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**PSC 2020/21** (375AA, 9CFU)

Principles for Software Composition

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**Exercises #2**

# Structural induction, rule induction and divergence

**[Ex. 1]** Complete the proof of termination of boolean expressions by structural induction.

$$b ::= v \mid a \text{ cmp } a \mid \neg b \mid b \text{ bop } b$$

$$P(b) \triangleq \forall \sigma. \exists u. \langle b, \sigma \rangle \longrightarrow u$$

# Ex. 1, Termination Bexp

$$P(b) \triangleq \forall \sigma. \exists u. \langle b, \sigma \rangle \longrightarrow u$$

by structural induction

$$\forall v \in \mathbb{B}. P(v)$$

$$\forall a_0, a_1. P(a_0 \text{ cmp } a_1)$$

$$\forall b. P(b) \Rightarrow P(\neg b)$$

$$\forall b_0, b_1. (P(b_0) \wedge P(b_1)) \Rightarrow P(b_0 \text{ bop } b_1)$$

see Lecture 05b

to be done

# Ex. 1, Termination Bexp

$$P(b) \triangleq \forall \sigma. \exists u. \langle b, \sigma \rangle \longrightarrow u$$

by structural induction

$\forall b. P(b) \Rightarrow P(\neg b)$  take a generic  $b \in \text{Bexp}$

we assume  $P(b) \triangleq \forall \sigma. \exists w. \langle b, \sigma \rangle \longrightarrow w$

we want to prove  $P(\neg b) \triangleq \forall \sigma. \exists u. \langle \neg b, \sigma \rangle \longrightarrow u$

take a generic  $\sigma$

consider the goal  $\langle \neg b, \sigma \rangle \longrightarrow u \quad \swarrow_{u=\neg w} \langle b, \sigma \rangle \longrightarrow w$

by inductive hypothesis  $P(b)$ , such  $w$  exists

we conclude by taking  $u = \neg w$

# Ex. 1, Termination Bexp

$$P(b) \triangleq \forall \sigma. \exists u. \langle b, \sigma \rangle \longrightarrow u$$

by structural induction

$$\forall b_0, b_1. (P(b_0) \wedge P(b_1)) \Rightarrow P(b_0 \text{ bop } b_1) \quad \text{take } b_0, b_1 \in \text{Bexp}$$

we assume  $P(b_i) \triangleq \forall \sigma. \exists u_i. \langle b_i, \sigma \rangle \longrightarrow u_i$

we want to prove  $P(b_0 \text{ bop } b_1) \triangleq \forall \sigma. \exists u. \langle b_0 \text{ bop } b_1, \sigma \rangle \longrightarrow u$

take a generic  $\sigma$

consider the goal  $\langle b_0 \text{ bop } b_1, \sigma \rangle \longrightarrow u$

$$\swarrow_{u = u_0 \text{ bop } u_1} \langle b_0, \sigma \rangle \longrightarrow u_0, \langle b_1, \sigma \rangle \longrightarrow u_1$$

by inductive hypotheses  $P(b_0)$  and  $P(b_1)$ , such  $u_0, u_1$  exist

we conclude by taking  $u = u_0 \text{ bop } u_1$

**[Ex. 2]** Extend the syntax of arithmetic expressions with the operator  $a_0 \sqcap a_1$  whose big-step operational semantics is given by the rules:

$$\frac{\langle a_0, \sigma \rangle \longrightarrow n \quad \langle a_1, \sigma \rangle \longrightarrow n}{\langle a_0 \sqcap a_1, \sigma \rangle \longrightarrow n}$$

1. Prove termination or exhibit a counterexample.
2. Prove determinacy or exhibit a counterexample.

# Ex. 2, termination

$$\frac{\langle a_0, \sigma \rangle \longrightarrow n \quad \langle a_1, \sigma \rangle \longrightarrow n}{\langle a_0 \sqcap a_1, \sigma \rangle \longrightarrow n}$$

$$\langle 1 \sqcap 2, \sigma \rangle \not\rightarrow$$



# Ex. 2, determinacy

by structural induction

$\forall a_0, a_1. P(a_0) \wedge P(a_1) \Rightarrow P(a_0 \sqcap a_1)$  Take generic  $a_0, a_1$

