsubseteq_D).

Ex. 3, CPO of fixpoints

(D, \sqsubseteq_D) CPO $f : D \rightarrow D$ continuous

$\text{FP}_f \triangleq \{ d \mid d = f(d) \}$ set of all fixpoints of f

$(\text{FP}_f, \sqsubseteq)$ $\sqsubseteq \triangleq \sqsubseteq_D \cap (\text{FP}_f \times \text{FP}_f)$ CPO?

it is a PO (because $\text{FP}_f \subseteq D$)

we prove it is complete take a chain $\{d_i\}_{i \in \mathbb{N}} \subseteq \text{FP}_f$

we show that $\bigsqcup_{i \in \mathbb{N}} d_i$ as computed in D is a fixpoint of f

$$f \left(\bigsqcup_{i \in \mathbb{N}} d_i \right) = \bigsqcup_{i \in \mathbb{N}} f(d_i)$$

by continuity

$$= \bigsqcup_{i \in \mathbb{N}} d_i$$

each d_i is a fixpoint

HOFL denotational semantics

[**Ex. 4**] (Test for convergence) We would like to modify the denotational semantics of HOFL assigning to the construct

if t then t_0 else t_1

- the semantics of t_1 if the semantics of t is $\perp_{\mathbb{Z}_\perp}$, and
- the semantics of t_0 otherwise.

Is it possible? If not, why?

Ex. 4, convergence test

$$\llbracket \text{if } t \text{ then } t_0 \text{ else } t_1 \rrbracket \rho \triangleq \text{Cond}_\tau^\perp (\llbracket t \rrbracket \rho , \llbracket t_0 \rrbracket \rho , \llbracket t_1 \rrbracket \rho)$$

$$\text{Cond}_\tau^\perp (v, d_0, d_1) \triangleq \begin{cases} d_0 & \text{if } v = \lfloor n \rfloor \text{ for some } n \\ d_1 & \text{otherwise} \end{cases}$$

Any problem?

Cond_τ^\perp is not monotone on v !

Counterexample $\perp_{\mathbb{Z}_\perp} \sqsubseteq_{\mathbb{Z}_\perp} \lfloor 1 \rfloor$ Take $d_1 \not\sqsubseteq_{D_\tau} d_0$

$$(\perp_{\mathbb{Z}_\perp}, d_0, d_1) \sqsubseteq_{\mathbb{Z}_\perp \times D_\tau \times D_\tau} (\lfloor 1 \rfloor, d_0, d_1)$$

$$\text{Cond}_\tau^\perp (\perp_{\mathbb{Z}_\perp}, d_0, d_1) = d_1 \not\sqsubseteq_{D_\tau} d_0 = \text{Cond}_\tau^\perp (\lfloor 1 \rfloor, d_0, d_1)$$

Ex. 4, convergence test

For example take $d_0 = [0]$ $d_1 = [1]$

$$\llbracket \text{if rec } x. x \text{ then } 0 \text{ else } 1 \rrbracket \rho = [1]$$

$\notin \mathbb{Z}_\perp$

$$\llbracket \text{if } 1 \text{ then } 0 \text{ else } 1 \rrbracket \rho = [0]$$

as a consequence

$$t \triangleq \lambda x. \text{if } x \text{ then } 0 \text{ else } 1 : \text{int} \rightarrow \text{int}$$

has no possible semantics in $D_{\text{int} \rightarrow \text{int}} = [\mathbb{Z}_\perp \rightarrow \mathbb{Z}_\perp]_\perp$

because $\llbracket t \rrbracket \rho$ is not continuous (not monotone)

[**Ex. 5**] (Strict conditional) Modify the operational semantics of HOFL by taking the following rules for conditionals:

$$\frac{t \rightarrow 0 \quad t_0 \rightarrow c_0 \quad t_1 \rightarrow c_1}{\mathbf{if } t \mathbf{ then } t_0 \mathbf{ else } t_1 \rightarrow c_0} \qquad \frac{t \rightarrow n \quad n \neq 0 \quad t_0 \rightarrow c_0 \quad t_1 \rightarrow c_1}{\mathbf{if } t \mathbf{ then } t_0 \mathbf{ else } t_1 \rightarrow c_1}.$$

Without changing the denotational semantics, prove that:

1. for any term t and canonical form c , we have $t \rightarrow c \Rightarrow \forall \rho. \llbracket t \rrbracket \rho = \llbracket c \rrbracket \rho$;
2. in general $t \Downarrow \not\Rightarrow t \downarrow$ (exhibit a counterexample).

