#### **Document Parsing**

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# Inverted index construction



Google	friend roman countryman	
Search	About 1,740,000 results (0.39 seconds)	
Web	Friends Romans Countrymen	
Images	Friends, Romans, Countryman, lerd me your ears; I come to bury Caesar not to	
Maps	praise him. The evil that man do lives after them, the good is oft interred with	
Videos	Monologue: Friends, Romans, Countryman Speech from William	
News	www.youtube.com/watch?v	
Shopping	Yay for my first VA attempt. I decided to go with probably one of my favorite plays and monologues of all time	
More	More videos for friend roman countryman »	
Show search tools	Friends, Romans, countrymen, lend me your ears - Wikipedia, the en.wikipedia.org//Friends,_Romans,_countrymen,_lend_me	

Friends, Romans, countrymen, lend me your ears is the first line of a famous and often-quoted speech by Mark Antony in the play Julius Caesar, by William ... About the speech - Setting - Relevance and cultural impact - External links

#### SCENE II. The Forum.

shakespeare.mit.edu/julius\_caesar/julius\_caesar.3.2.html was no less than his. If then that friend demand why Brutue rose against Caesar, this is my answer: --Not that I loved Caesar less, but that I loved. Rome more.

# Parsing a document

- What format is it in?
  - pdf/word/excel/html?
- What language is it in?
- What character set is in use?

Each of these is a **classification problem**.

But these tasks are often done heuristically ...

# Tokenization

- Input: "Friends, Romans and Countrymen"
- Output: Tokens
  - Friends
  - Romans
  - Countrymen
- A token is an instance of a sequence of characters
- Each such token is now a candidate for an index entry, after <u>further processing</u>
- But what are valid tokens to emit?

# Tokenization: terms and numbers

- Issues in tokenization:
  - Barack Obama: one token or two?
  - San Francisco?
  - Hewlett-Packard: one token or two?
  - *B-52, C++, C#*
  - Numbers ? 24-5-2010
  - **192.168.0.1**

Google	san paolo 🌷 🤇	J
Search	About 27,100,000 results (0.28 seconds)	
Web Images Maps Videos News Shopping More	Tip: <u>Search for English results only</u> . You can specify your search language in <u>Preferences</u> <u>Servizi bancari e consulenza per famiglie e Intest Sanpaolo</u> www.intesasanpaolo.com//RetailIntesaSan Translate this page I servizi bancari e la consulenza di Intesa Sanpaolo per famiglie e imprese: conto corrente, bancomat, carte di credito, prestiti, internet banking, investimenti, <u>Intesa SanPaolo SpA</u> www.intesasanpaolo.com/ - Translate this page Presenta il gruppo, illustra profilo, prodotti e servizi online ed offline. Intesa San Paolo - Intesa Sanpaolo Servizi bancari e assistenza Carte You've visited this page many times Last visit' 4/6/12	ts
Show search tools	São Paulo - Wikipedia, the free encyclopedia       en.wikipedia.org/wiki/São_Paulo         São Paulo is the largest city in Brazil, the largest city in the southern hemisphere and Americas, and the world's seventh largest city by population.         São Paulo FC - São Paulo (state) - São Paulo-Guarulhos Santo André         Intesa Sanpaolo Private Banking         www.intesasanpaoloprivatebanking.it/ - Translate this page         Intesa Sanpaolo Private Banking: la vostra banca personale d'investimento, per proteggere, accrescere e accompagnare nel tempo il vostro patrimonio.         Youbo visited this page many times. Last visit: 8/2/12	

# Stop words

- We exclude from the dictionary the most common words (called, stopwords). Intuition:
  - They have little semantic content: the, a, and, to, be
  - There are a lot of them: ~30% of postings for top 30 words
- But the trend is away from doing this:
  - Good compression techniques (lecture!!) means the space for including stopwords in a system is very small
  - Good query optimization techniques (lecture!!) mean you pay little at query time for including stop words.
  - You need them for phrase queries or titles. E.g., "As we may think"