We assume (inductive hypotheses)

$$P(a_i) \triangleq \forall \sigma, m_i, m'_i. \langle a_i, \sigma \rangle \longrightarrow m_i \wedge \langle a_i, \sigma \rangle \longrightarrow m'_i \Rightarrow m_i = m'_i$$

We want to prove

$$P(a_0 \sqcap a_1) \triangleq \forall \sigma, m, m'. \langle a_0 \sqcap a_1, \sigma \rangle \longrightarrow m \wedge \langle a_0 \sqcap a_1, \sigma \rangle \longrightarrow m' \Rightarrow m = m'$$

Take generic  $\sigma, m, m'$  such that  $\langle a_0 \sqcap a_1, \sigma \rangle \longrightarrow m$  and  $\langle a_0 \sqcap a_1, \sigma \rangle \longrightarrow m'$

We want to prove  $m = m'$

# Ex. 2, determinacy (ctd)

Consider the goal  $\langle a_0 \sqcap a_1, \sigma \rangle \longrightarrow m$

Only the rule  $\frac{\langle a_0, \sigma \rangle \longrightarrow n \quad \langle a_1, \sigma \rangle \longrightarrow n}{\langle a_0 \sqcap a_1, \sigma \rangle \longrightarrow n}$  is applicable

hence  $\langle a_0, \sigma \rangle \longrightarrow m$  and  $\langle a_1, \sigma \rangle \longrightarrow m$

Similarly, since  $\langle a_0 \sqcap a_1, \sigma \rangle \longrightarrow m'$

it must be  $\langle a_0, \sigma \rangle \longrightarrow m'$  and  $\langle a_1, \sigma \rangle \longrightarrow m'$

By inductive hypotheses, we conclude  $m = m'$

# Ex. 2, determinacy

by rule induction

$$\frac{\langle a_0, \sigma \rangle \longrightarrow n \quad \langle a_1, \sigma \rangle \longrightarrow n}{\langle a_0 \sqcap a_1, \sigma \rangle \longrightarrow n}$$

We assume (inductive hypotheses)

$$P(\langle a_i, \sigma \rangle \longrightarrow n) \triangleq \forall n'. \langle a_i, \sigma \rangle \longrightarrow n' \Rightarrow n = n'$$

We want to prove

$$P(\langle a_0 \sqcap a_1, \sigma \rangle \longrightarrow n) \triangleq \forall n'. \langle a_0 \sqcap a_1, \sigma \rangle \longrightarrow n' \Rightarrow n = n'$$

Take  $n'$  such that  $\langle a_0 \sqcap a_1, \sigma \rangle \longrightarrow n'$

We want to prove  $n = n'$

# Ex. 2, determinacy (ctd)

$$P(\langle a_0, \sigma \rangle \longrightarrow n) \triangleq \forall n'. \langle a_0, \sigma \rangle \longrightarrow n' \Rightarrow n = n'$$

$$P(\langle a_1, \sigma \rangle \longrightarrow n) \triangleq \forall n'. \langle a_1, \sigma \rangle \longrightarrow n' \Rightarrow n = n'$$

Consider the goal  $\langle a_0 \sqcap a_1, \sigma \rangle \longrightarrow n'$

Only the rule  $\frac{\langle a_0, \sigma \rangle \longrightarrow n \quad \langle a_1, \sigma \rangle \longrightarrow n}{\langle a_0 \sqcap a_1, \sigma \rangle \longrightarrow n}$  is applicable

hence  $\langle a_0, \sigma \rangle \longrightarrow n'$  and  $\langle a_1, \sigma \rangle \longrightarrow n'$

By inductive hypotheses, we conclude  $n = n'$

**[Ex. 3]** Extend the syntax of arithmetic expressions with the operator  $a_0 \sqcup a_1$  whose big-step operational semantics is given by the rule:

$$\frac{\langle a_0, \sigma \rangle \longrightarrow n_0}{\langle a_0 \sqcup a_1, \sigma \rangle \longrightarrow n_0} \quad \frac{\langle a_1, \sigma \rangle \longrightarrow n_1}{\langle a_0 \sqcup a_1, \sigma \rangle \longrightarrow n_1}$$

1. Prove termination or exhibit a counterexample.
2. Prove determinacy or exhibit a counterexample.

# Ex. 3, termination

by structural induction

$\forall a_0, a_1. P(a_0) \wedge P(a_1) \Rightarrow P(a_0 \sqcup a_1)$  Take generic  $a_0, a_1$