Ex. 5.1, correctness

$$\frac{t \rightarrow 0 \quad t_0 \rightarrow c_0 \quad t_1 \rightarrow c_1}{\text{if } t \text{ then } t_0 \text{ else } t_1 \rightarrow c_0}$$

$$\frac{t \rightarrow n \quad n \neq 0 \quad t_0 \rightarrow c_0 \quad t_1 \rightarrow c_1}{\text{if } t \text{ then } t_0 \text{ else } t_1 \rightarrow c_1}.$$

$$t \rightarrow c \Rightarrow \forall \rho. \llbracket t \rrbracket \rho = \llbracket c \rrbracket \rho$$

$$P(t \rightarrow c) \triangleq \forall \rho. \llbracket t \rrbracket \rho = \llbracket c \rrbracket \rho$$

we extend the proof of correctness (by rule induction)
to consider the new rules

Ex. 5.1, correctness

$$P(t \rightarrow c) \triangleq \forall \rho. \llbracket t \rrbracket \rho = \llbracket c \rrbracket \rho$$

$$\frac{t \rightarrow 0 \quad t_0 \rightarrow c_0 \quad t_1 \rightarrow c_1}{\text{if } t \text{ then } t_0 \text{ else } t_1 \rightarrow c_0}$$

assume

$$P(t \rightarrow 0) \triangleq \forall \rho. \llbracket t \rrbracket \rho = \llbracket 0 \rrbracket \rho = \llbracket 0 \rrbracket$$

$$P(t_0 \rightarrow c_0) \triangleq \forall \rho. \llbracket t_0 \rrbracket \rho = \llbracket c_0 \rrbracket \rho$$

$$P(t_1 \rightarrow c_1) \triangleq \forall \rho. \llbracket t_1 \rrbracket \rho = \llbracket c_1 \rrbracket \rho$$

we want to prove

$$P(\text{if } t \text{ then } t_0 \text{ else } t_1 \rightarrow c_0) \triangleq \forall \rho. \llbracket \text{if } t \text{ then } t_0 \text{ else } t_1 \rrbracket \rho = \llbracket c_0 \rrbracket \rho$$

$$\begin{aligned} \llbracket \text{if } t \text{ then } t_0 \text{ else } t_1 \rrbracket \rho &= \text{Cond}_\tau(\llbracket t \rrbracket \rho, \llbracket t_0 \rrbracket \rho, \llbracket t_1 \rrbracket \rho) && \text{by def} \\ &= \text{Cond}_\tau(\llbracket 0 \rrbracket, \llbracket c_0 \rrbracket \rho, \llbracket c_1 \rrbracket \rho) && \text{by ind. hyp.} \\ &= \llbracket c_0 \rrbracket \rho && \text{by Cond} \end{aligned}$$

Ex. 5.1, correctness

$$P(t \rightarrow c) \triangleq \forall \rho. \llbracket t \rrbracket \rho = \llbracket c \rrbracket \rho$$

$$\frac{t \rightarrow n \quad n \neq 0 \quad t_0 \rightarrow c_0 \quad t_1 \rightarrow c_1}{\text{if } t \text{ then } t_0 \text{ else } t_1 \rightarrow c_1}$$

assume $P(t \rightarrow n) \triangleq \forall \rho. \llbracket t \rrbracket \rho = \llbracket n \rrbracket \rho = \lfloor n \rfloor \quad n \neq 0$

$$P(t_0 \rightarrow c_0) \triangleq \forall \rho. \llbracket t_0 \rrbracket \rho = \llbracket c_0 \rrbracket \rho$$