Google	a car on the
Search	About 6,460,000,000 results (0.21 seconds)
Web Images Maps	Enterprise Rent-A-Car - Renta Cars a Low Rates www.enterprise.com/ Reserve a car rental from Enterprise Rent-A-Car at low rates. Choose from more than 6000 rental car locations at major airports and neighborhood locations.
Videos News Shopping	New Cars, Used Cars - Find Cars at AutoTrader.com www.autotrader.com/ Find used cars and new cars for sale at AutoTrader.com. With millions of cars, finding your next new car or used car and the car reviews and information you're
More Show search tools	Cars for Sale - Buy a New or Used Car Online - CarsDirect www.carsdirect.com/ Search for new cars and used cars at CarsDirect.com. Research cars and trucks by make and model, sell your used car, and get help with auto financing.
	<u>Google driverless car - Wikipedia, the free encyclopedia</u> en.wikipedia.org/wiki/Google_driverless_car The Google Driverless Car is a project by Google that involves developing technology for driverless cars. The project is currently being led by Google engineer
	Best and Worst Fuel Economy www.fueleconomy.gov/feg/best-worst.shtml 4 days ago – 2012 Most Fuel Efficient Cars by EPA Size Class (including electric vehicles). EPA Class, Vehicle Description, Fuel Economy. Combined

# Normalization to terms

- We need to "normalize" terms in indexed text and query words into the same form
  - We want to match U.S.A. and USA
- We most commonly implicitly define equivalence classes of terms by, e.g.,
  - deleting periods to form a term
    - U.S.A., USA  $\rightarrow$  USA
  - deleting hyphens to form a term
    - anti-discriminatory, antidiscriminatory → antidiscriminatory
- C.A.T. → cat ?

Google	C.A.T.	Ŷ	٩
Search	5 personal results. 2,650,000,000 other results.		
Web Images Maps Videos News Shopping More Show search tools	Cat - Wikipedia, the free encyclopedia en.wikipedia.org/wiki/Cat The domestic cat (Felis catus or Felis silvestris catus) is a small, usually furry, domesticated, carnivorous mammal. It is often called the housecat when kept as an List of cat breeds - Cat intelligence - Behavior - Feral cat Cat Products & Services www.cat.com/ Cat machines & engines set the standard for the industries we serve. Our extensive product line reflects our increased focus on our customers' success. + Show stock quote for CAT Cat Products - Cat Dealer Locator - Parts & Service - About The Company CAT www.catim.in/ Registration for CAT 2012 is now closed. Registered candidates may log on to https://iim.prometric.com to print a copy of their Admit Card until the end of the Iim cat result - CAT Eligibility - Selection Process of IIMs - CAT 2012 Test Sites		See result
	OAT: Ourseas for Oatsmiller, Inc. Oanman Otask, Mahaal Eisense		

#### CAT: Summary for Caterpillar, Inc. Common Stock- Yahoo! Finance finance.yahoo.com/q?s=CAT

2 hours ago - View the basic CAT stock chart on Yahoo! Finance. Change the date range, chart type and compare Caterpillar, Inc. Common Stock against ...

# Case folding

- Reduce all letters to lower case
  - exception: upper case in midsentence?
    - e.g., General Motors
    - SAIL vs. sail
    - Bush vs. bush
- Often best to lower case everything, since users will use lowercase regardless of 'correct' capitalization...

Google	bush	Ŷ	
Search	About 449,000,000 results (0.43 seconds)		
Web Images Maps Videos News Shopping Blogs	<ul> <li><u>George W. Bush - Wikipedia, the free encyclopedia</u> en.wikipedia.org/wiki/George_WBush</li> <li>George Walker Bush (born July 6, 1946) is an American politician and businessman who was the 43rd President of the United States from 2001 to 2009 and the</li> <li>George Bush - Bush administration - Jenna Bush Hager - Laura Bush</li> <li><u>Bush (band) - Wikipedia, the free encyclopedia</u> en.wikipedia.org/wiki/Bush_(band)</li> <li>Bush is a rock band formed in London in 1992 shortly after vocalist/guitarist Gavin Rossdale and guitarist Nigel Pulsford met. It was not long before they recruited</li> <li>Discography - Sixteen Stone - Razorblade Suitcase - The Sea of Memories</li> </ul>		
More Show search tools	BUSH Official Website www.bushofficial.com/ Official Site for BUSH. Music of Gavin Rossdale, Chris Traynor, Corey Britz, Robin Goodridge. Tour - Store - Photos - Video		
	Decision Points by George W. Bush www.georgewbush.com/ Shattering the conventions of political autobiography, Decision Points by George W. Bush offers a strikingly candid journey through the defining decisions in the		