We assume  $P(a_0) \triangleq \forall \sigma. \exists m_0. \langle a_0, \sigma \rangle \longrightarrow m_0$

$P(a_1) \triangleq \forall \sigma. \exists m_1. \langle a_1, \sigma \rangle \longrightarrow m_1$

We want to prove  $P(a_0 \sqcup a_1) \triangleq \forall \sigma. \exists m. \langle a_0 \sqcup a_1, \sigma \rangle \longrightarrow m$

# Inductive case (ctd)

Take a generic  $\sigma$  and consider the goal  $\langle a_0 \sqcup a_1, \sigma \rangle \longrightarrow m$

Either rules  $\frac{\langle a_0, \sigma \rangle \longrightarrow n_0}{\langle a_0 \sqcup a_1, \sigma \rangle \longrightarrow n_0}$   $\frac{\langle a_1, \sigma \rangle \longrightarrow n_1}{\langle a_0 \sqcup a_1, \sigma \rangle \longrightarrow n_1}$  are applicable

take the first

$\langle a_0 \sqcup a_1, \sigma \rangle \longrightarrow m \swarrow \langle a_0, \sigma \rangle \longrightarrow m$

By inductive hypothesis  $P(a_0)$ , there is  $m_0$  s.t.  $\langle a_0, \sigma \rangle \longrightarrow m_0$

And we are done (taking  $m = m_0$ )

# Ex. 3, determinacy

$$\frac{\langle a_0, \sigma \rangle \longrightarrow n_0}{\langle a_0 \sqcup a_1, \sigma \rangle \longrightarrow n_0} \quad \frac{\langle a_1, \sigma \rangle \longrightarrow n_1}{\langle a_0 \sqcup a_1, \sigma \rangle \longrightarrow n_1}$$

$$\langle 1 \sqcup 2, \sigma \rangle \longrightarrow 1 \quad \langle 1 \sqcup 2, \sigma \rangle \longrightarrow 2$$

$$1 \neq 2$$



[**Ex. 4**] Consider the command

$$w \stackrel{\text{def}}{=} \mathbf{while} \ x > y \ \mathbf{do} \ (x := x + 1 ; y := y - 1)$$

Find out the set  $S$  of memories  $\sigma$  such that  $\langle w, \sigma \rangle \not\rightarrow$  and prove that this is the case by using the inference rule for divergence.

# Ex. 4, divergence

take  $w \triangleq$  **while**  $x > y$  **do**  $(x := x + 1; y := y - 1)$   
take a generic  $\sigma$

if  $\sigma(x) \leq \sigma(y)$ :  $\langle w, \sigma \rangle \longrightarrow \sigma$

let  $S \triangleq \{\sigma \mid \sigma(x) > \sigma(y)\}$

- $\forall \sigma \in S. \langle x > y, \sigma \rangle \longrightarrow \mathbf{tt}$  ✓
- $\forall \sigma \in S. \forall \sigma'. \langle x := x + 1; y := y - 1, \sigma \rangle \longrightarrow \sigma' \Rightarrow \sigma' \in S$  ✓

in fact  $\langle c, \sigma \rangle \longrightarrow \sigma' = \sigma[\sigma(x) + 1/x, \sigma(y) - 1/y]$

$\sigma'(x) = \sigma(x) + 1 > \sigma(y) + 1 > \sigma(y) - 1 = \sigma'(y)$  thus  $\sigma' \in S$

therefore, if  $\sigma(x) > \sigma(y)$ , then  $\langle w, \sigma \rangle \not\rightarrow$

[Ex. 5] Prove determinacy of boolean expressions by rule induction.

$$P(\langle b, \sigma \rangle \longrightarrow u) \triangleq \forall u'. \langle b, \sigma \rangle \longrightarrow u' \implies u = u'$$