$$P(t_1 \rightarrow c_1) \triangleq \forall \rho. \llbracket t_1 \rrbracket \rho = \llbracket c_1 \rrbracket \rho$$

we want to prove

$$P(\text{if } t \text{ then } t_0 \text{ else } t_1 \rightarrow c_1) \triangleq \forall \rho. \llbracket \text{if } t \text{ then } t_0 \text{ else } t_1 \rrbracket \rho = \llbracket c_1 \rrbracket \rho$$

$$\begin{aligned} \llbracket \text{if } t \text{ then } t_0 \text{ else } t_1 \rrbracket \rho &= \text{Cond}_\tau(\llbracket t \rrbracket \rho, \llbracket t_0 \rrbracket \rho, \llbracket t_1 \rrbracket \rho) && \text{by def} \\ &= \text{Cond}_\tau(\lfloor n \rfloor, \llbracket c_0 \rrbracket \rho, \llbracket c_1 \rrbracket \rho) && \text{by ind. h.} \\ &= \llbracket c_1 \rrbracket \rho && \text{by Cond} \end{aligned}$$

Ex. 5.2, inconsistency

we want to find a term t such that

$t \Downarrow$

$t \Uparrow$

take $t \triangleq \text{if } 0 \text{ then } 1 \text{ else } \text{rec } x. x : \text{int}$

$$\llbracket t \rrbracket \rho = \text{Cond}_{\text{int}}(\llbracket 0 \rrbracket \rho, \llbracket 1 \rrbracket \rho, \llbracket \text{rec } x. x \rrbracket \rho)$$

$$= \text{Cond}_{\text{int}}(\llbracket 0 \rrbracket, \llbracket 1 \rrbracket, \perp_{\mathbb{Z}}) = \llbracket 1 \rrbracket \quad t \Downarrow$$

$$t \rightarrow c \quad \swarrow \quad 0 \rightarrow 0, \quad 1 \rightarrow c, \quad \text{rec } x. x \rightarrow c_1$$

$$\swarrow_{c=1}^* \quad \text{rec } x. x \rightarrow c_1$$

$$\swarrow \quad x[\text{rec } x. x / x] \rightarrow c_1$$

$$= \text{rec } x. x \rightarrow c_1$$

$t \Uparrow$

[Ex. 6] Determine the type of the HOFFL term

$$t \stackrel{\text{def}}{=} \mathbf{rec} \ f. \ (\ \lambda x.1 \ , \ \mathbf{fst}(f) \ 0 \)$$

Then, compute the (lazy) denotational semantics of t .

Ex. 6, typing

$$\begin{array}{c}
 t \triangleq \mathbf{rec} \ f. \ (\ \lambda x. \ 1 \ , \ (\mathbf{fst}(f) \ 0) \) \ : \ (int \rightarrow int) * int \\
 \begin{array}{c}
 \underbrace{(int \rightarrow \tau_1) * \tau_2}_{\tau \rightarrow int} \quad \underbrace{\tau \ int}_{int \rightarrow \tau_1} \\
 \underbrace{\hspace{10em}}_{\tau_1} \\
 \underbrace{\hspace{15em}}_{(\tau \rightarrow int) * \tau_1} \\
 \underbrace{\hspace{20em}}_{(int \rightarrow \tau_1) * \tau_2 = (\tau \rightarrow int) * \tau_1}
 \end{array}
 \end{array}$$

$$\left\{ \begin{array}{l}
 int = \tau \\
 \tau_1 = int \\
 \tau_2 = \tau_1
 \end{array} \right.$$

$$\tau = \tau_1 = \tau_2 = int$$

Ex. 6, den semantics

$$t \triangleq \mathbf{rec} \ f. \ (\ \lambda x. \ 1 \ , \ (\mathbf{fst}(f) \ 0) \) \ : \ (int \rightarrow int) * int$$

$$\llbracket t \rrbracket \rho = \mathit{fix} \ \lambda d_f. \ \llbracket (\lambda x. \ 1, \ \mathbf{fst}(f) \ 0) \rrbracket \rho^{[d_f / f]}$$