## Thesauri

#### Do we handle synonyms and homonyms?

- E.g., by hand-constructed equivalence classes
  - car = automobile color = colour
- We can rewrite to form equivalence-class terms
  - When the document contains *automobile*, index it under *car-automobile* (and vice-versa)
- Or we can expand a query
  - When the query contains *automobile*, look under *car* as well

Google	automobile	Ŷ	٩
Search	About 492,000,000 results (0.28 seconds)		
Web Images Maps Videos	Ad related to automobile (i) automobile.it - Offerte Auto Usate e Km 0. www.automobile.it/ Scopri il nuovo automobile.it di eBay Auto Usate Auto Nuove Auto Km 0 Vendi la tua Auto		People relate
News Shopping Books Blogs More	Automobile - Wikipedia, the free encyclopedia en.wikipedia.org/wiki/Automobile Share It shows the significant growth in BRIC. World map of passenger cars per 1000 people. An automobile, autocar, motor car or car is a wheeled motor vehicle used History of the automobile - Karl Benz - List - Crossover New Cars & Car Reviews, Concept Cars & Auto Shows - Automobile		
Show search tools	<ul> <li>www.automobilemag.com/</li> <li>Find new cars as well as in-depth ca reviews, photos, videos, and the latest concept cars from auto shows across the world at Automobile Magazine. Research a</li> <li>Car Reviews - Rumors - Used Cars - Contact Us</li> <li><u>New Cars, Used Cars, Car Reviews and Pricing - Edmunds.com</u></li> <li>www.edmunds.com/</li> <li>Edmunds car buying guide lists new car prices, used car prices, car comparisons, car buying advice, car ratings, car values, auto leasing.</li> </ul>		

# Stemming

- Reduce terms to their "roots" before indexing
- "Stemming" suggest crude affix chopping
  - Ianguage dependent
  - e.g., automate(s), automatic, automation all reduced to automat.

for example compressed and compression are both accepted as equivalent to compress.



for exampl compress and compress ar both accept as equival to compress

Google	automated production	Ŷ	٩
Search	About 9,260,000 results (0.39 seconds)		
Web Images Maps	Automation - Wikipedia, the free encyclopedia en.wikipedia.org/wiki/Automation Automation is the use of machines, control systems and information technologies to optimize productivity in the production of goods and delivery of services. Category:Industrial automation - Building automation - Pop music automation		
Videos News Shopping More	AP   Automated Production Systems www.automatedproduction.com/ Automated Production Systems is a world class manufacturer and provider of swine, dairy, and horticulture equipment and services throughout the world. Swine Systems - Manuals - Sales & Support - About AP		
Show search tools	Home Automated Production automatedproduction.biz/ Automated Production specializes in DNV design and fabrication projects including reels, lift frames, positioning systems, baskets, living quarters, storage		
	What are automated production systems wiki.answers.com > > Engineering > Industrial Engineering Automated production systems consist of automated workstations connected by a material handling system whose actuation is coordinated with the stations.		