# Ex. 5, determinacy Bexp

$$P(\langle b, \sigma \rangle \longrightarrow u) \triangleq \forall u'. \langle b, \sigma \rangle \longrightarrow u' \Rightarrow u = u'$$

by rule induction

$$\frac{}{\langle v, \sigma \rangle \longrightarrow v}$$

we need to prove  $P(\langle v, \sigma \rangle \longrightarrow v) \triangleq \forall u'. \langle v, \sigma \rangle \longrightarrow u' \Rightarrow v = u'$

take  $u'$  such that  $\langle v, \sigma \rangle \longrightarrow u'$

we need to prove  $v = u'$

consider the goal  $\langle v, \sigma \rangle \longrightarrow u'$

it must be  $\swarrow_{u'=v} \square$

# Ex. 5, determinacy Bexp

$$P(\langle b, \sigma \rangle \longrightarrow u) \triangleq \forall u'. \langle b, \sigma \rangle \longrightarrow u' \Rightarrow u = u'$$

by rule induction

$$\frac{\langle a_0, \sigma \rangle \longrightarrow n_0 \quad \langle a_1, \sigma \rangle \longrightarrow n_1}{\langle a_0 \text{ cmp } a_1, \sigma \rangle \longrightarrow n_0 \text{ cmp } n_1}$$

we assume  $\langle a_i, \sigma \rangle \longrightarrow n_i$

we need to prove

$$P(\langle a_0 \text{ cmp } a_1, \sigma \rangle \longrightarrow n_0 \text{ cmp } n_1) \triangleq \forall u'. \langle a_0 \text{ cmp } a_1, \sigma \rangle \longrightarrow u' \Rightarrow n_0 \text{ cmp } n_1 = u'$$

take  $u'$  such that  $\langle a_0 \text{ cmp } a_1, \sigma \rangle \longrightarrow u'$

we need to prove  $n_0 \text{ cmp } n_1 = u'$

consider the goal  $\langle a_0 \text{ cmp } a_1, \sigma \rangle \longrightarrow u'$

it must be  $\swarrow_{u' = m_0 \text{ cmp } m_1} \langle a_0, \sigma \rangle \longrightarrow m_0, \langle a_1, \sigma \rangle \longrightarrow m_1$

by determinacy of Aexp  $n_0 = m_0$  and  $n_1 = m_1$

thus  $n_0 \text{ cmp } n_1 = m_0 \text{ cmp } m_1 = u'$

# Ex. 5, determinacy Bexp

$$P(\langle b, \sigma \rangle \longrightarrow u) \triangleq \forall u'. \langle b, \sigma \rangle \longrightarrow u' \Rightarrow u = u'$$

by rule induction

$$\frac{\langle b, \sigma \rangle \longrightarrow v}{\langle \neg b, \sigma \rangle \longrightarrow \neg v}$$

$$\text{we assume } P(\langle b, \sigma \rangle \longrightarrow v) \triangleq \forall w. \langle b, \sigma \rangle \longrightarrow w \Rightarrow v = w$$

we need to prove

$$P(\langle \neg b, \sigma \rangle \longrightarrow \neg v) \triangleq \forall u'. \langle \neg b, \sigma \rangle \longrightarrow u' \Rightarrow \neg v = u'$$

take  $u'$  such that  $\langle \neg b, \sigma \rangle \longrightarrow u'$

we need to prove  $\neg v = u'$

consider the goal  $\langle \neg b, \sigma \rangle \longrightarrow u'$

it must be  $\swarrow_{u' = \neg w} \langle b, \sigma \rangle \longrightarrow w$

by inductive hypothesis  $v = w$

thus  $\neg v = \neg w = u'$

# Ex. 5, determinacy Bexp

$$P(\langle b, \sigma \rangle \longrightarrow u) \triangleq \forall u'. \langle b, \sigma \rangle \longrightarrow u' \Rightarrow u = u'$$

by rule induction

$$\frac{\langle b_0, \sigma \rangle \longrightarrow v_0 \quad \langle b_1, \sigma \rangle \longrightarrow v_1}{\langle b_0 \text{ bop } b_1, \sigma \rangle \longrightarrow v_0 \text{ bop } v_1}$$

we assume  $P(\langle b_i, \sigma \rangle \longrightarrow v_i) \triangleq$   
 $\forall u_i. \langle b_i, \sigma \rangle \longrightarrow u_i \Rightarrow v_i = u_i$

we need to prove

$$P(\langle b_0 \text{ bop } b_1, \sigma \rangle \longrightarrow v_0 \text{ bop } v_1) \triangleq \forall u'. \langle b_0 \text{ bop } b_1, \sigma \rangle \longrightarrow u' \Rightarrow v_0 \text{ bop } v_1 = u'$$