$$= \mathit{fix} \ \lambda d_f. \ \lfloor \ (\ \llbracket \lambda x. \ 1 \rrbracket \rho^{[d_f / f]} \ , \ \llbracket \mathbf{fst}(f) \ 0 \rrbracket \rho^{[d_f / f]} \) \ \rfloor$$

$$\rho' = \rho^{[d_f / f]}$$

$$= \mathit{fix} \ \lambda d_f. \ \lfloor \ (\ \lfloor \ \lambda d_x. \ \llbracket 1 \rrbracket \rho'^{[d_x / x]} \ \rfloor \ , \ (\mathbf{let} \ \varphi \Leftarrow \llbracket \mathbf{fst}(f) \rrbracket \rho'. \ \varphi(\llbracket 0 \rrbracket \rho')) \) \ \rfloor$$

$$= \mathit{fix} \ \lambda d_f. \ \lfloor \ (\ \lfloor \ \lambda d_x. \ [1] \ \rfloor \ , \ (\mathbf{let} \ \varphi \Leftarrow \pi_1^*(\llbracket f \rrbracket \rho'). \ \varphi \ [0]) \) \ \rfloor$$

$$= \mathit{fix} \ \lambda d_f. \ \lfloor \ (\ \lfloor \ \lambda d_x. \ [1] \ \rfloor \ , \ (\mathbf{let} \ \varphi \Leftarrow \pi_1^* \ d_f. \ \varphi \ [0]) \) \ \rfloor$$

Ex. 6, den semantics

$$\llbracket t \rrbracket \rho = \text{fix } \lambda d_f. \lfloor (\lfloor \lambda d_x. \lfloor 1 \rfloor \rfloor , (\mathbf{let} \varphi \Leftarrow \pi_1^* d_f. \varphi \lfloor 0 \rfloor)) \rfloor$$

$$f_0 = \perp_{D_{(int \rightarrow int) * int}}$$

$$f_1 = \lfloor (\lfloor \lambda d_x. \lfloor 1 \rfloor \rfloor , (\mathbf{let} \varphi \Leftarrow \pi_1^* f_0. \varphi \lfloor 0 \rfloor)) \rfloor$$

$$= \lfloor (\lfloor \lambda d_x. \lfloor 1 \rfloor \rfloor , \perp_{D_{int}}) \rfloor$$

$$f_2 = \lfloor (\lfloor \lambda d_x. \lfloor 1 \rfloor \rfloor , (\mathbf{let} \varphi \Leftarrow \pi_1^* f_1. \varphi \lfloor 0 \rfloor)) \rfloor$$

$$= \lfloor (\lfloor \lambda d_x. \lfloor 1 \rfloor \rfloor , (\mathbf{let} \varphi \Leftarrow \lfloor \lambda d_x. \lfloor 1 \rfloor \rfloor. \varphi \lfloor 0 \rfloor)) \rfloor$$

$$= \lfloor (\lfloor \lambda d_x. \lfloor 1 \rfloor \rfloor , (\lambda d_x. \lfloor 1 \rfloor) \lfloor 0 \rfloor) \rfloor$$

$$= \lfloor (\lfloor \lambda d_x. \lfloor 1 \rfloor \rfloor , \lfloor 1 \rfloor) \rfloor \quad \mathbf{maximal\ element!}$$

Ex. 6, den semantics

$$t \triangleq \mathbf{rec} f. (\lambda x. 1 , (\mathbf{fst}(f) 0)) : (int \rightarrow int) * int$$

$$\llbracket t \rrbracket \rho = \mathit{fix} \lambda d_f. \llbracket (\llbracket \lambda d_x. [1] \rrbracket , (\mathbf{let} \varphi \Leftarrow \pi_1^* d_f. \varphi [0])) \rrbracket$$

$$\llbracket t \rrbracket \rho = \llbracket (\llbracket \lambda d_x. [1] \rrbracket , [1]) \rrbracket$$