## Lemmatization

- Reduce inflectional/variant forms to base form
- E.g.,
  - am, are,  $is \rightarrow be$
  - car, cars, car's, cars'  $\rightarrow$  car
- Lemmatization implies doing "proper" reduction to dictionary headword form

Google	to be or not to are				
Soarah					
Search	About 23,790,000	esults (0.34 seconds)			

Web	To Be or Not to Be (1942 film) - Wikipedia, the free encyclopedia en.wikipedia.org/wiki/To Be or Not to Be (1942 film)
Images	To Be or Not to Be is a 1942 American comedy directed by Ernst Lubitsch, about a troups of actors in Nazi accupied Warraw who use their abilities at discuise
Maps	troupe of actors in Nazi-occupied Warsaw who use their abilities at disguise
Videos	To be or not to be (Shakespeare) - Wikipedia, the free encyclopedia
News	"To be or not to be" is the opening phrase of a soliloquy in William Shakespeare's play
Shopping	Hamlet. It is perhaps the most famous of all literary quotations but there is
More	Cell - Diabetic β Cells: To Be or Not To Be?
	www.cell.com/abstract/S0092-8674(12)01019-7
	14 Sep 2012 – Diabetic β Cells: To Be or Not To Be? Summary; Main Text
Show search tools	References; Comments (0). To view the full text, please login as a subscribed user
	"To Be Or Not To Be" ( Ep. 101 ) from Chrissy & Mr. Jones   Full - Vh1
	www.vh1.com/video/chrissy/to-be-or-not-to/playlist.jhtml
	3 days ago - Chrissy wants to take their relationship to the next level, but feels like Jim

isn't on the same page. Meanwhile, their house has been sold and the couple has ...

Europe: To Be or Not To Be - Empire - Al Jazeera English www.aljazeera.com/.../empire/.../2012615122134208504.html 15 Jun 2012 – As Europe's crisis worsens without any solution in sight is a shift in political power a sign of hope on the horizon?

# Language-specificity

- Many of the above features embody transformations that are
  - Language-specific and
  - Often, application-specific
- These are "plug-in" addenda to indexing
- Both open source and commercial plug-ins are available for handling these

# Does stemming help?

- English: very mixed results. Helps recall for some queries but harms precision on others
  - operative, operational, operations  $\rightarrow$  oper
- Definitely useful for Spanish, German (with compound splitting) Finnish, ...
  - 30% performance gains for Finnish!

# Index parameters vs. what we index (details *IIR* Table 5.1, p.80)

size of	word types (terms)			non-positional postings			positional postings			
	dictionary		non-positional index			positional index				
	Size (K)	$\Delta$ %	cumul %	Size (K)	∆ %	cumul %	Size (K)	$\stackrel{\Delta}{\mathbf{\%}}$	cumul %	
Unfiltered	484			109,971			197,879			
No numbers	474	-2	-2	100,680	-8	-8	179,158	-9	-9	
Case folding	392	-17	-19	96,969	-3	-12	179,158	0	-9	
30 stopwords	391	-0	-19	83,390	-14	-24	121,858	-31	-38	
150 stopwords	391	-0	-19	67,002	-30	-39	94,517	-47	-52	
stemming	322	-17	-33	63,812	-4	-42	94,517	0	-52	

Exercise: give intuitions for all the '0' entries. Why do some zero entries correspond to big deltas in other columns?

#### Statistical properties of text

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# Statistical properties of texts

- Tokens are not distributed uniformly. They follow the so called "Zipf Law"
  - Few tokens are very frequent
  - A middle sized set has medium frequency
  - Many are rare
- The first 100 tokens sum up to 50% of the text, and many of them are stopwords

# The Zipf Law, in detail

- k-th most frequent token has frequency f(k) approximately 1/k;
- Equivalently, the product of the frequency f(k) of a token and its rank k is a constant

**General Law** 

Scale invariant: f(b\*k) = b<sup>-s</sup> \* f(k)

# An example of "Zipf curve"



#### Some math

- Taking the logarithm f(k) = c/k<sup>s</sup> yields
   log(f(k)) = log c s log k
- If we plot log(f(k)) vs log (k) we get
   y = log c s x
   ie a line with slope -s

# A log-log plot for a Zipf's curve



# Distribution vs Cumulative distr



#### Other statistical properties of texts

- The number of distinct tokens grows as
  - The so called "Heaps Law" (n<sup>β</sup> where β<1, typically 0.5, where n is the total number of tokens)
  - The average token length grows as  $\Omega(\log n)$
- Interesting words are the ones with medium frequency (Luhn)