take  $u'$  such that  $\langle b_0 \text{ bop } b_1, \sigma \rangle \longrightarrow u'$

we need to prove  $v_0 \text{ bop } v_1 = u'$

consider the goal  $\langle b_0 \text{ bop } b_1, \sigma \rangle \longrightarrow u'$

it must be  $\swarrow_{u'=u_0 \text{ bop } u_1} \langle b_0, \sigma \rangle \longrightarrow u_0, \langle b_1, \sigma \rangle \longrightarrow u_1$

by inductive hypotheses  $v_0 = u_0$  and  $v_1 = u_1$

thus  $v_0 \text{ bop } v_1 = u_0 \text{ bop } u_1 = u'$

[**Ex. 6**] Let  $b$  be a boolean expression and  $c$  a command. Consider the command

$$w \stackrel{\text{def}}{=} \mathbf{while } b \mathbf{ do } c$$

Prove by rule induction that:

$$\forall \sigma, \sigma'. \langle w, \sigma \rangle \longrightarrow \sigma' \Rightarrow \langle b, \sigma' \rangle \longrightarrow \mathit{false}$$



# Ex. 6, loop exit

$w \stackrel{\text{def}}{=} \text{while } b \text{ do } c$        $\forall \sigma, \sigma'. \langle w, \sigma \rangle \longrightarrow \sigma' \Rightarrow \langle b, \sigma' \rangle \longrightarrow \mathbf{ff}$

by structural induction?

by rule induction?

$$P(\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma') \triangleq \langle b, \sigma' \rangle \longrightarrow \mathbf{ff}$$

the predicate matches with the conclusions of two rules only

$$\frac{\langle b, \sigma \rangle \longrightarrow \mathbf{ff}}{\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma} \quad \frac{\langle b, \sigma \rangle \longrightarrow \mathbf{tt} \quad \langle c, \sigma \rangle \longrightarrow \sigma'' \quad \langle \text{while } b \text{ do } c, \sigma'' \rangle \longrightarrow \sigma'}{\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma'}$$

# Ex. 6, loop exit

$w \stackrel{\text{def}}{=} \text{while } b \text{ do } c$

$\forall \sigma, \sigma'. \langle w, \sigma \rangle \longrightarrow \sigma' \Rightarrow \langle b, \sigma' \rangle \longrightarrow \mathbf{ff}$

by rule induction

$P(\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma') \triangleq \langle b, \sigma' \rangle \longrightarrow \mathbf{ff}$

$$\frac{\langle b, \sigma \rangle \longrightarrow \mathbf{ff}}{\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma}$$

we assume  $\langle b, \sigma \rangle \longrightarrow \mathbf{ff}$

we need to prove  $P(\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma) \triangleq \langle b, \sigma \rangle \longrightarrow \mathbf{ff}$

immediate (by assumption)

# Ex. 6, loop exit

$$w \stackrel{\text{def}}{=} \text{while } b \text{ do } c \quad \forall \sigma, \sigma'. \langle w, \sigma \rangle \longrightarrow \sigma' \Rightarrow \langle b, \sigma' \rangle \longrightarrow \mathbf{ff}$$

by rule induction

$$P(\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma') \triangleq \langle b, \sigma' \rangle \longrightarrow \mathbf{ff}$$

$$\frac{\langle b, \sigma \rangle \longrightarrow \mathbf{tt} \quad \langle c, \sigma \rangle \longrightarrow \sigma'' \quad \langle \text{while } b \text{ do } c, \sigma'' \rangle \longrightarrow \sigma'}{\langle \text{while } b \text{ do } c, \sigma \rangle \longrightarrow \sigma'}$$

we assume  $\langle b, \sigma \rangle \longrightarrow \mathbf{tt}$

$$\langle c, \sigma \rangle \longrightarrow \sigma''$$

$$\langle w, \sigma'' \rangle \longrightarrow \sigma'$$

$$P(\langle w, \sigma'' \rangle \longrightarrow \sigma') \triangleq \langle b, \sigma' \rangle \longrightarrow \mathbf{ff}$$

we need to prove  $P(\langle w, \sigma \rangle \longrightarrow \sigma') \triangleq \langle b, \sigma' \rangle \longrightarrow \mathbf{ff}$

immediate (by inductive hypothesis)